

Quotient Sparsification for Submodular Functions

Kent Quanrud, Purdue

Graph (2-)cuts

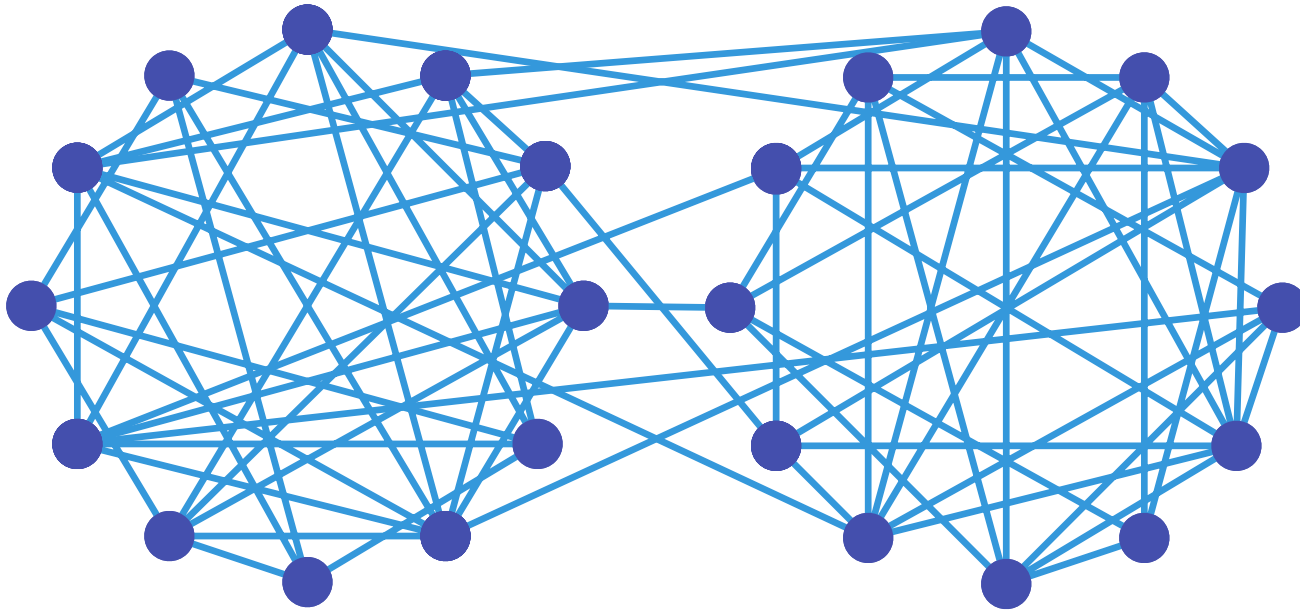
(undirected)

$$G=(V,E),$$

$$n=|V| \quad m=|E|$$

edge weights

$$w(e) > 0 \text{ for } e \in E$$



Graph (2-)cuts

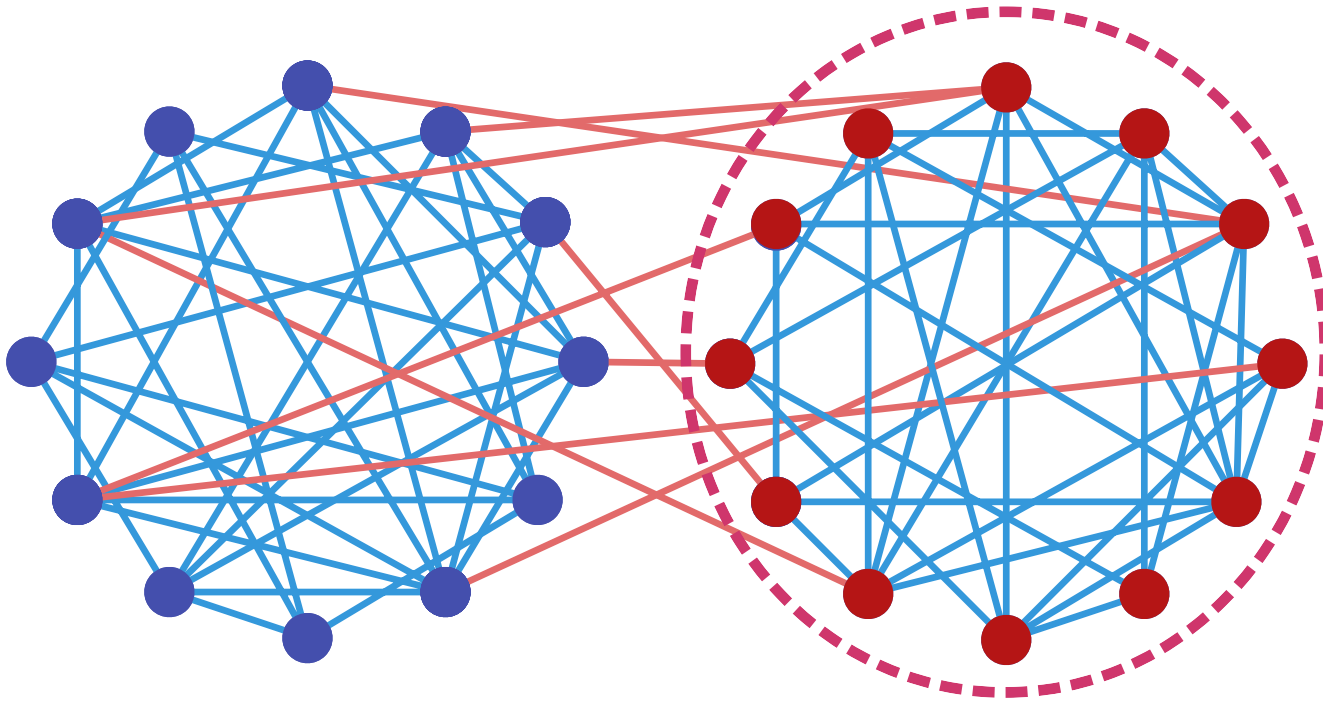
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$$\partial(S) = \{e = \{u, v\} \in E, u \in S, v \notin S\}$$

$$w(\partial(S)) \stackrel{\text{def}}{=} \sum_{e \in \partial(S)} w(e)$$

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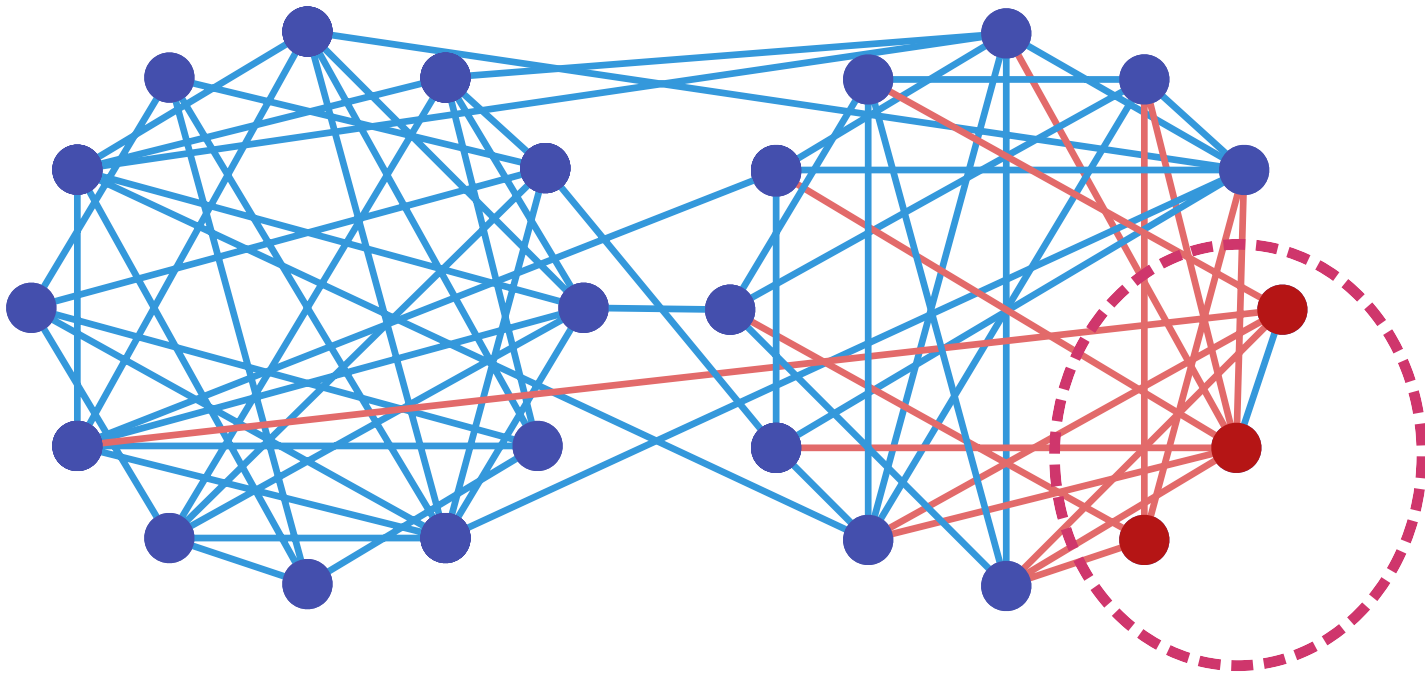
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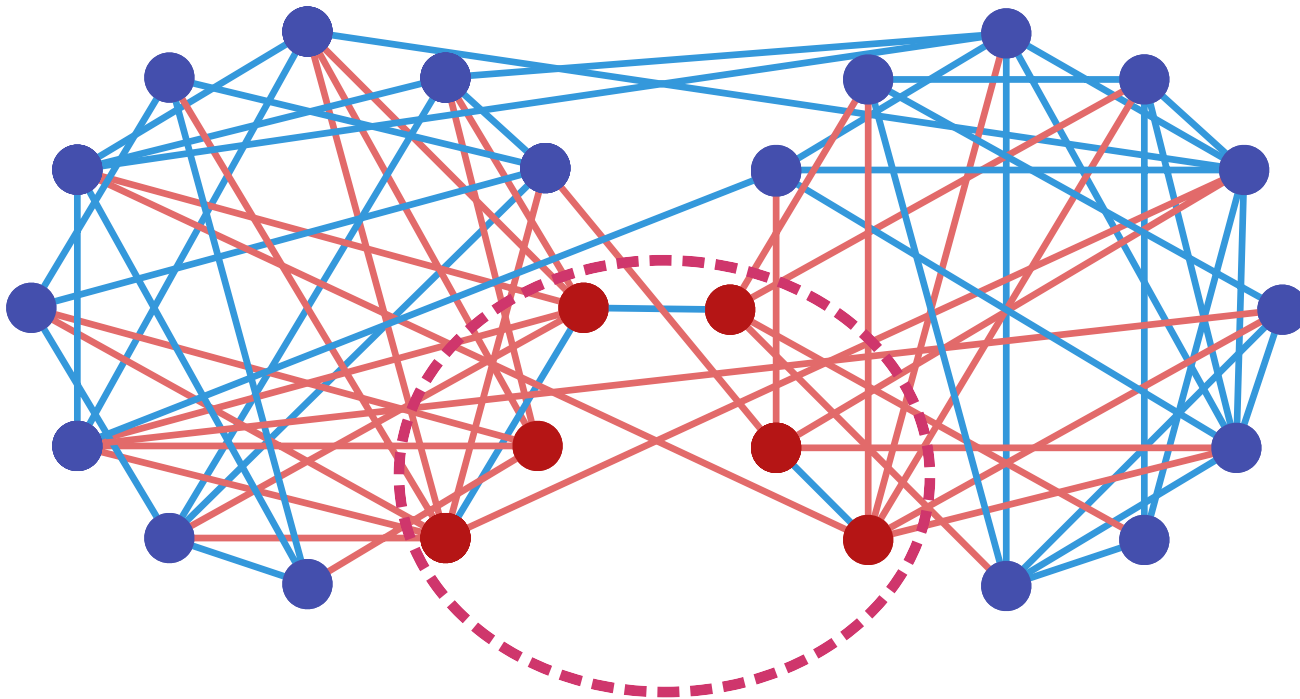
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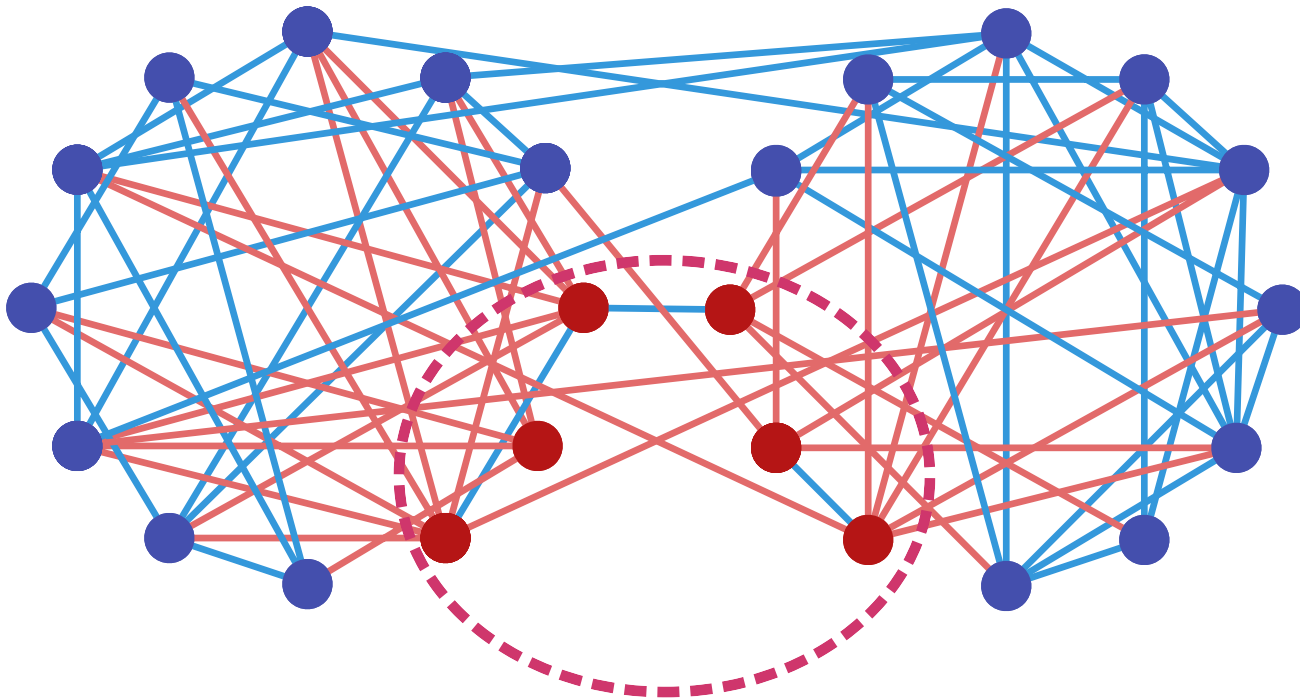
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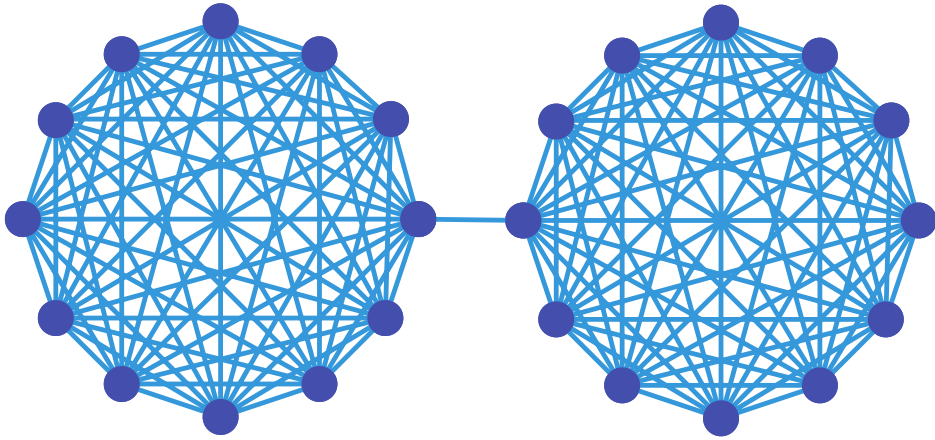


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cut values are important: determine max flows, connectivity, balanced separators, expansion, etc.

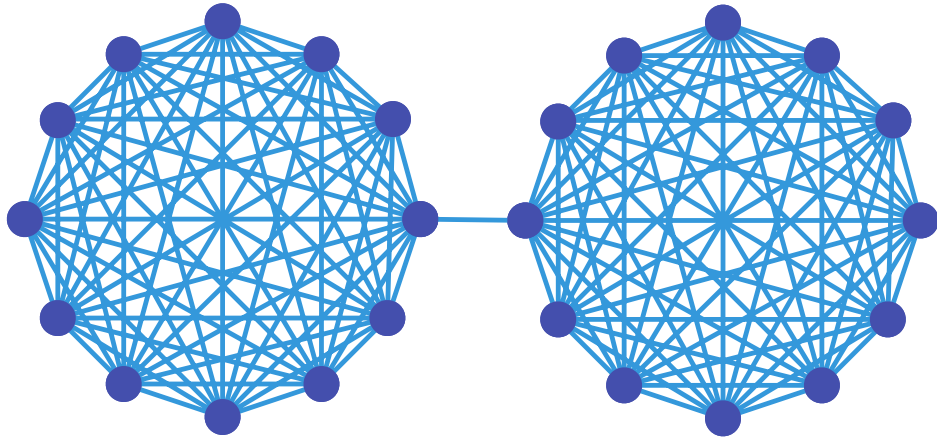
Graph cut sparsification

Input: ^(undirected) $G=(V,E)$
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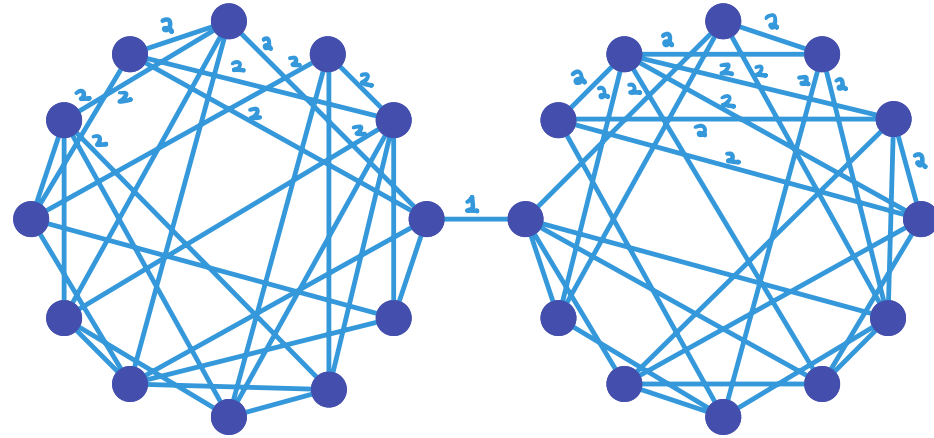


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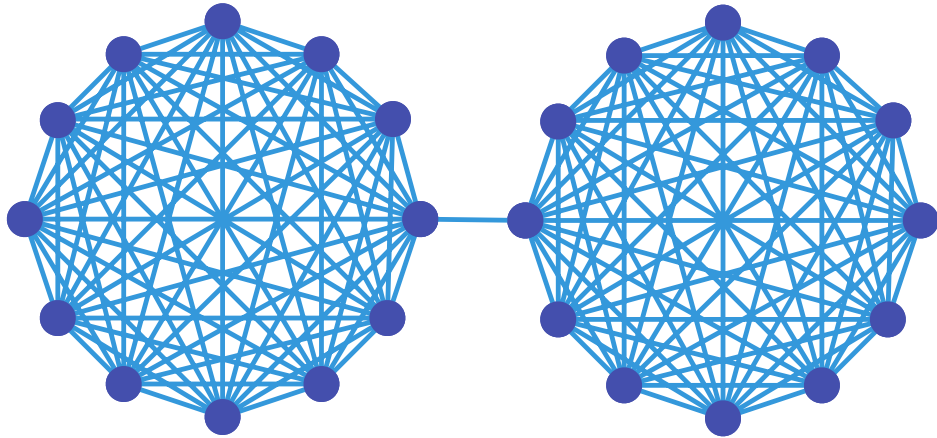


s.t. (a)

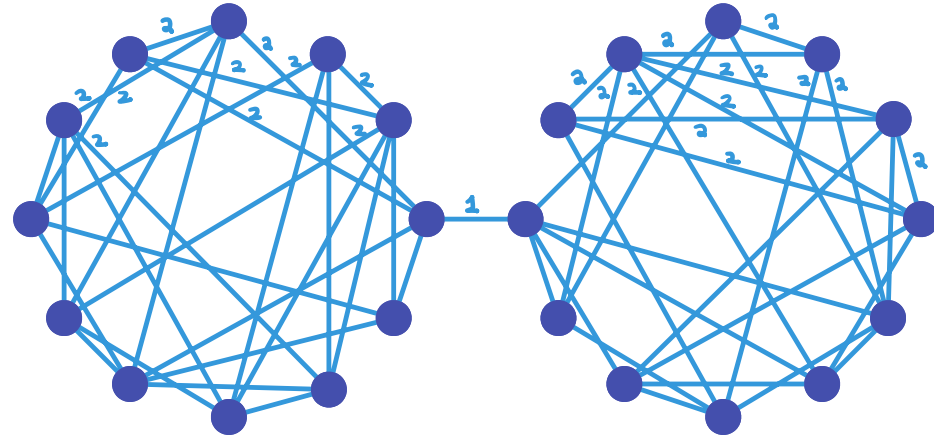
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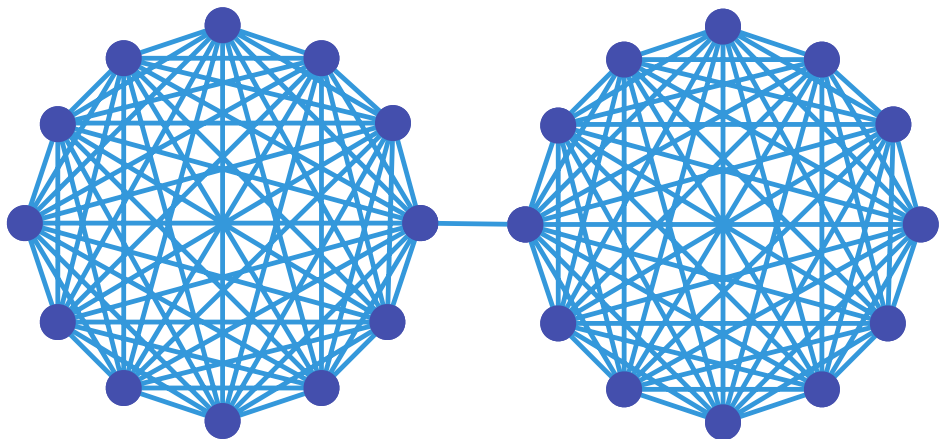
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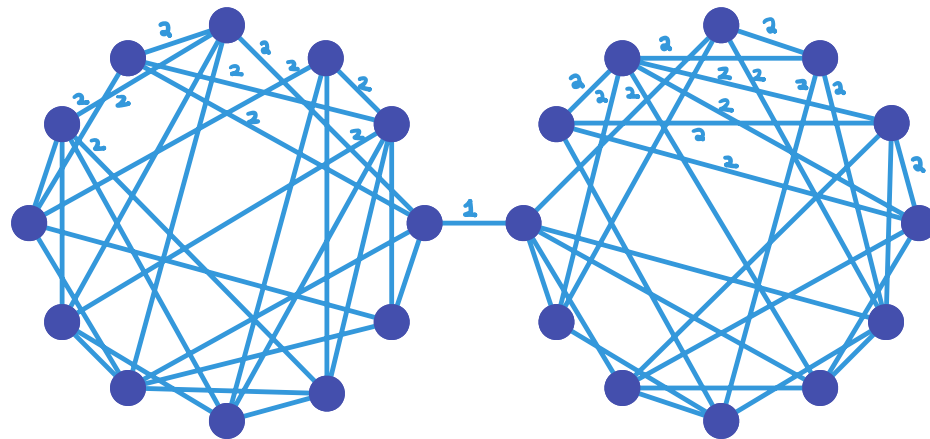
st. (a) $|\tilde{E}|$ small
(b)

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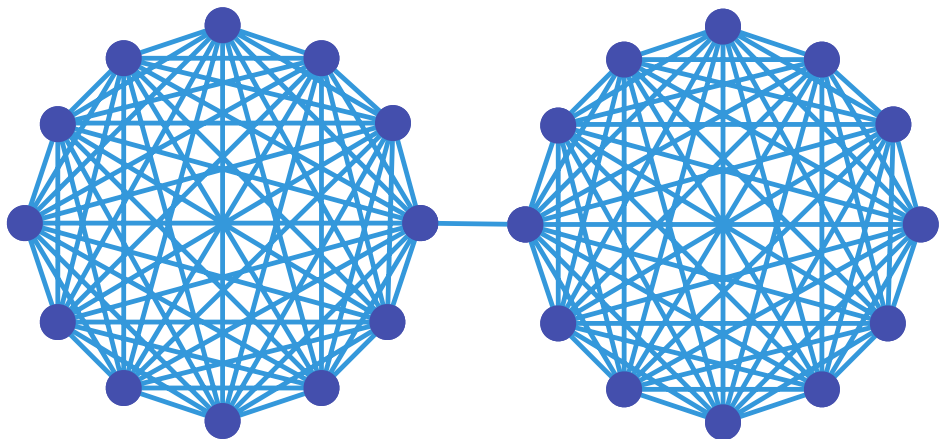


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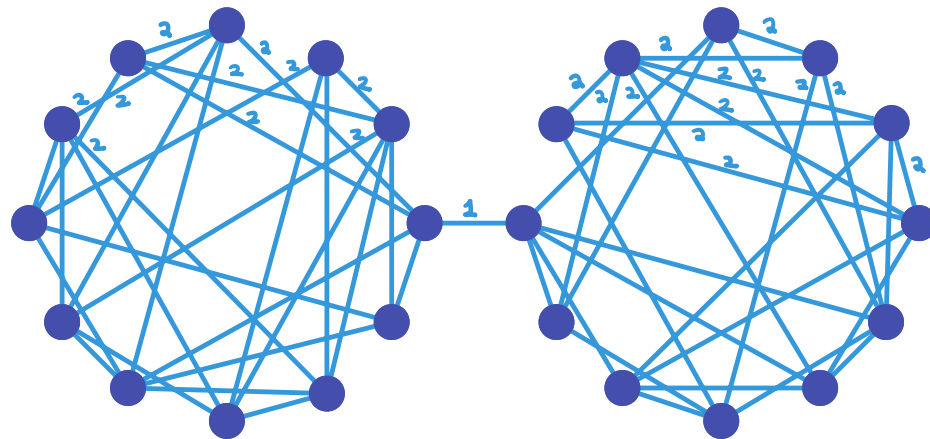
(b) all cuts have similar weight as in G

Graph cut sparsification

Input: $G=(V,E)$ ^(undirected)
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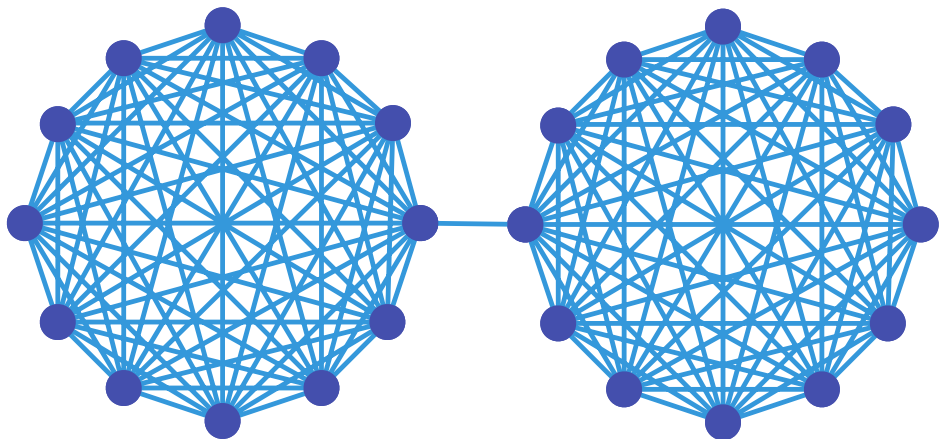
Benczúr, Karger (2002):

- $|\tilde{E}| = O(n \log(n)/\epsilon^2)$

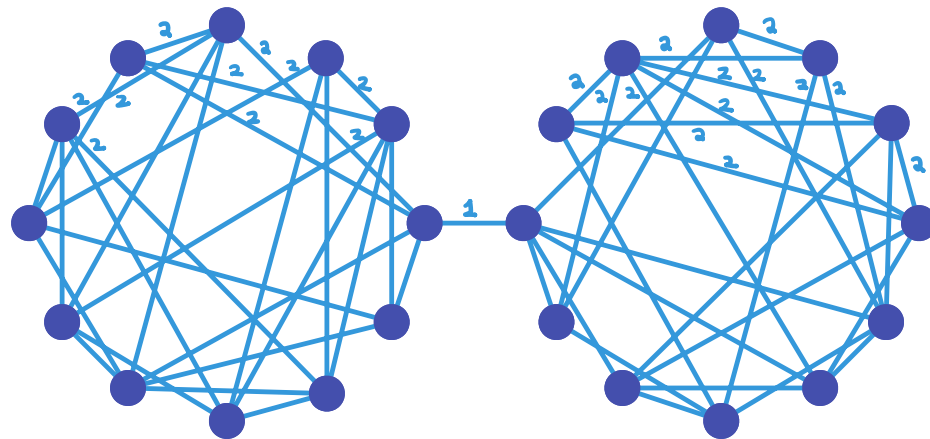
- $(1+\epsilon)$ -APX for all cuts: $(1-\epsilon) \sum_{e \in \partial(S)} \tilde{w}(e) \leq \sum_{e \in \partial(S)} w(e) \leq (1+\epsilon) \sum_{e \in \partial(S)} \tilde{w}(e)$

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Also: spectral [ST04, SS11], $|\hat{E}| = O(n/\epsilon^2)$ [BSS12], [FHHP11], ~

(edges have many endpoints)

Hypergraph (2-)cuts

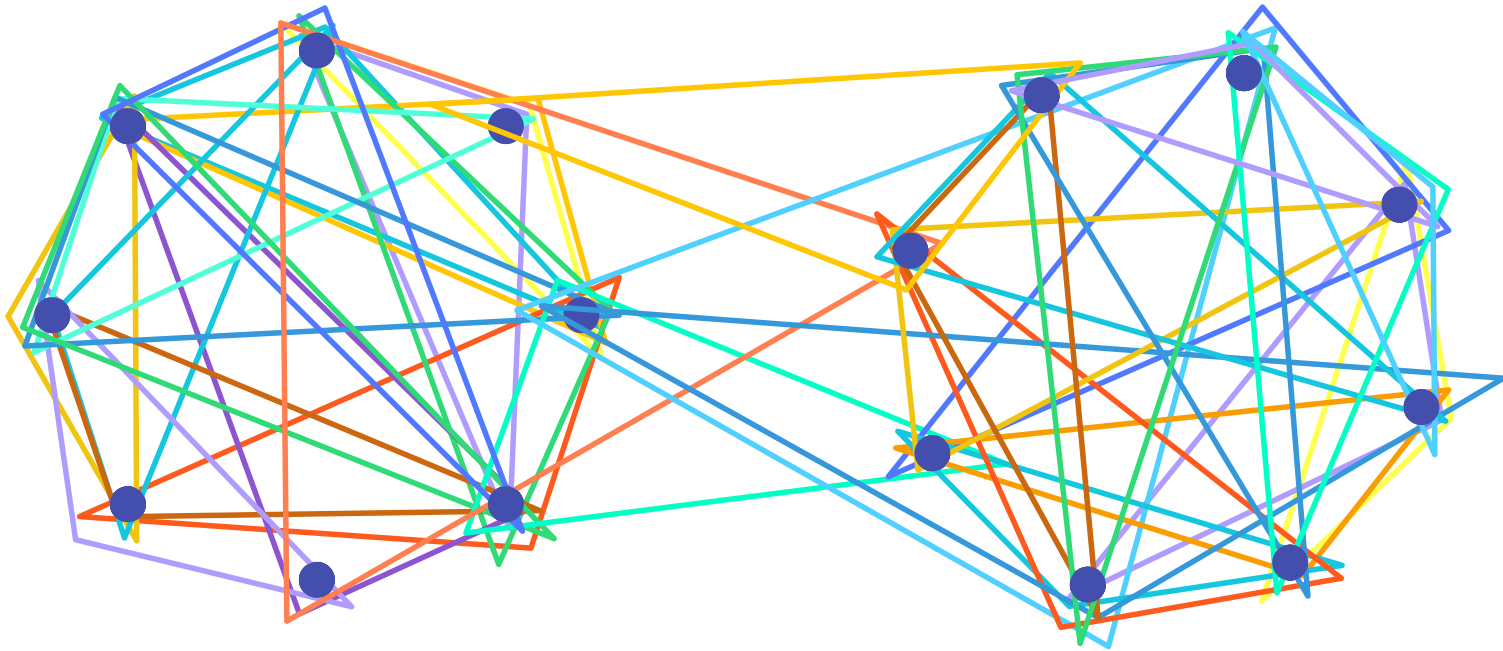
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Hypergraph (λ -)cuts

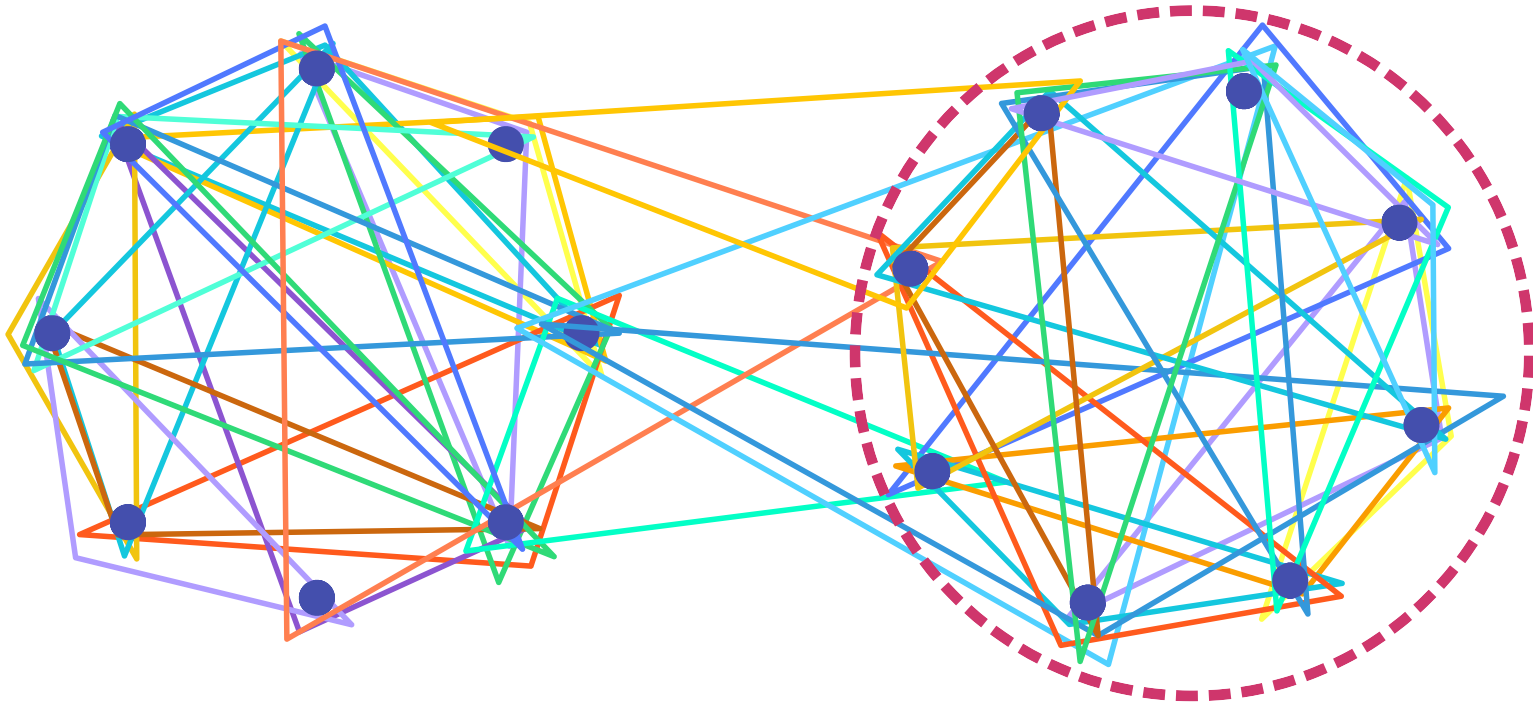
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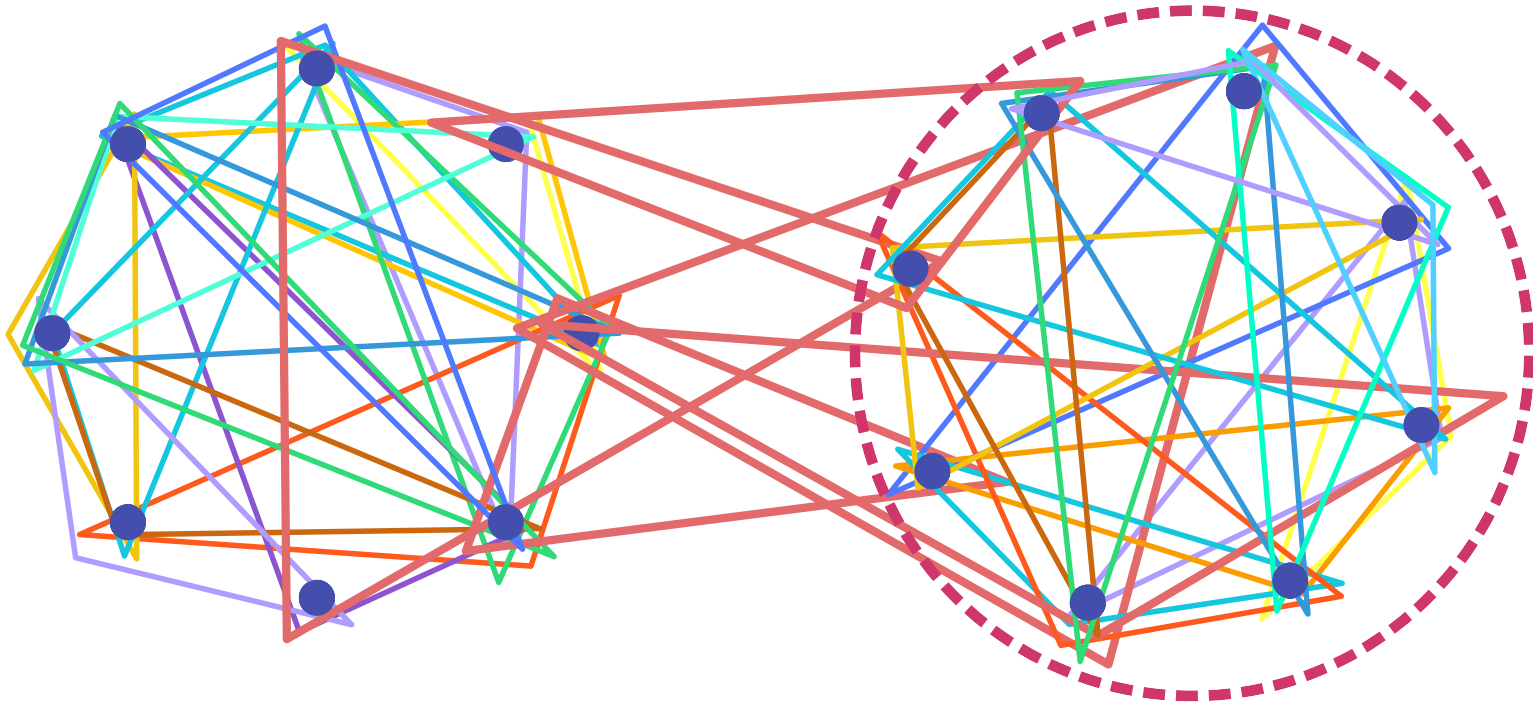
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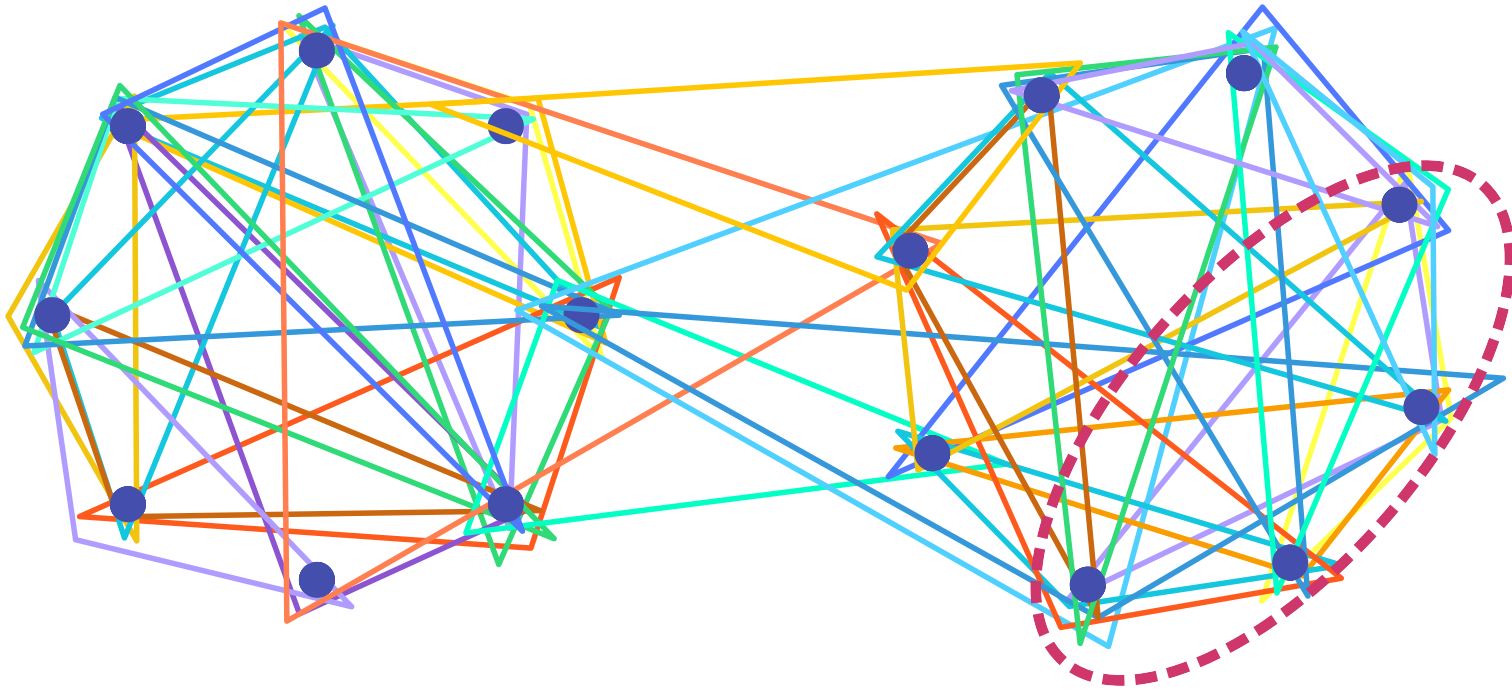
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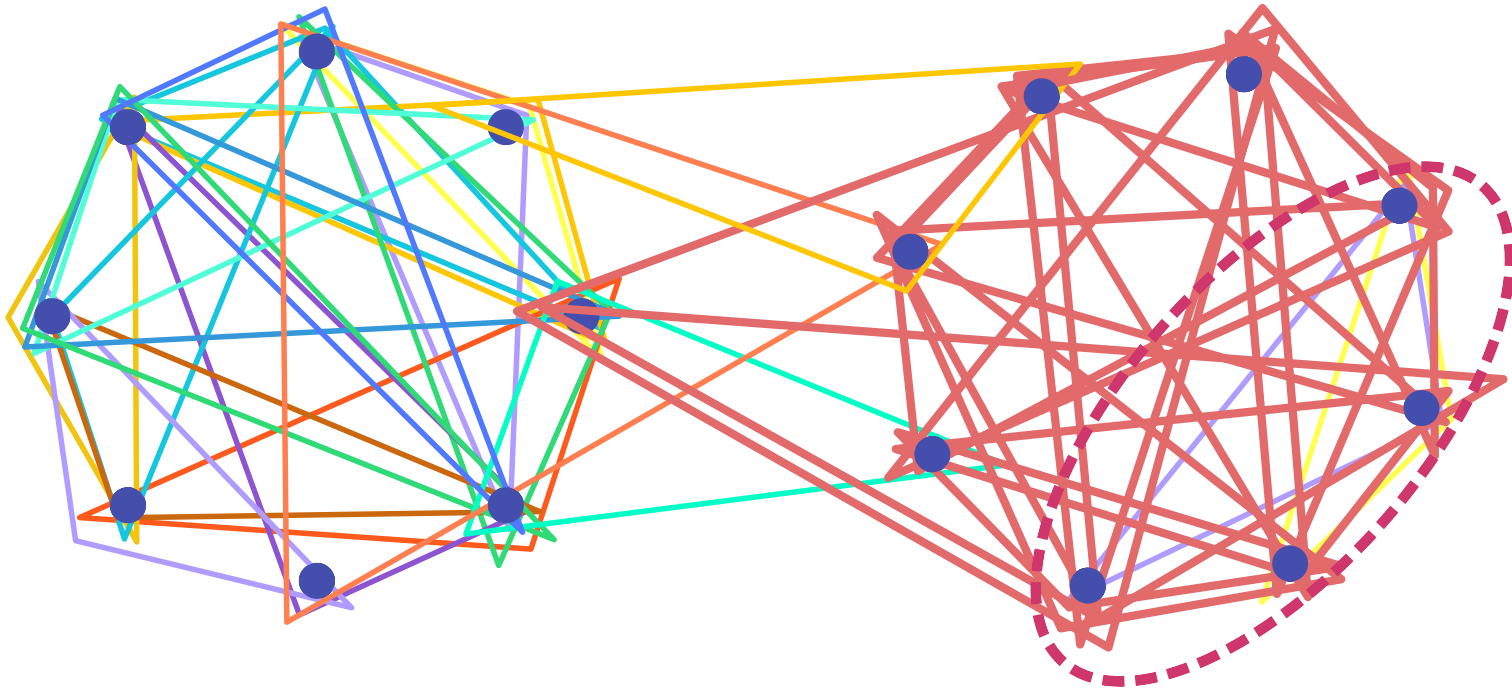
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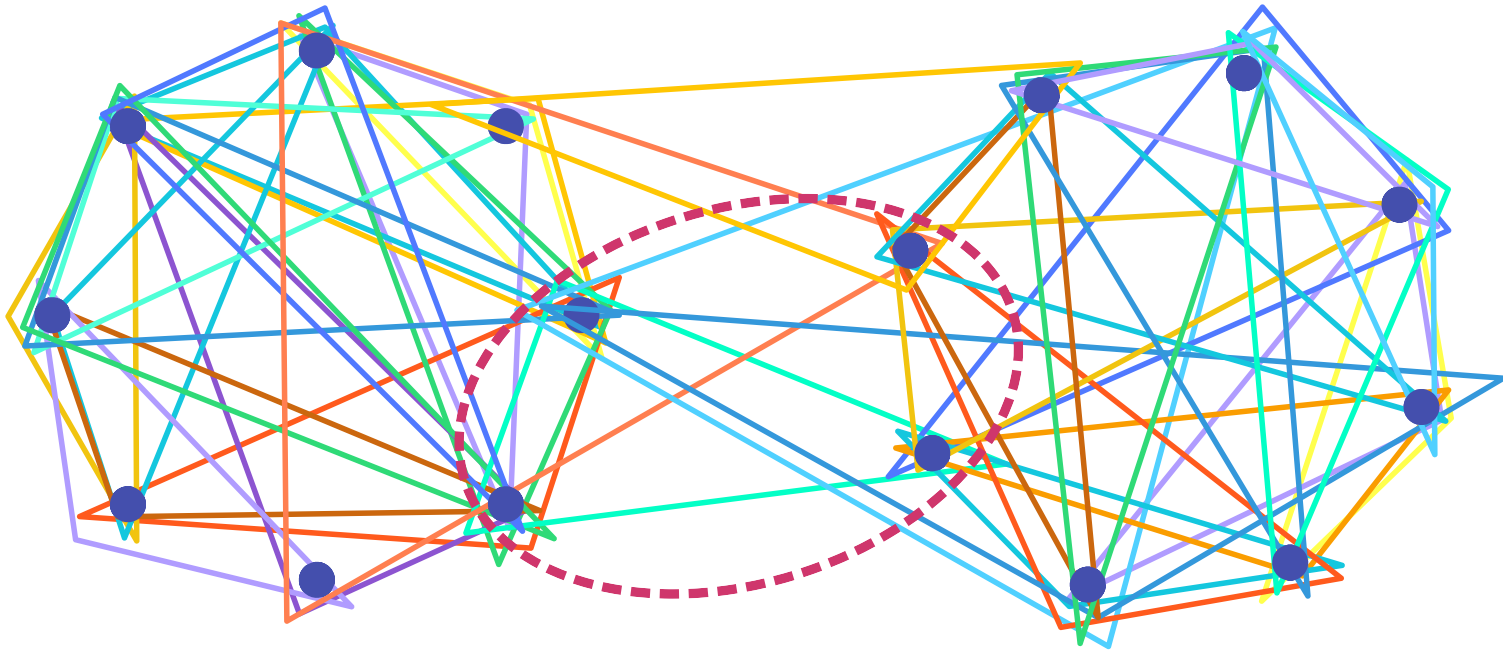
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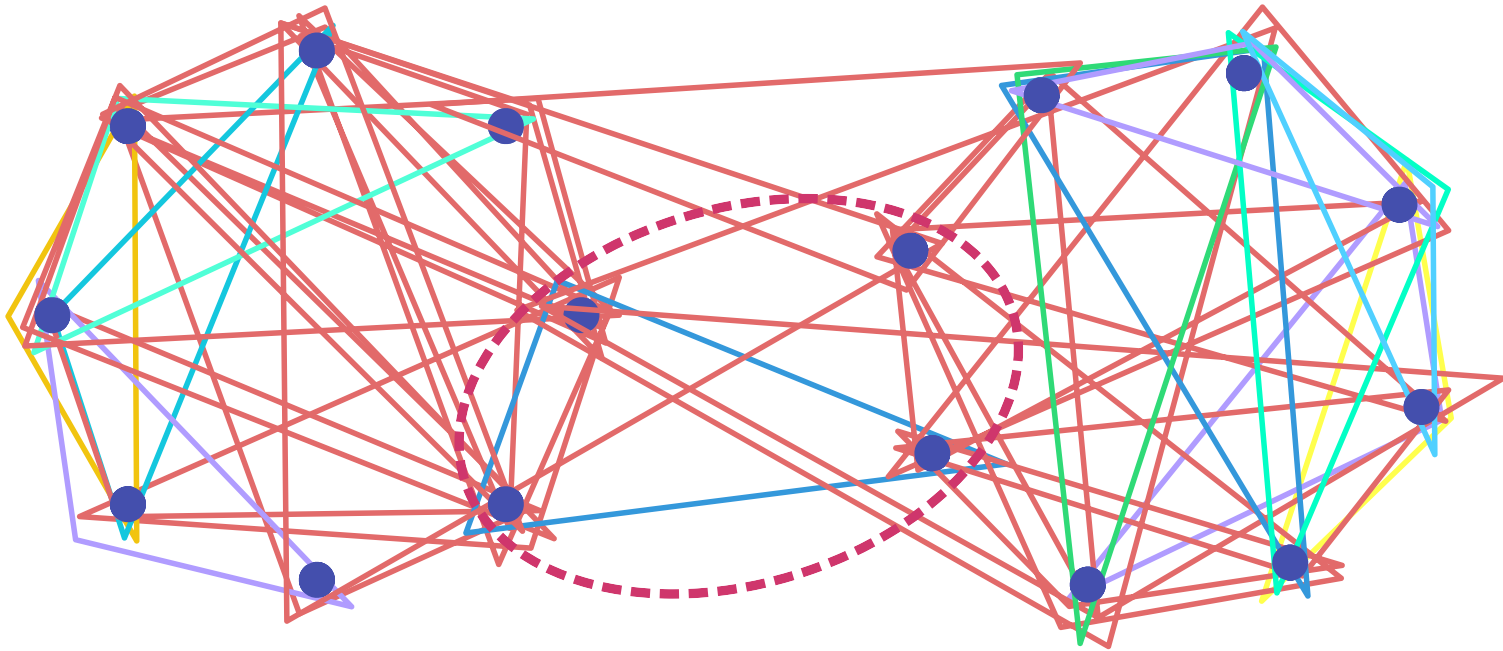
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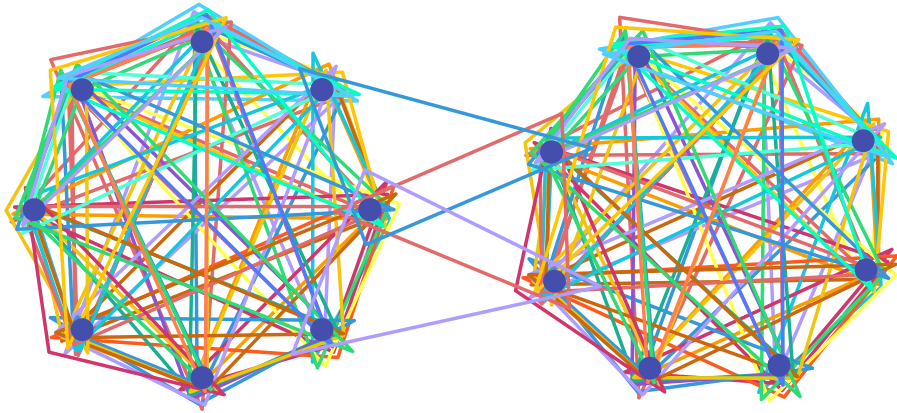
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[KK15, CX18, SY19, BST19, CKN20,
KKTY21, L22, JLS22, JLLS23]

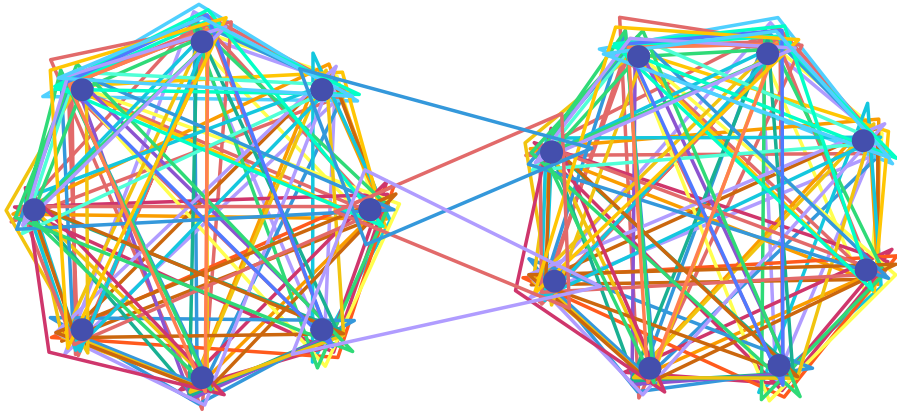


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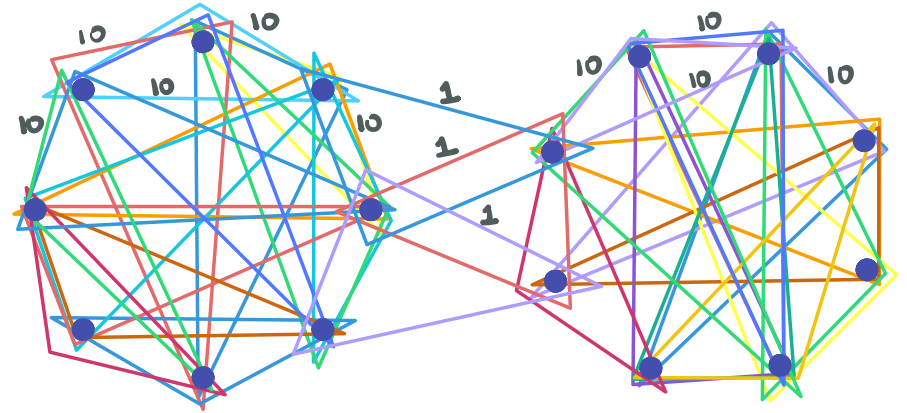
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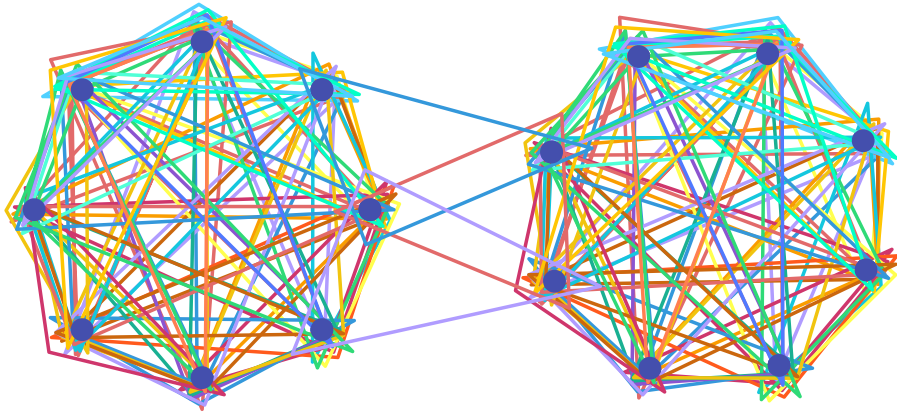
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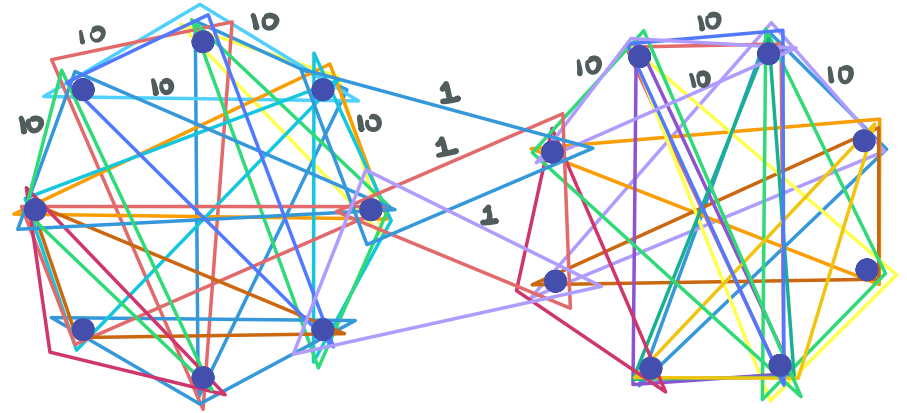
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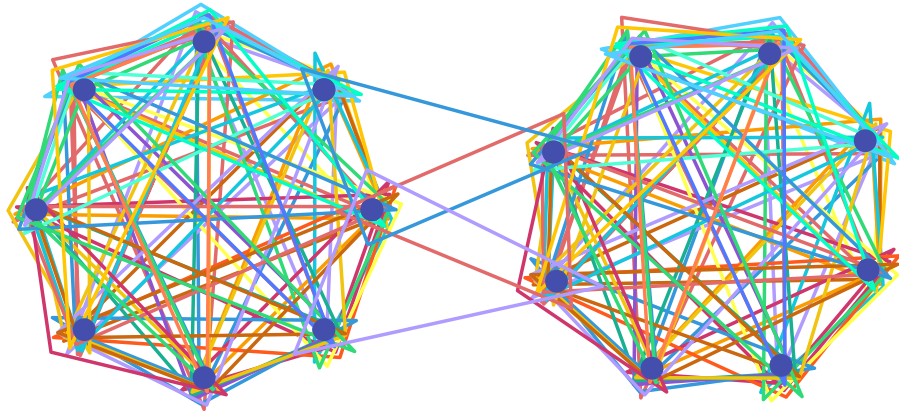
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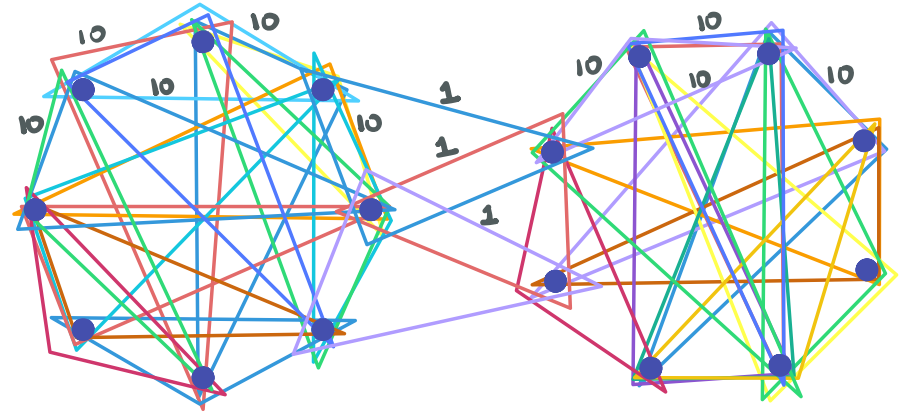
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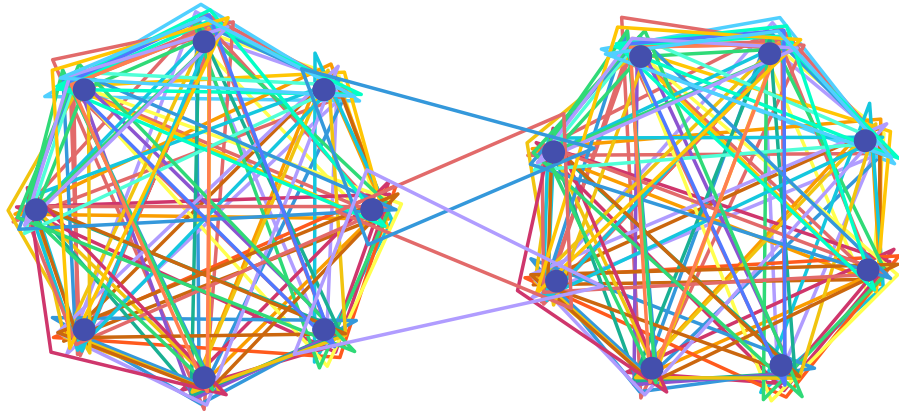
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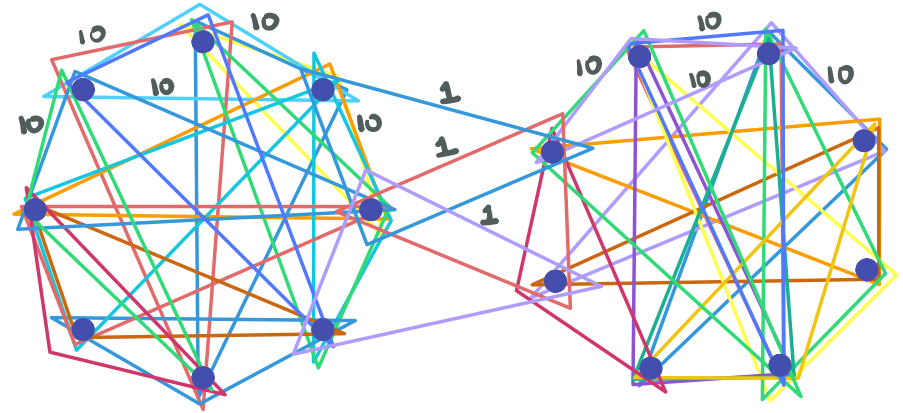
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Chen, Khanna, Nagda 2020:

- $|\tilde{E}| = O(n \log(n) / \epsilon^2)$

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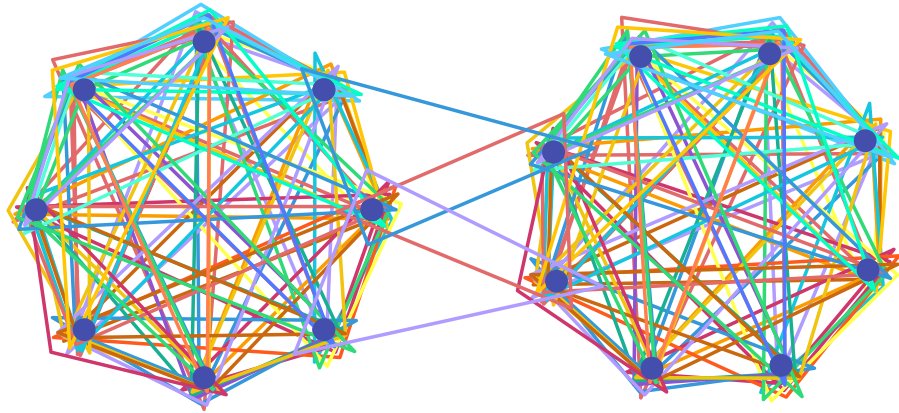
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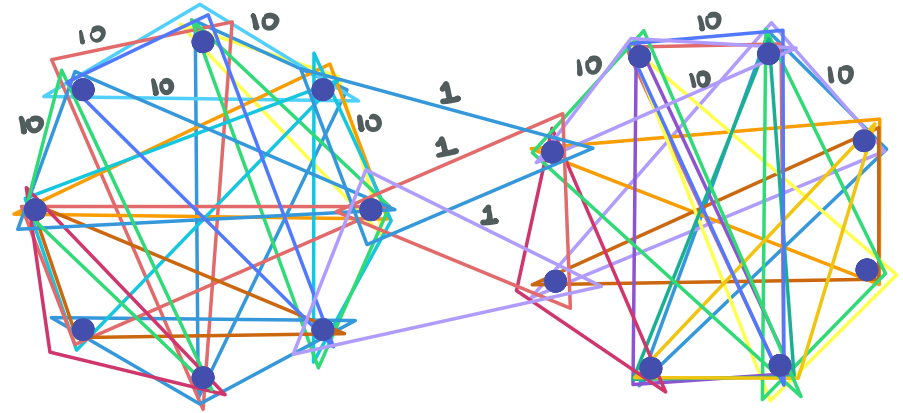
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- Also: "spectral extensions, sums of symm. submodular f_{uv} , \dots (by others)

Matroids and "quotients"

$$\mathcal{M} = (\mathcal{N}, \mathcal{I})$$

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↑
"groundset"

Matroids and "quotients"

$$\mathcal{M} = (N, \mathcal{I})$$

↑
"groundset"

$$\subseteq 2^N$$

Matroids and "quotients"

$$M = (N, \mathcal{I})$$

$\mathcal{I} \subseteq 2^N$

"groundset" \nearrow "feasible" \nwarrow "independent sets"

Matroids and "quotients"

$$M = (N, I)$$

$\subseteq 2^N$
"groundset" (feasible) "independent sets"

Independent sets I satisfy:

1.

2.

3.

Matroids and "quotients"

$$M = (N, I)$$

\uparrow "groundset" $\subseteq 2^N$ (feasible)
 \nwarrow "independent sets"

Independent sets I satisfy:

1. $\emptyset \in I$

2.

3.

Matroids and "quotients"

$$M = (N, I)$$

$\xrightarrow{\subseteq}$ N
"groundset" (feasible)
 "independent sets"

Independent sets I satisfy:

1. $\emptyset \in I$

2. If $S \subseteq T$, and $T \in I$, then $S \in I$

3.

Matroids and "quotients"

$$M = (N, I)$$

N is the "groundset"
 I is the collection of "independent sets" (feasible)

Independent sets I satisfy:

1. $\emptyset \in I$

2. If $S \subseteq T$, and $T \in I$, then $S \in I$

3. If $S, T \in I$, and $|S| < |T|$, then
 $S + e \in I$ for some $e \in T \setminus S$.

Matroids and "quotients"

$$M = (N, I)$$

"groundset" $\subseteq 2^N$ (feasible) "independent sets"

Independent sets I satisfy:

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2. If $S \subseteq T$, and $T \in I$, then $S \in I$

3. If $S, T \in I$, and $|S| < |T|$, then
 $\exists e \in T \setminus S$ s.t. $S \cup e \in I$

2, 3 \Rightarrow maximal indep. sets are maximum indep. sets

"base"

Matroids and "quotients"

$$M = (N, I)$$

\uparrow "groundset" \uparrow "feasible" independent sets

$I \subseteq 2^N$

Independent sets I satisfy:

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Graphic Matroid
(i.e., forests)

Matroids and "quotients"

$$\mathcal{M} = (N, \mathcal{I})$$

N is the "groundset"
 $\mathcal{I} \subseteq 2^N$ are the "independent sets" (feasible)

Independent sets \mathcal{I} satisfy:

1. $\emptyset \in \mathcal{I}$

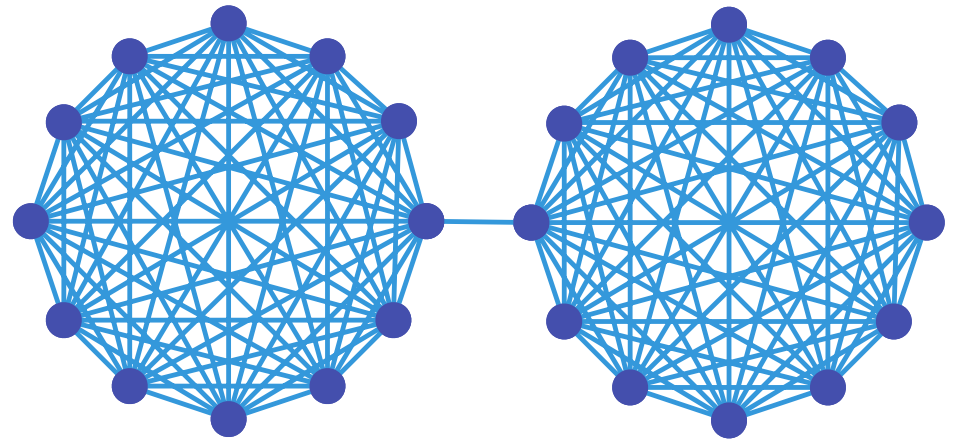
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Graphic Matroid

(i.e., forests)

fix $G = (V, E)$ (undirected)



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"groundset" \uparrow $\subseteq 2^N$ "feasible" \uparrow "independent sets"

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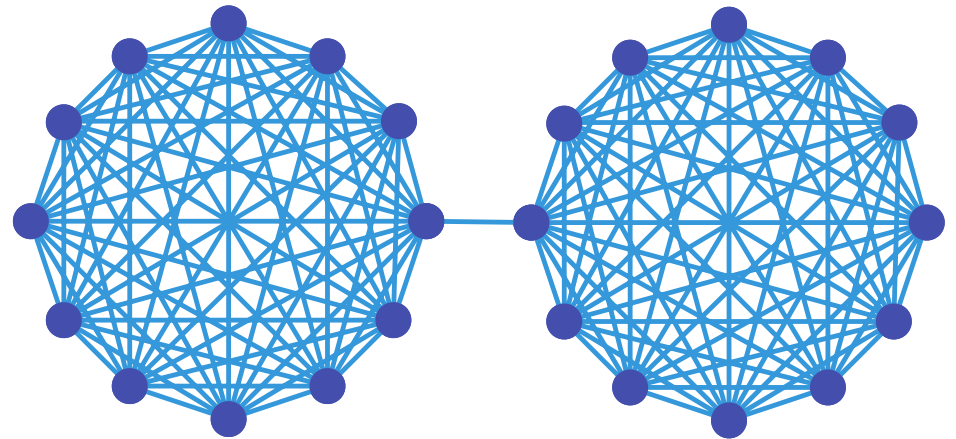
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Graphic Matroid (i.e., forests)

fix $G = (V, E)$ (undirected)

$N = E$ (groundset)



Matroids and "quotients"

$$M = (N, I)$$

"groundset" \uparrow $\subseteq N$ \nwarrow "independent sets" (feasible)

Independent sets I satisfy:

1. $\emptyset \in I$

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3. If $S, T \in I$, and $|S| < |T|$, then $\exists e \in T \setminus S$ such that $S \cup e \in I$.

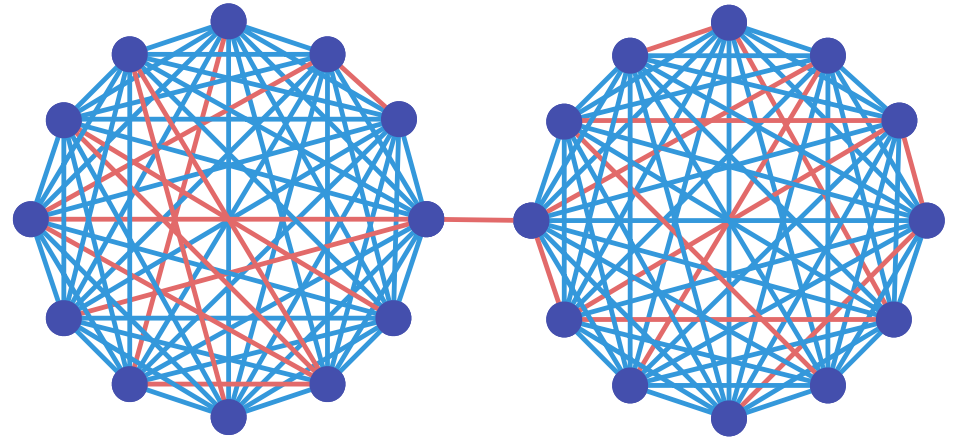
Graphic Matroid (i.e., forests)

fix $G = (V, E)$ (undirected)

$$N = E$$

$$I = \{F \subseteq E : F \text{ is a forest}\}$$

(independent sets)



Matroids and "quotients"

$\mathcal{M} = (\mathcal{N}, \mathcal{I})$
"groundset" \mathcal{N} $\subseteq 2^{\mathcal{N}}$ (feasible) "independent sets" \mathcal{I}

Independent sets \mathcal{I} satisfy:

1. $\emptyset \in \mathcal{I}$

2. If $S \subseteq T$, and $T \in \mathcal{I}$, then $S \in \mathcal{I}$

3. If $S, T \in \mathcal{I}$, and $|S| < |T|$, then $S \cup e \in \mathcal{I}$ for some $e \in T \setminus S$.

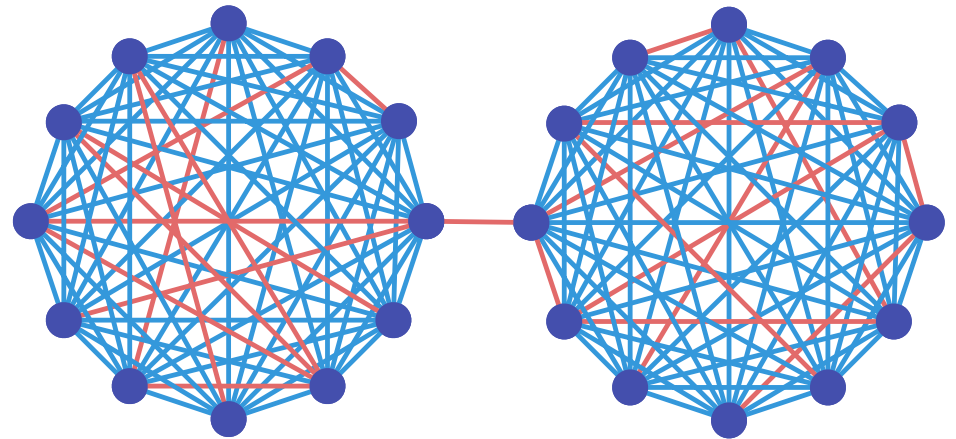
1. \emptyset is a forest

Graphic Matroid (i.e., forests)

fix $G = (V, E)$ (undirected)

$$\mathcal{N} = E$$

$$\mathcal{I} = \{F \subseteq E : F \text{ is a forest}\}$$



Matroids and "quotients"

$$\mathcal{M} = (\mathcal{N}, \mathcal{I})$$

"groundset" \mathcal{N} $\subseteq 2^{\mathcal{N}}$ (feasible) "independent sets" \mathcal{I}

Independent sets \mathcal{I} satisfy:

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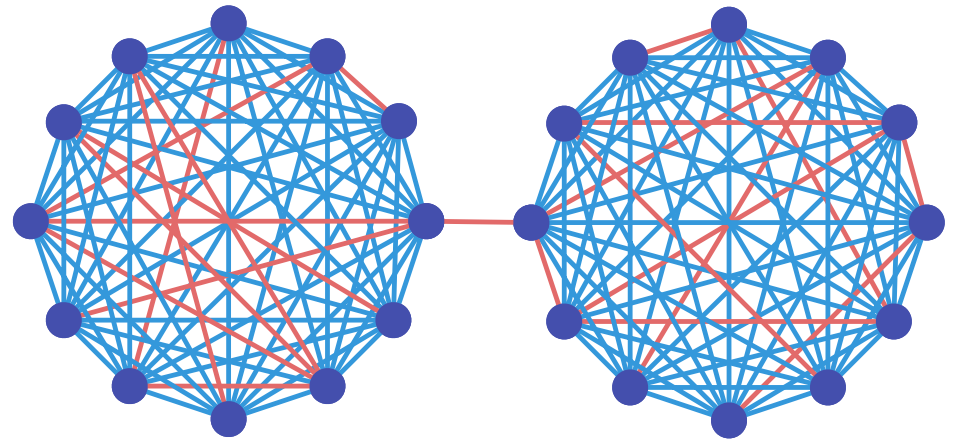
2. subset of a forest is a forest

Graphic Matroid (i.e., forests)

fix $G = (V, E)$ (undirected)

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Matroids and "quotients"

$$\mathcal{M} = (\mathcal{N}, \mathcal{I})$$

"groundset" \mathcal{N} "feasible" \mathcal{I} "independent sets"

Independent sets \mathcal{I} satisfy:

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1. \emptyset is a forest

2. subset of a forest is a forest

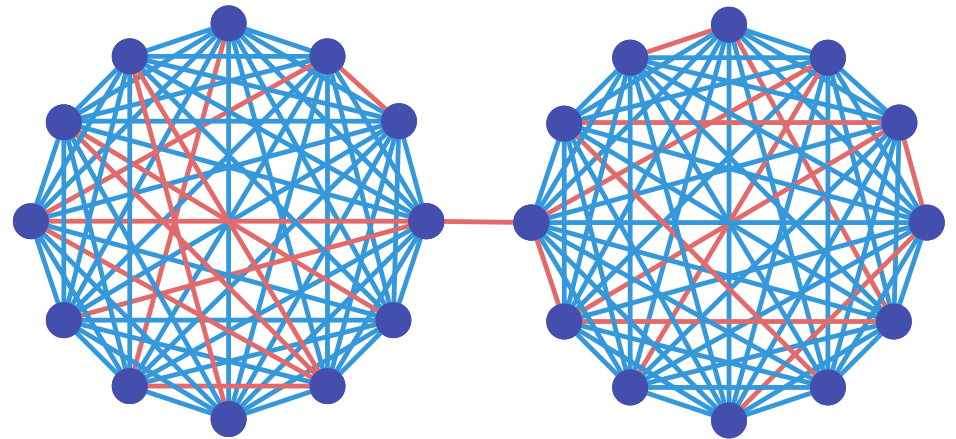
3. If F_1, F_2 are forests w/ $|F_1| < |F_2|$, some $e \in F_2 \setminus F_1$ connects diff. conn. comp. of F_1

Graphic Matroid (i.e., forests)

fix $G = (V, E)$ (undirected)

$$\mathcal{N} = E$$

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(Apologies for terminology)

need to define

"rank function", "span", "closed sets",

"quotients"

$$\mathcal{M} = (\overset{\text{"groundset"}}{N}, \overset{\text{"independent sets"}}{I})$$

$$1. \emptyset \in I$$

$$2. S \subseteq T, T \in I \Rightarrow S \in I$$

$$3. S, T \in I, |S| < |T| \Rightarrow \\ \exists e \in T \setminus S \text{ s.t. } S \cup e \in I$$

$$\underline{\text{maximal}} = \underline{\text{maximum}}$$

Graphic Matroid

(i.e., forests)

fix $G = (V, E)$ (undirected)

$$N = E$$

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$$1. \emptyset \text{ is a forest}$$

$$2. \text{subset of a forest} \\ \text{is a forest}$$

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Rank

$$\mathcal{M} = (\mathcal{N}, \mathcal{I})$$

"groundset"
"independent sets"

1. $\emptyset \in \mathcal{I}$

2. $S \subseteq T, T \in \mathcal{I} \Rightarrow S \in \mathcal{I}$

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maximal = maximum

Graphic Matroid
(i.e., forests)

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$$\text{rank}(S) = \max\{|I| : I \subseteq S, I \in \mathcal{I}\}$$

$$\text{"rank of } \mathcal{M}\text{"} = \text{rank}(N)$$

$$\mathcal{M} = (N, \mathcal{I})$$

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e.g. graphic matroid

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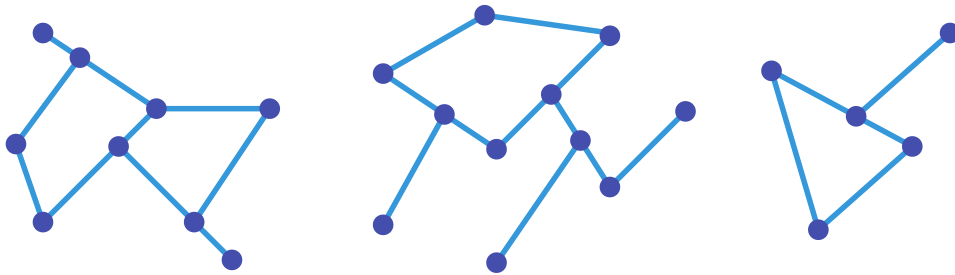
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e.g. graphic matroid

S
(edges)



$$\mathcal{M} = (N, \mathcal{I})$$

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"independent sets"

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Graphic Matroid

(i.e., forests)

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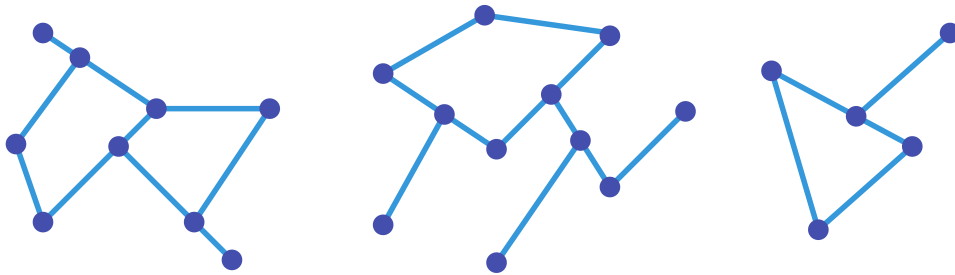
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e.g. graphic matroid

$$\text{rank}(S) =$$



$$\mathcal{M} = (N, \mathcal{I})$$

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Graphic Matroid

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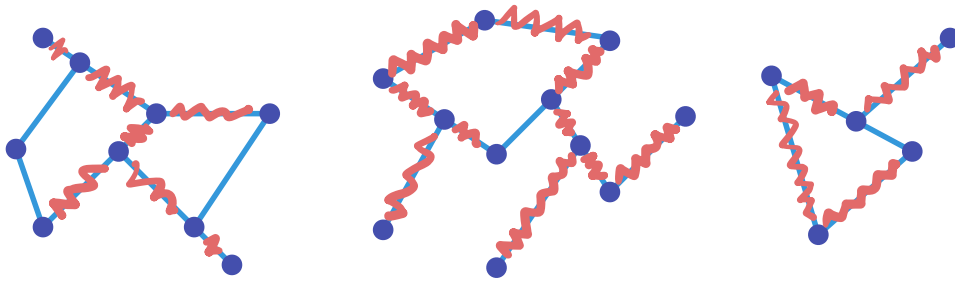
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$$\text{rank}(S) = \max \{ |I| : I \subseteq S, I \in \mathcal{I} \}$$

$$\text{"rank of } \mathcal{M} \text{"} = \text{rank}(N)$$

e.g. graphic matroid

$$\text{rank}(S) = \max \{ |F| : F \subseteq S, F \text{ forest} \}$$



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^{"groundset"}
^{"independent sets"}

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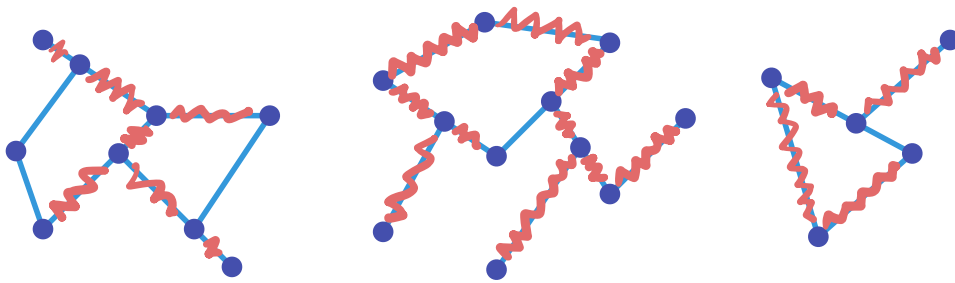
Rank

$$\text{rank}(S) = \max \{ |I| : I \subseteq S, I \in \mathcal{I} \}$$

$$\text{"rank of } \mathcal{M} \text{"} = \text{rank}(N)$$

e.g. graphic matroid

$$\begin{aligned} \text{rank}(S) &= \max \{ |F| : F \subseteq S, F \text{ forest} \} \\ &= n - (\# \text{ conn. comp. of } S) \end{aligned}$$



$$\begin{aligned} \text{rank of graphic matroid} \\ &= n - 1 \quad \text{if graph is connected} \end{aligned}$$

$$\mathcal{M} = (N, \mathcal{I})$$

"groundset"
"independent sets"

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Graphic Matroid

(i.e., forests)

fix $G = (V, E)$ (undirected)

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Properties of $f = \text{rank}$:

•

•

•

$$\mathcal{M} = (N, \mathcal{I})$$

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Graphic Matroid

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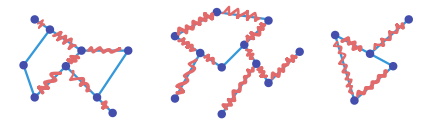
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$$\text{rank}(S) = n - (\# \text{CC of } S)$$



Rank

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Properties of $f = \text{rank}$:

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"groundset"
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Graphic Matroid

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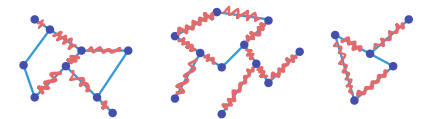
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Rank

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- monotone: $f(S) \leq f(T)$ for $S \subseteq T$
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$$f(e|T) \leq f(e|S)$$
$$\underbrace{f(S \cup e) - f(S)}$$

"decreasing
marginal
returns"

$$\mathcal{M} = (N, \mathcal{I})$$

"groundset"
"independent sets"

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Graphic Matroid

(i.e., forests)

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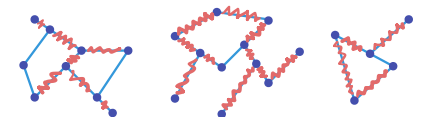
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$$\text{rank}(S) = n - (\# \text{CC of } S)$$



Rank

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$$\mathcal{M} = (N, \mathcal{I})$$

"groundset"
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Properties of $f = \text{rank}$:

- monotone: $f(S) \leq f(T)$ for $S \subseteq T$
- submodular: if $S \subseteq T$, and $e \in N$,

$$\underbrace{f(T \cup e) - f(T)}_{f(e|T)} \leq \underbrace{f(S \cup e) - f(S)}_{f(e|S)}$$

"decreasing
marginal
returns"

- "normalized": for $T \subseteq N$ and $e \in N$,

$$f(e|T) = 0 \text{ or } f(e|T) \geq 1$$

(actually = 1 for rank function)

Graphic Matroid

(i.e., forests)

fix $G = (V, E)$ (undirected)

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$$\mathcal{I} = \{F \subseteq E : F \text{ is a forest}\}$$

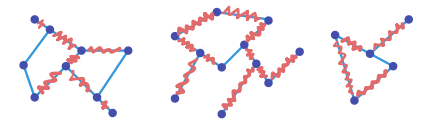
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$$\text{rank}(S) = n - (\# \text{CC of } S)$$



span

$\mathcal{M} = (\mathcal{N}, \mathcal{I})$
"groundset"
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1. $\emptyset \in \mathcal{I}$

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 $e \in T \setminus S \text{ st. } S \cup e \in \mathcal{I}$

maximal = maximum

$\text{rank}(S) = \max\{|I| : I \subseteq S, I \in \mathcal{I}\}$

"rank of \mathcal{M} " = $\text{rank}(\mathcal{N})$

Properties of $f = \text{rank}$:

• monotone: $f(S) \leq f(T)$ for $S \subseteq T$

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$$\underbrace{f(e|T)}_{f(T \cup e) - f(T)} \leq \underbrace{f(e|S)}_{f(S \cup e) - f(S)} \quad \text{"decreasing marginal returns"}$$

• "normalized": for $T \subseteq \mathcal{N}$ and $e \in \mathcal{N}$,
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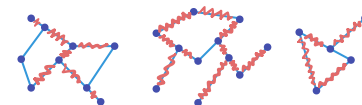
Graphic Matroid
(i.e., forests)

fix $G = (V, E)$ (undirected)

$\mathcal{N} = E$

$\mathcal{I} = \{F \subseteq E : F \text{ is a forest}\}$

$\text{rank}(S) = n - (\# \text{CC of } S)$



span

$$\text{span}(S) = \{e \in N: f(S \cup e) = f(S)\}$$

(including S)

S is "closed" if $S = \text{span}(S)$

$\mathcal{M} = (N, \mathcal{I})$
"groundset"
"independent sets"

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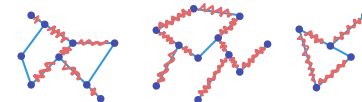
Graphic Matroid
(i.e., forests)

fix $G = (V, E)$ (undirected)

$N = E$

$\mathcal{I} = \{F \subseteq E: F \text{ is a forest}\}$

$$\text{rank}(S) = n - (\# \text{CC of } S)$$



span

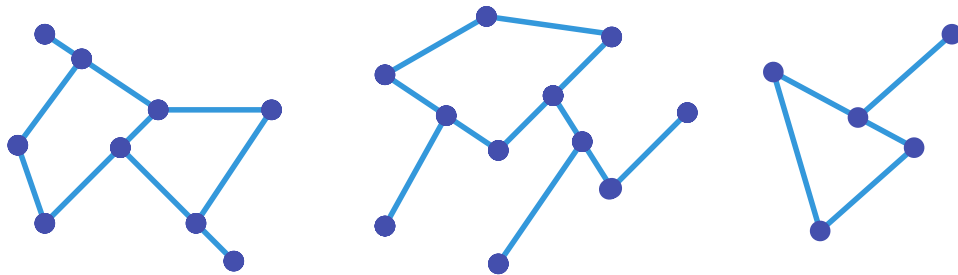
$$\text{span}(S) = \{e \in N: f(Ste) = f(S)\}$$

(including S)

S is "closed" if $S = \text{span}(S)$

e.g. graphic matroid

(edges) S



$$\mathcal{M} = (N, \mathcal{I})$$

"groundset"
"independent sets"

1. $\emptyset \in \mathcal{I}$
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 $e \in T \setminus S \text{ st. } Ste \in \mathcal{I}$
- maximal = maximum

$$\text{rank}(S) = \max\{|I|: I \subseteq S, I \in \mathcal{I}\}$$

"rank of \mathcal{M} " = $\text{rank}(N)$

Properties of $f = \text{rank}$:

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 $f(e|T) \leq f(e|S)$ "decreasing marginal returns"
 $\frac{f(Te) - f(T)}{f(Ste) - f(S)}$
- "normalized": for $T \subseteq N$ and $e \in N$,
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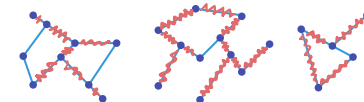
Graphic Matroid
(i.e., \mathcal{F} orests)

fix $G = (V, E)$ (undirected)

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$\mathcal{I} = \{F \subseteq E: F \text{ is a forest}\}$

$\text{rank}(S) = n - (\# \text{CC of } S)$



span

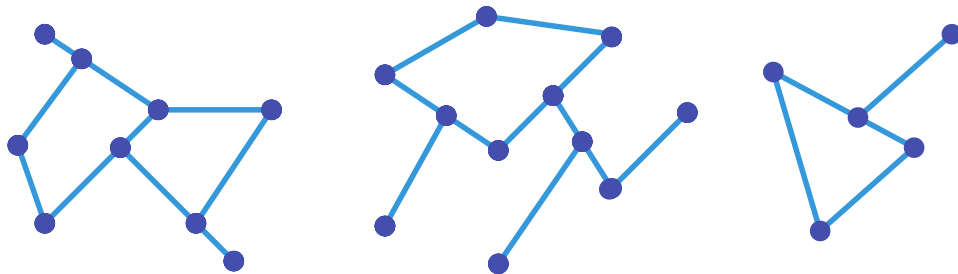
$$\text{span}(S) = \{e \in N : f(S \cup e) = f(S)\}$$

(including S)

S is "closed" if $S = \text{span}(S)$

e.g. graphic matroid

$$\text{span}(S) = \{$$



$$\mathcal{M} = (N, \mathcal{I})$$

"groundset"
"independent sets"

1. $\emptyset \in \mathcal{I}$
 2. $S \subseteq T, T \in \mathcal{I} \Rightarrow S \in \mathcal{I}$
 3. $S, T \in \mathcal{I}, |S| < |T| \Rightarrow e \in T \setminus S \text{ st. } S \cup e \in \mathcal{I}$
- maximal = maximum

$$\text{rank}(S) = \max\{|I| : I \subseteq S, I \in \mathcal{I}\}$$

"rank of \mathcal{M} " = $\text{rank}(N)$

Properties of $f = \text{rank}$:

- monotone: $f(S) \leq f(T)$ for $S \subseteq T$
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$$\underbrace{f(e|T) - f(T)}_{f(T \cup e) - f(T)} \leq \underbrace{f(e|S) - f(S)}_{f(S \cup e) - f(S)}$$

"decreasing marginal returns"
- "normalized": for $T \subseteq N$ and $e \in N$,
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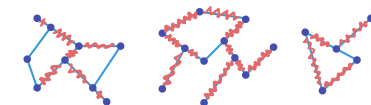
Graphic Matroid
(i.e., forests)

fix $G = (V, E)$ (undirected)

$N = E$

$\mathcal{I} = \{F \subseteq E : F \text{ is a forest}\}$

$$\text{rank}(S) = n - (\# \text{CC of } S)$$



span

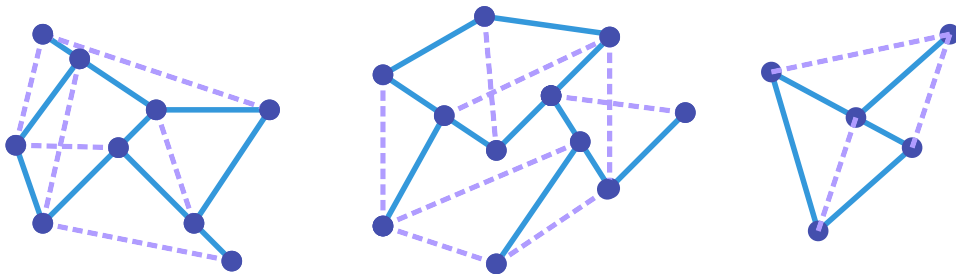
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e.g. graphic matroid

$$\text{span}(S) = \{\text{all edges connected by } S\}$$



$$M = (N, I)$$

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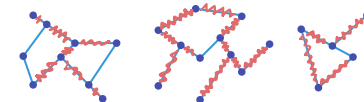
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Quotients

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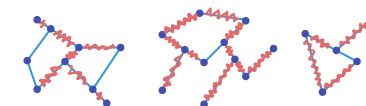
Graphic Matroid
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Quotients

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i.e., if $Q = N \setminus \text{span}(S)$ for some $S \subseteq N$.

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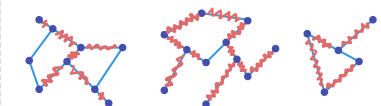
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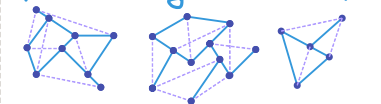
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e.g. for graphic matroid:

S



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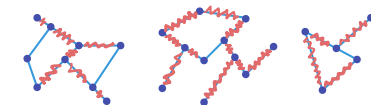
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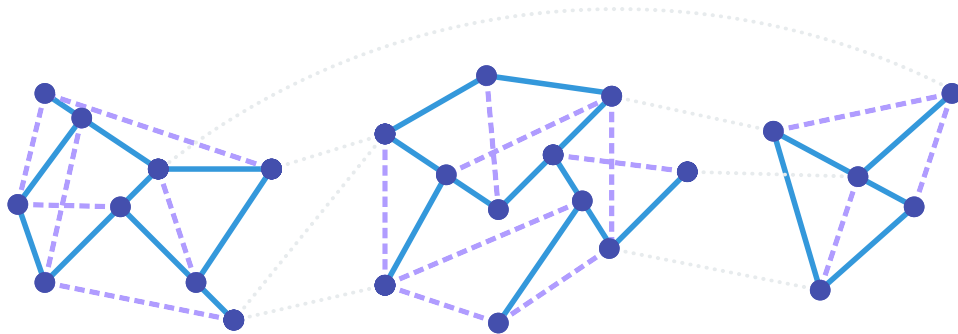
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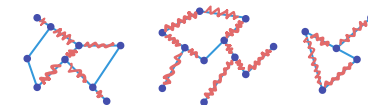
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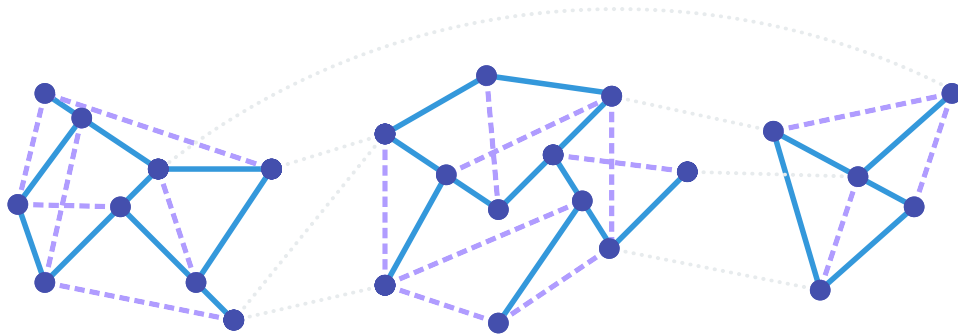
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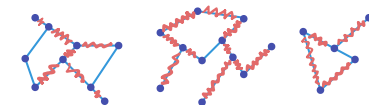
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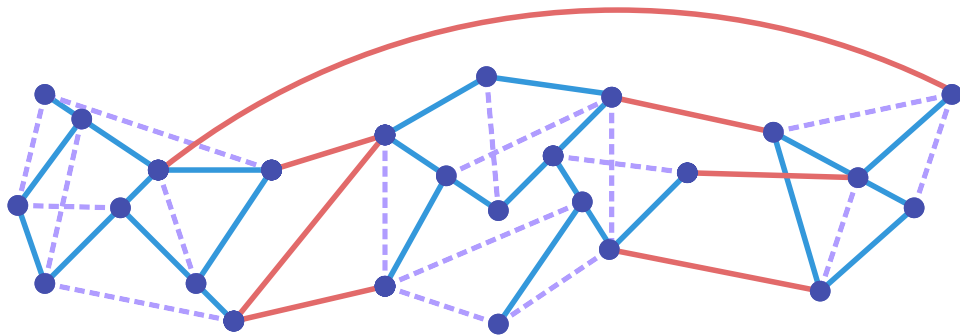
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= edges cut by conn. comp. of S

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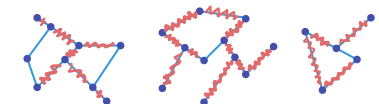
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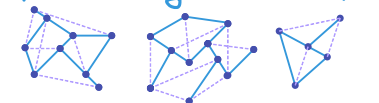
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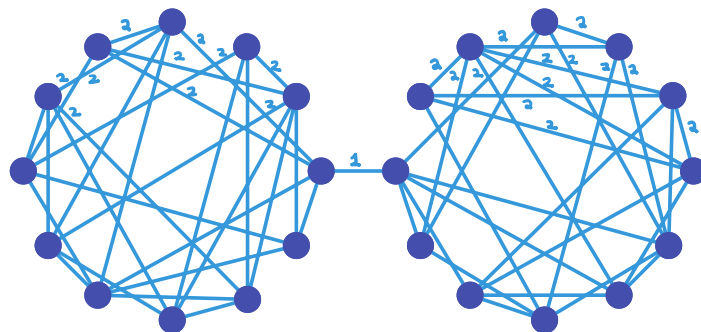
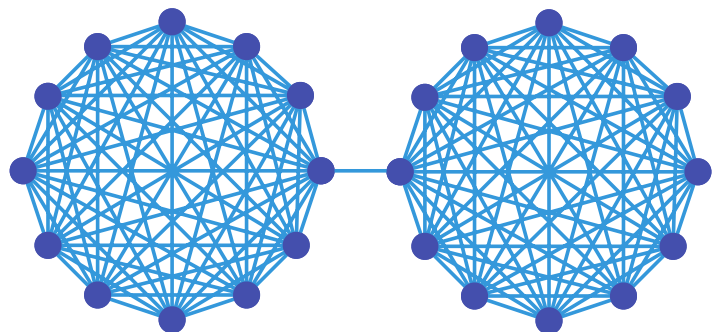
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Matroid quotient sparsification

Input: $M=(N, I)$
 $n=|N|, r=\text{rank}(N)$
 $w(e) > 0$ for $e \in N$

Goal: $\tilde{w}(e) > 0$ for $e \in \tilde{E}$



s.t. (a) $\text{support}(\tilde{w})$ small
 (b) all quotients have similar weight as (M, w)

$M=(N, I)$
 I "independent sets"

- $\emptyset \in I$
- $S \subseteq T, T \in I \Rightarrow S \in I$
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S is "closed" if $S = \text{span}(S)$

Q quotient $\Leftrightarrow \bar{Q}$ closed

i.e., $Q = V \setminus \text{span}(S)$

Graphic Matroid

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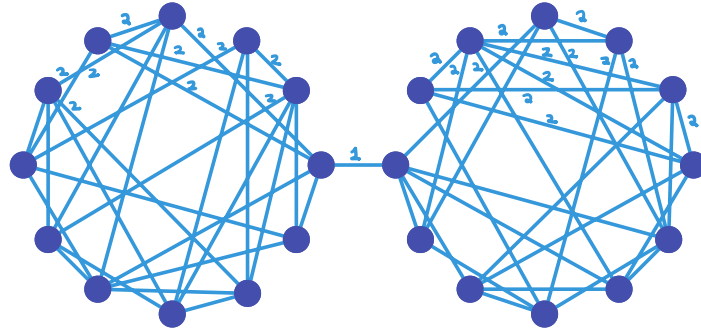
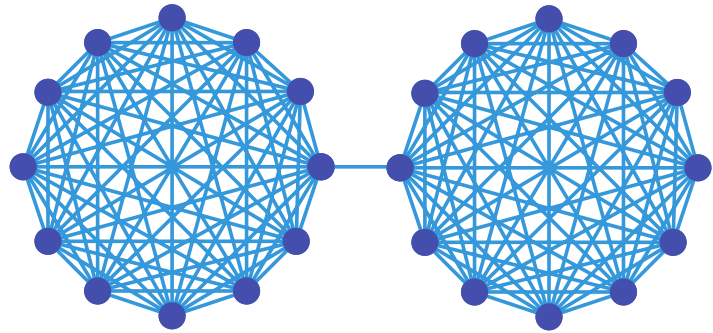
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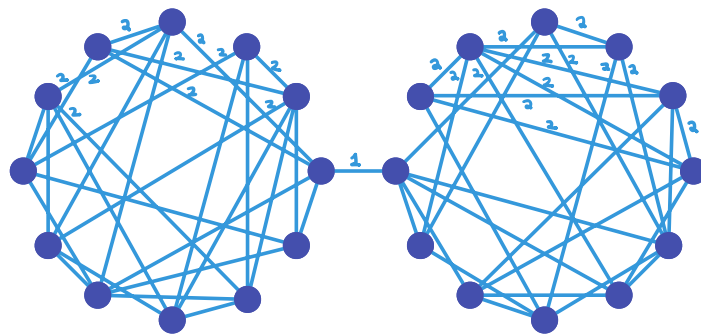
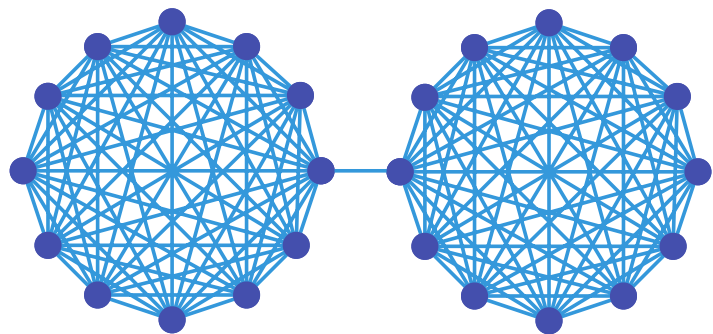


Theorem:

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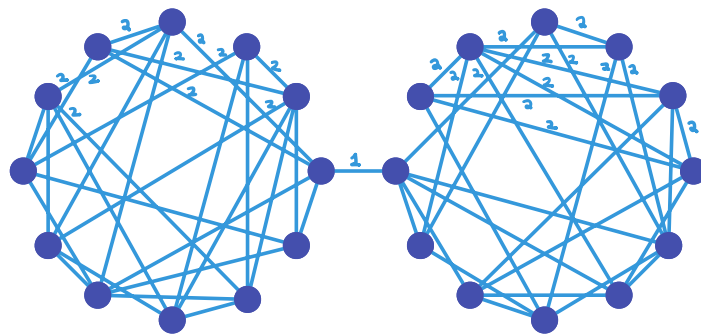
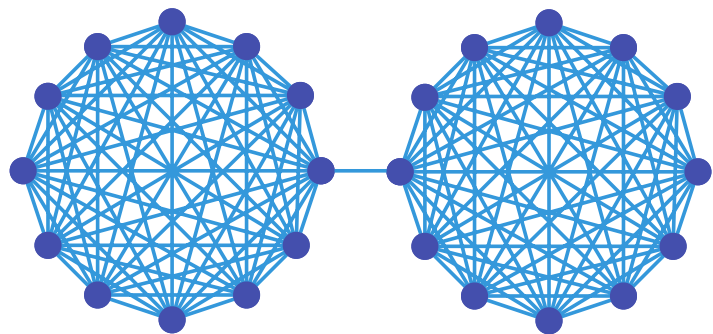
Theorem:

- $|\text{support}(\tilde{w})| = O(r \log(n)/\epsilon^2)$

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Graphic Matroid

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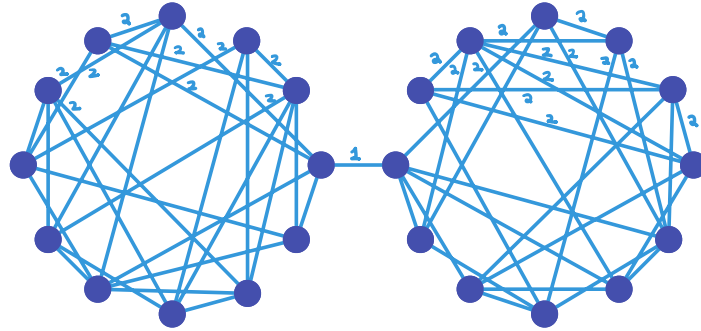
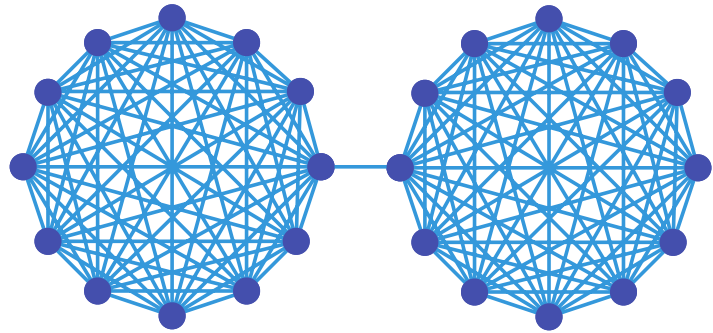
Theorem:

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Matroid quotient sparsification

Input: $M = (N, I)$
 $n = |N|, r = \text{rank}(M)$
 $w(e) > 0$ for $e \in N$

Goal: $\tilde{w}(e) > 0$ for $e \in \tilde{E}$



s.t. (a) $\text{support}(\tilde{w})$ small
 (b) all quotients have similar weight as (M, w)

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$(\tilde{O}(n))$ rand. time and rank oracle queries)

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^{"groundset"}
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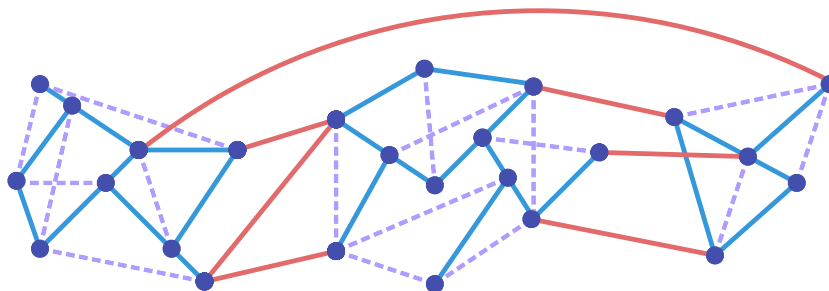
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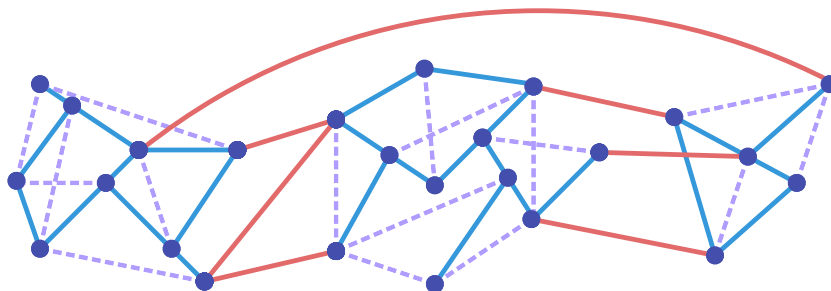
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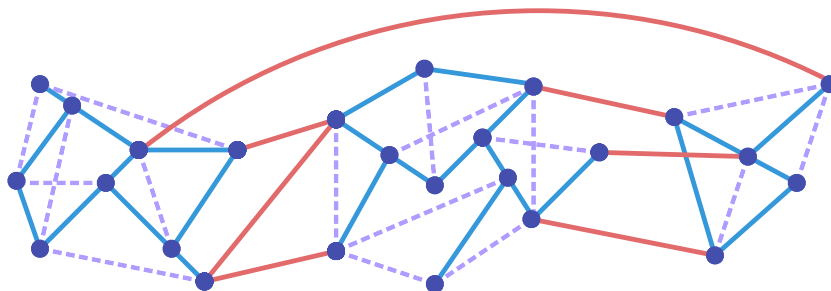
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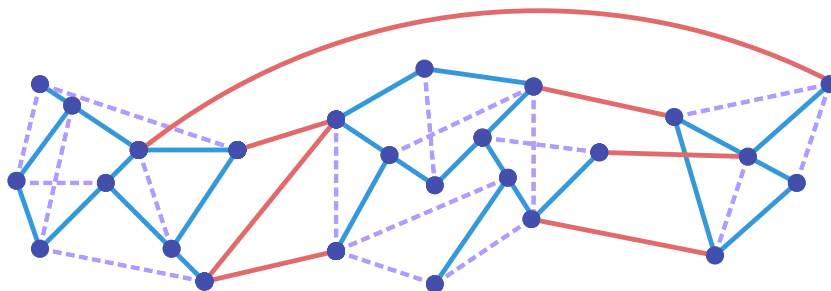
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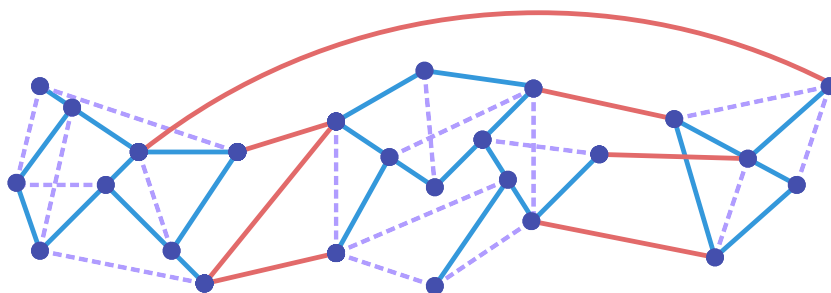
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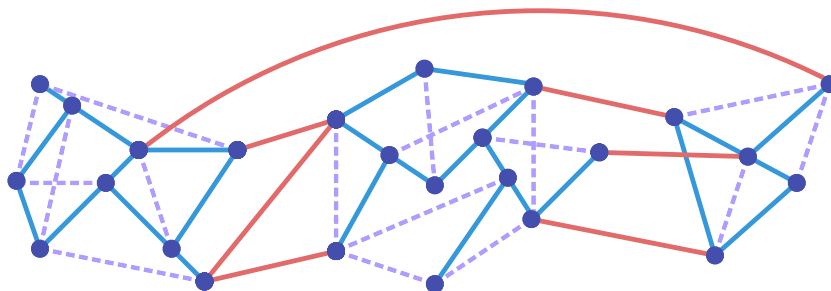
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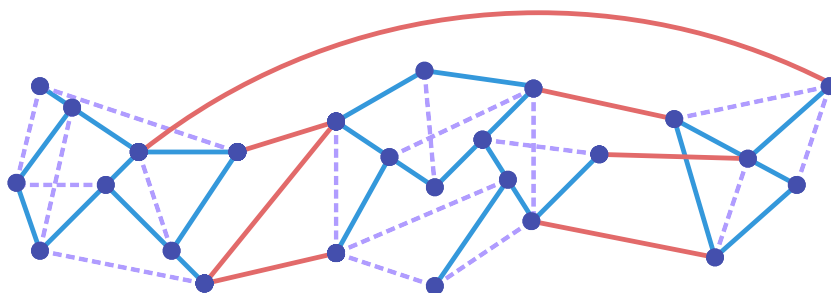
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$(1+\epsilon)$ -APX all cuts



"Submodular quotients"

Let $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ be:

(e.g., rank function)

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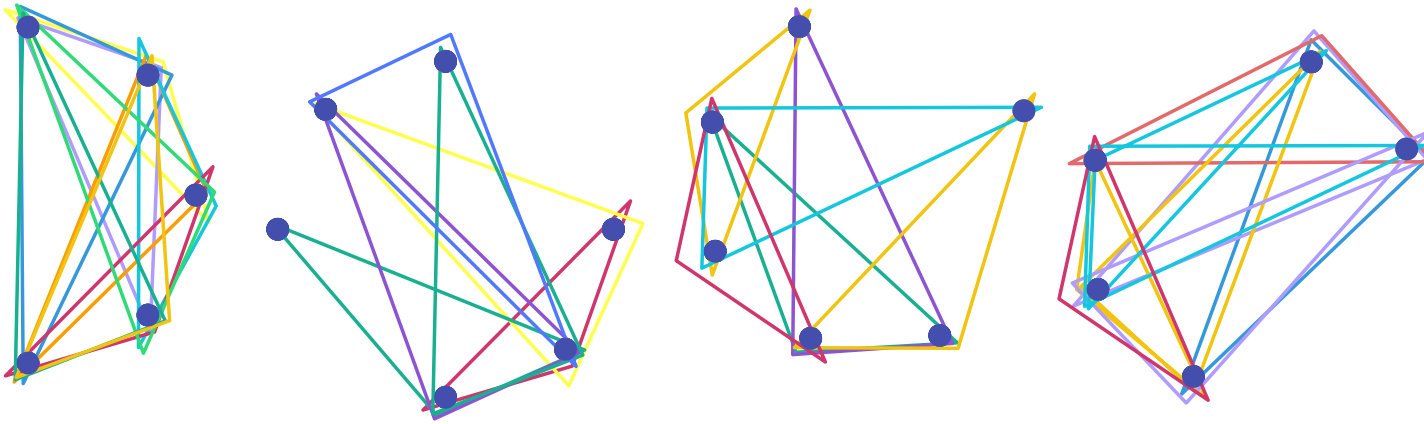
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Hypergraphic polymatroid function

fix hypergraph $G=(V,E)$



$$f(S) = n - (\text{\# connected components of } S)$$

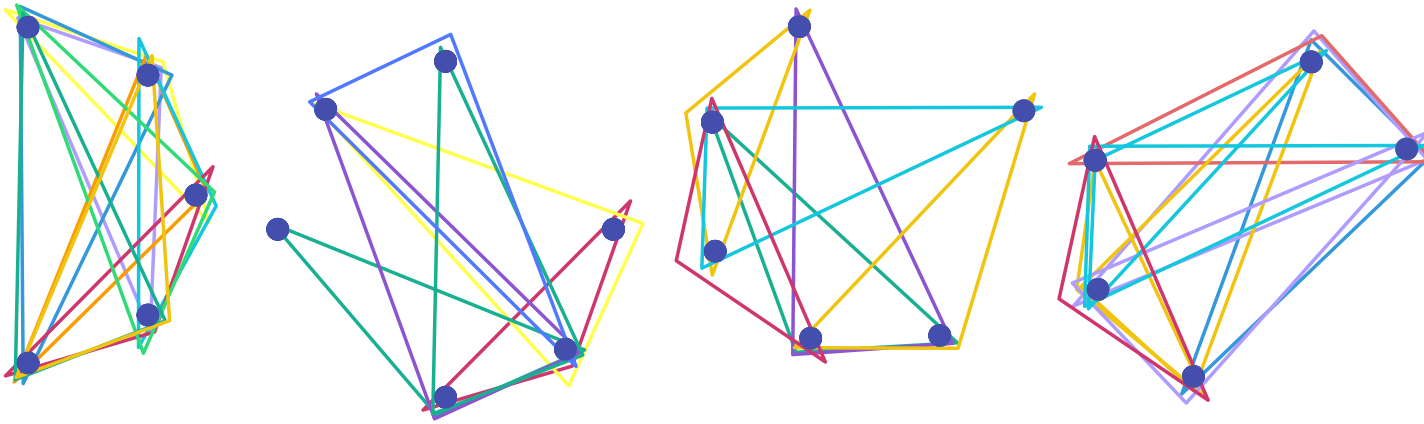
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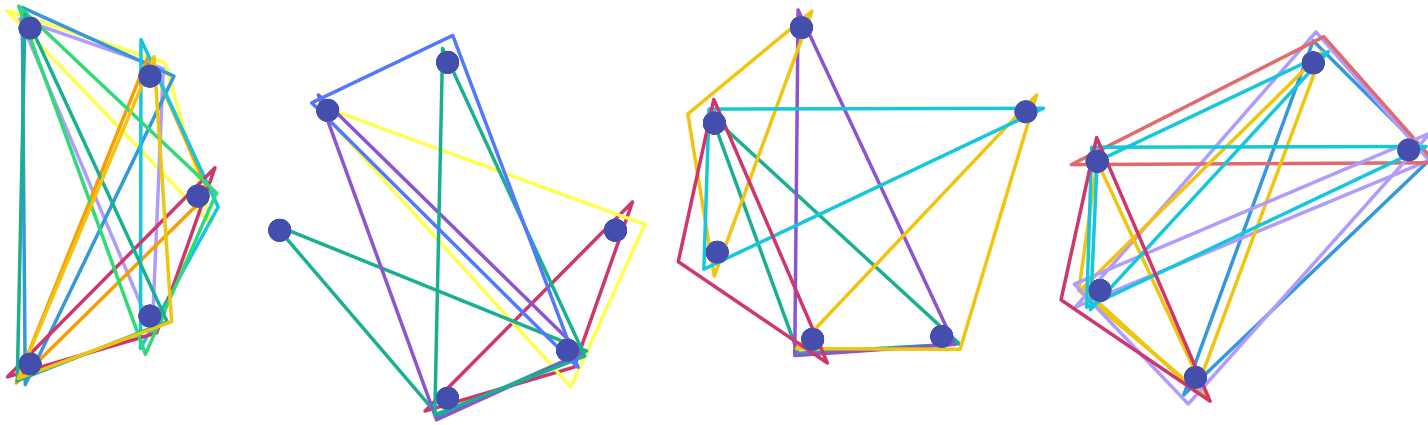
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$$\text{Quotient } Q = E \setminus \text{span}(S)$$

$$= \{\text{edges cut by conn. comp. of } S\}$$

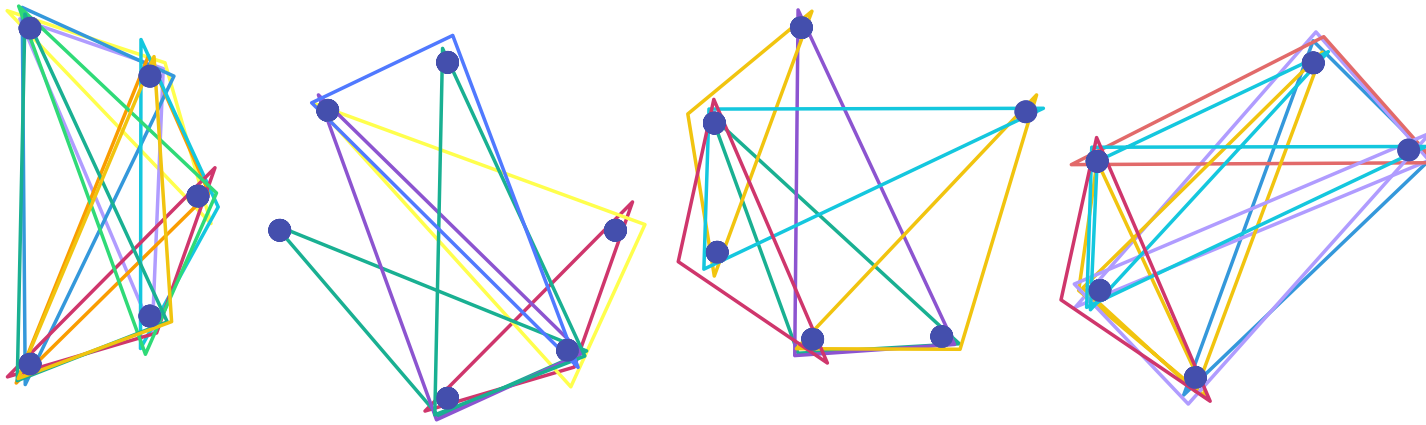
Let $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ be:

- monotone: $S \subseteq T \Rightarrow f(S) \leq f(T)$
- submodular: if $S \subseteq T$, $e \in N$,

$$\underbrace{f(e|T)}_{f(T|e)-f(T)} \leq \underbrace{f(e|S)}_{f(S|e)-f(S)} \quad \text{"decreasing marginal returns"}$$
- "normalized": for $T \subseteq N$, $e \in N$,
 $f(e|T) = 0$ or $f(e|T) \geq 1$ (including S)
- $\text{span}_f(S) = \{e \in N: f(e|S) = 0\}$
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- "rank of f " = $f(N)$

Hypergraphic polymatroid function

fix hypergraph $G=(V,E)$



$$f(S) = n - (\text{\# connected components of } S)$$

$$\text{span}(S) = \{ \text{hyperedges } e \text{ such that } e \text{ is connected by } S \text{ (all endpoints)} \}$$

$$\text{Quotient } Q = E \setminus \text{span}(S)$$

$$= \{ \text{edges cut by conn. comp. of } S \}$$

$$\text{rank of } f = n-1 \text{ if } G \text{ connected}$$

Let $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ be:

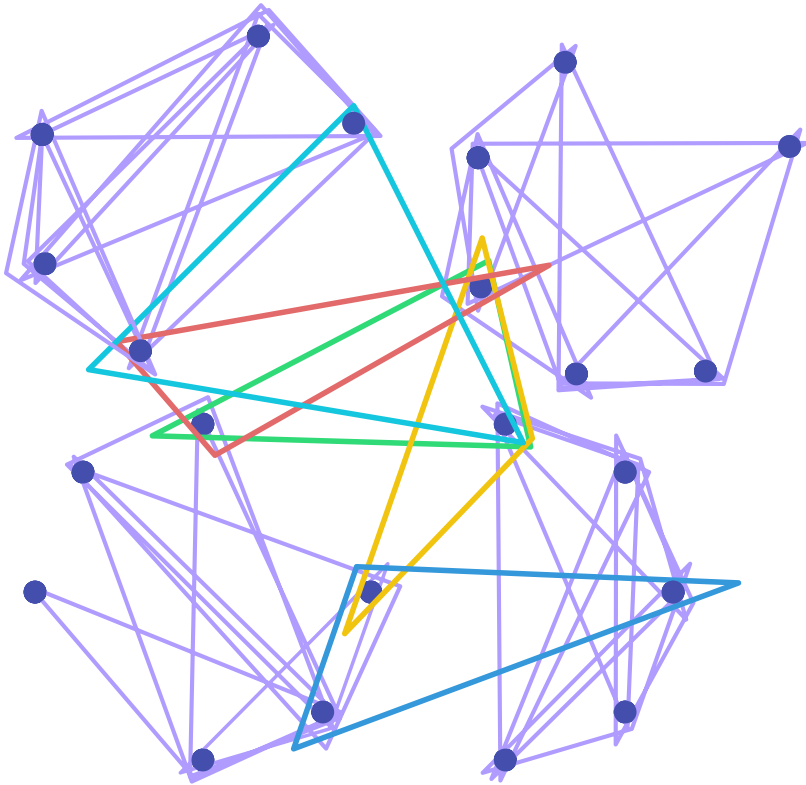
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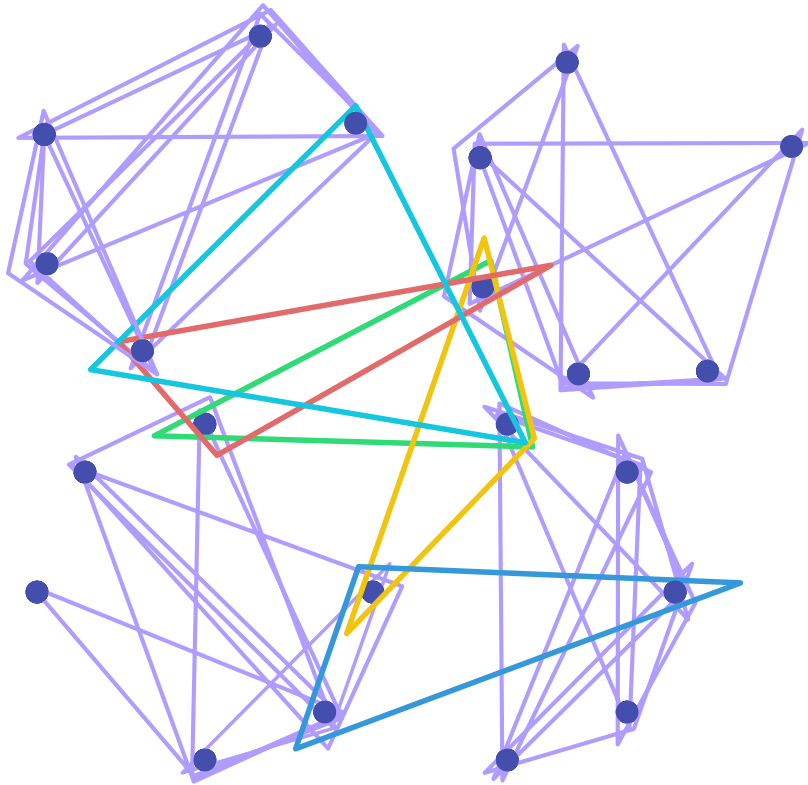
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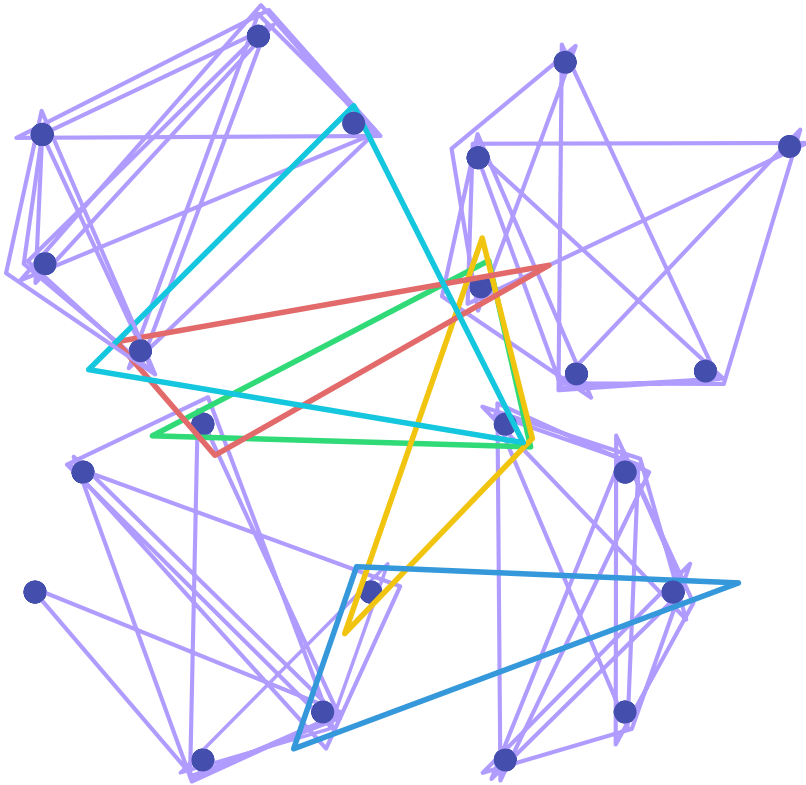


quotients = k-cuts (for varying k)

$$f(S) = n - (\text{\# connected components of } S)$$

Hypergraphic polymatroid function

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quotients = k -cuts (for varying k)

k -cuts include 2-cuts

unlike graphs,

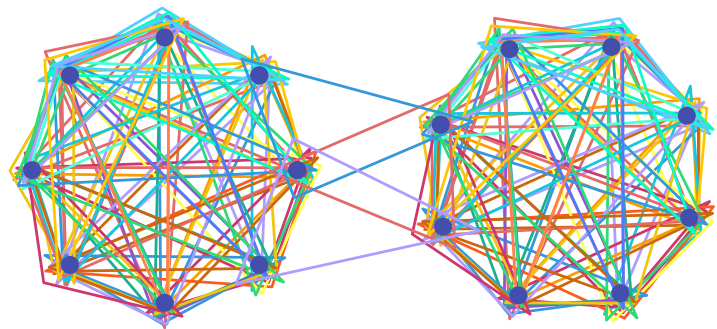
k -cut \neq (half of)

sum of 2-cuts over conn. comp.

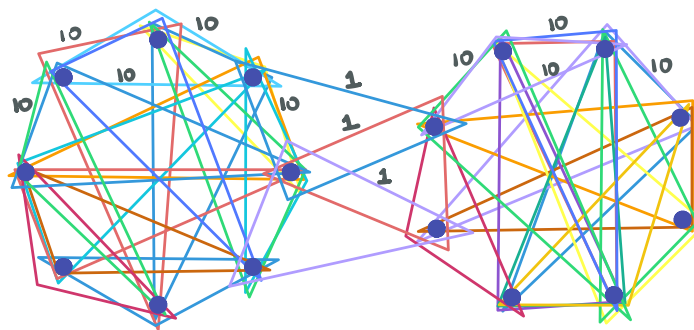
Submodular quotient sparsification

Input: $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ (normalized monotone submodular)

weights $w: N \rightarrow \mathbb{R}_{> 0}$



Goal: $\tilde{w}: N \rightarrow \mathbb{R}_{> 0}$



s.t. (a) $\text{support}(\tilde{w})$ small

(b) all quotients have similar weight as w/w .

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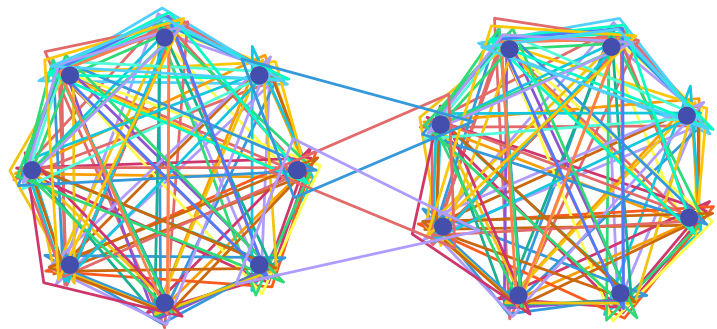
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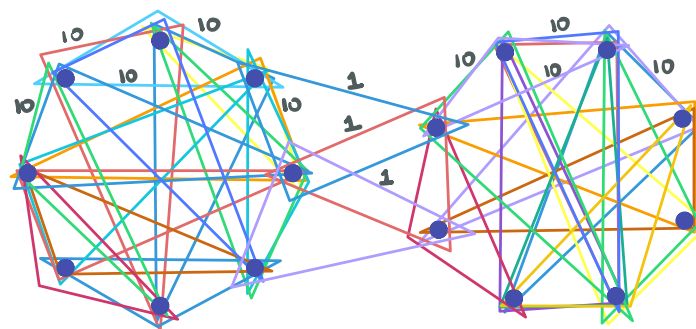
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Theorem

let $r = f(N)$.

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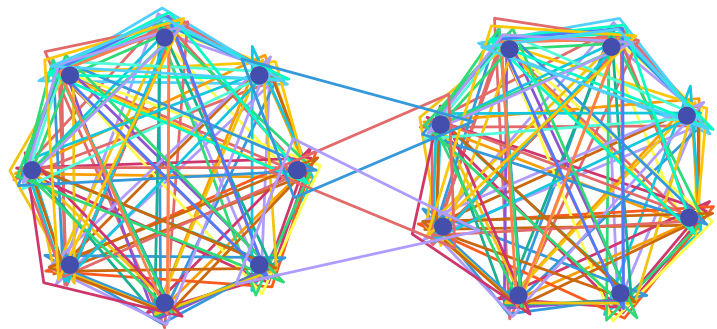
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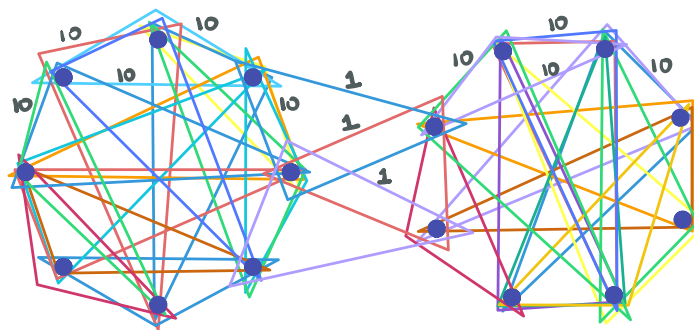
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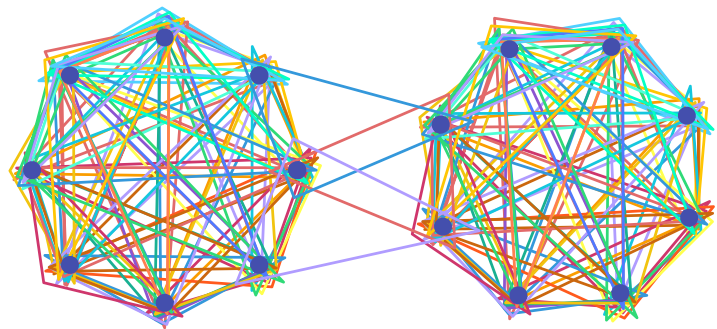
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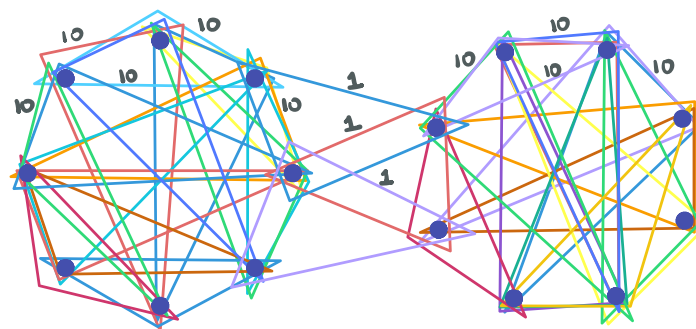
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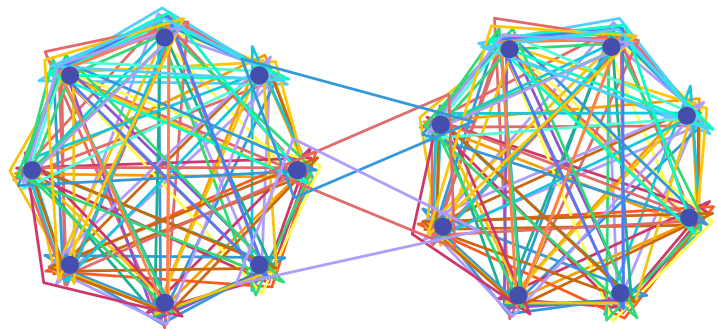
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(b) all quotients have similar weight as w w.

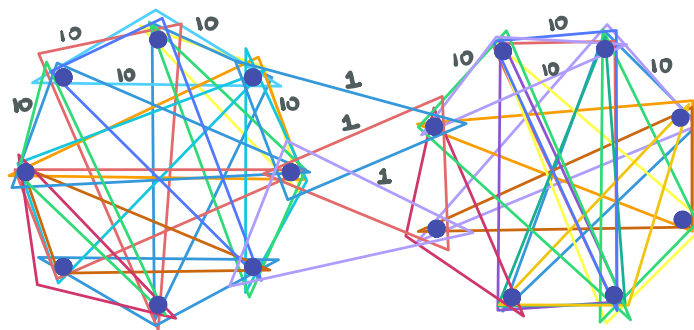
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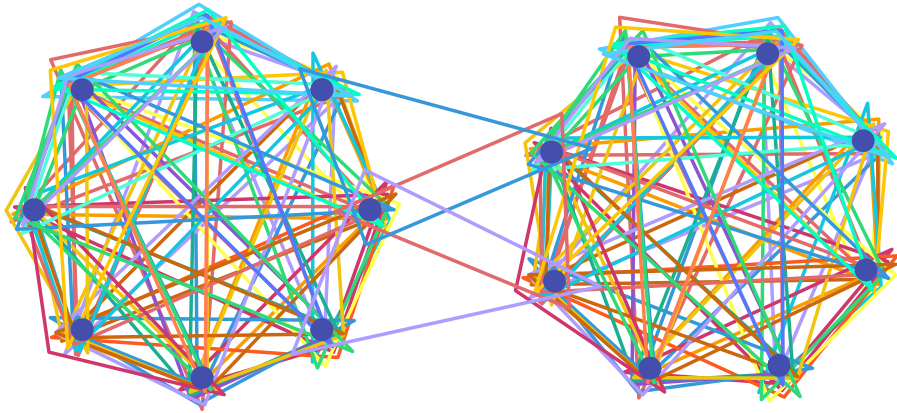
(b) all quotients have similar weight as w w/ w .

(w/ high prob., rand. poly time, w/ oracle access to f)

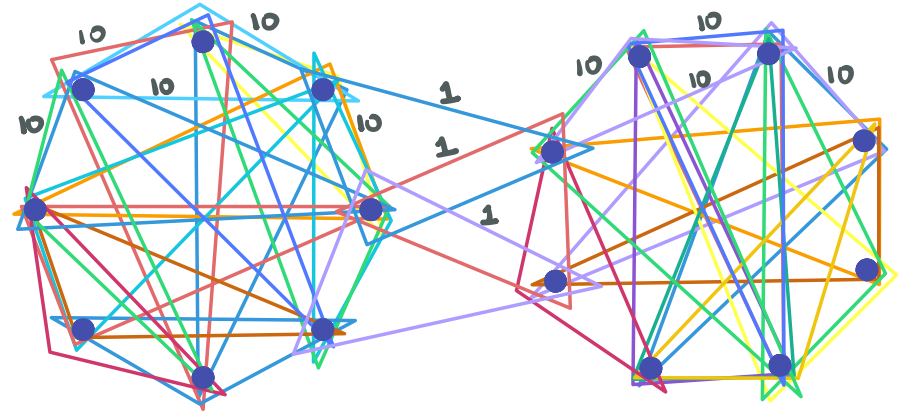
Hypergraph **k-cut** sparsification

$p = \text{total size} = \sum_{e \in E} |e|$

Input: Hypergraph $G = (V, E)$
 $n = |V|$ $m = |E|$
 $w(e) > 0$ for $e \in E$



Goal: subgraph $\tilde{G} = (V, \tilde{E})$
 $\tilde{w}(e) > 0$ for $e \in \tilde{E}$



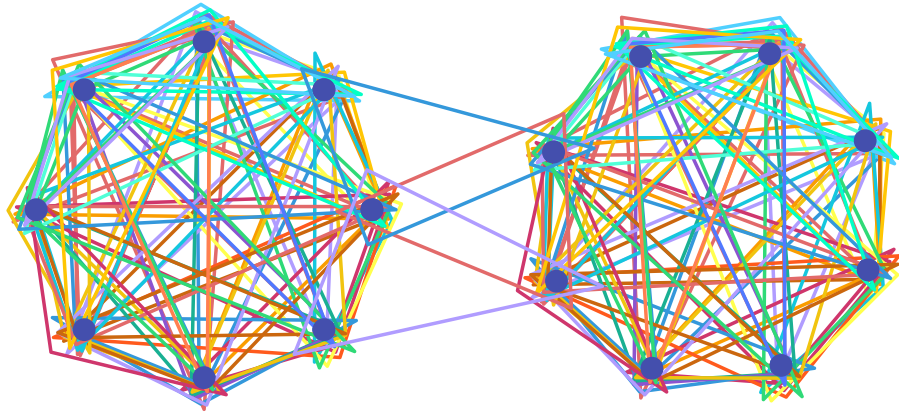
s.t. (a) $|\tilde{E}|$ small

(b) all **k-cuts** have similar weight as in G

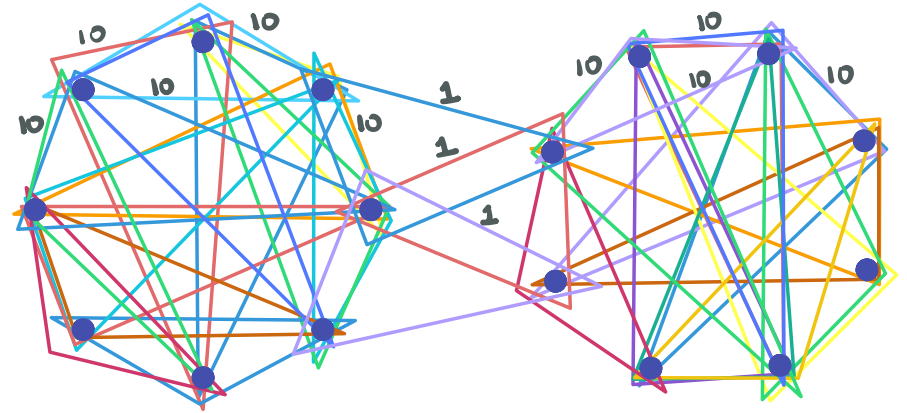
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Goal: subgraph $\tilde{G} = (V, \tilde{E})$
 $\tilde{w}(e) > 0$ for $e \in \tilde{E}$



Theorem

- $|\tilde{E}| = O(n \log(n) / \epsilon^2)$

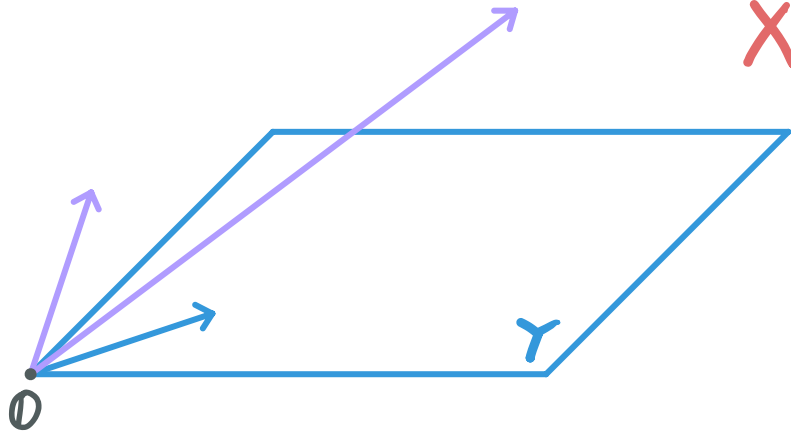
- $(1+\epsilon)$ -APX • $(1-\epsilon) \sum_{e \in \partial(S_1, \tau, S_k)} \tilde{w}(e) \leq \sum_{e \in \partial(S_1, \tau, S_k)} w(e) \leq (1+\epsilon) \sum_{e \in \partial(S_1, \tau, S_k)} \tilde{w}(e)$
for all **k-cuts**

st. (a) $|\tilde{E}|$ small

(b) all **k-cuts** have similar weight as in G

(w/ high prob., in randomized $\tilde{O}(p)$ time)

Vector quotient spaces



$f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ (normalized
monotone
submodular)

weights $w: N \rightarrow \mathbb{R}_{> 0}$

let $r = f(N)$.

Theorem: \tilde{w} s.t.

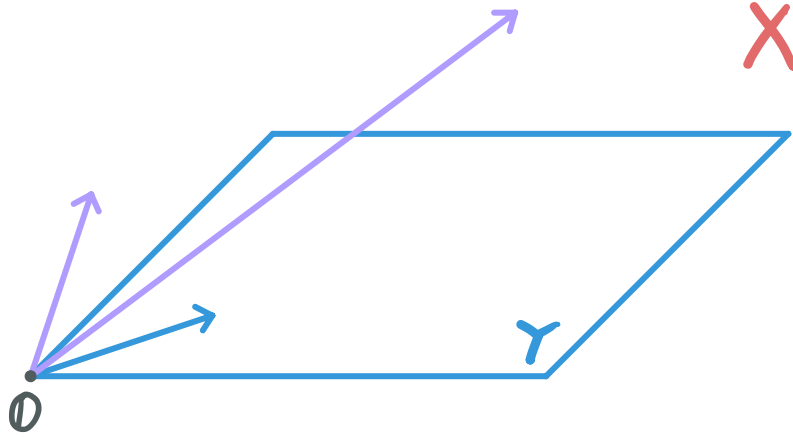
- $|\text{support}(\tilde{w})| = O(r \log(n)/\epsilon^2)$
- $(1+\epsilon)$ -APX all quotients

Vector quotient spaces

vector space X

subspace Y

quotient space X/Y



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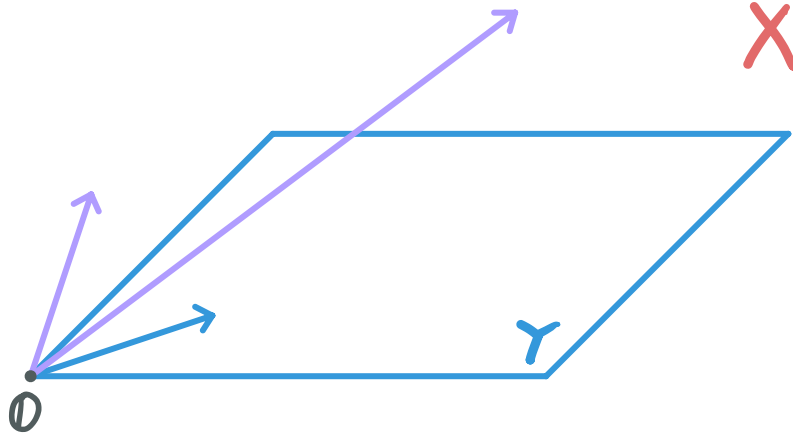
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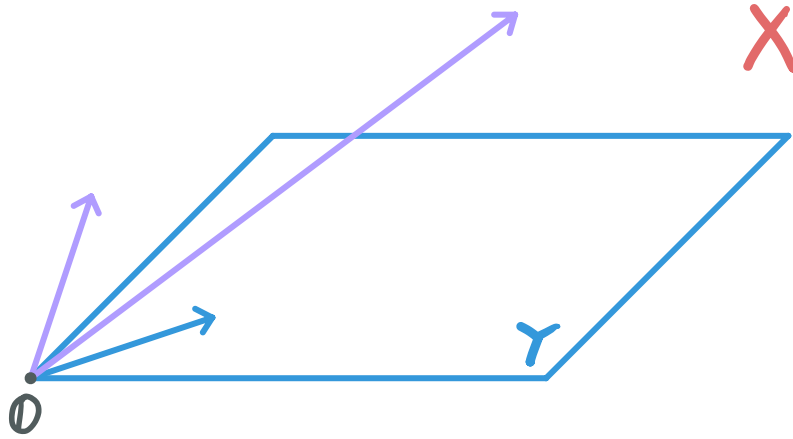
Theorem: Given n vectors $X \subseteq \mathbb{R}^d$, weights $w: X \rightarrow \mathbb{R}_{>0}$:

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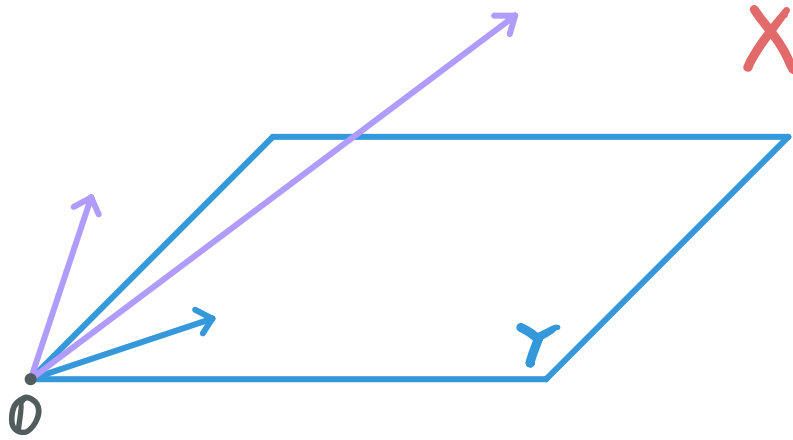
- $\tilde{X} \subseteq X$, $\tilde{w}: \tilde{X} \rightarrow \mathbb{R}_{> 0}$
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- For all quotient spaces Q ,

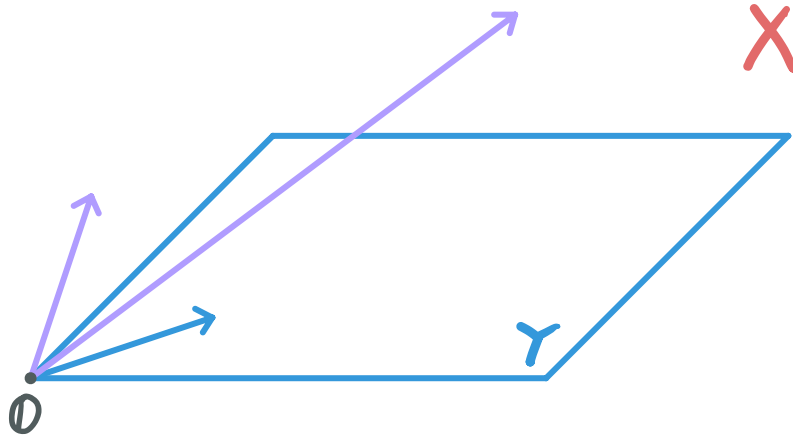
$$(1-\epsilon) w(X \cap Q) \leq \tilde{w}(\tilde{X} \cap Q) \leq (1+\epsilon) w(X \cap Q)$$

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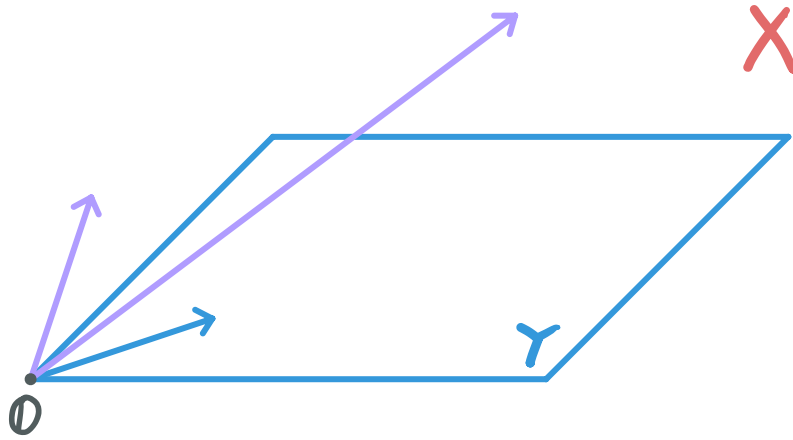
why?

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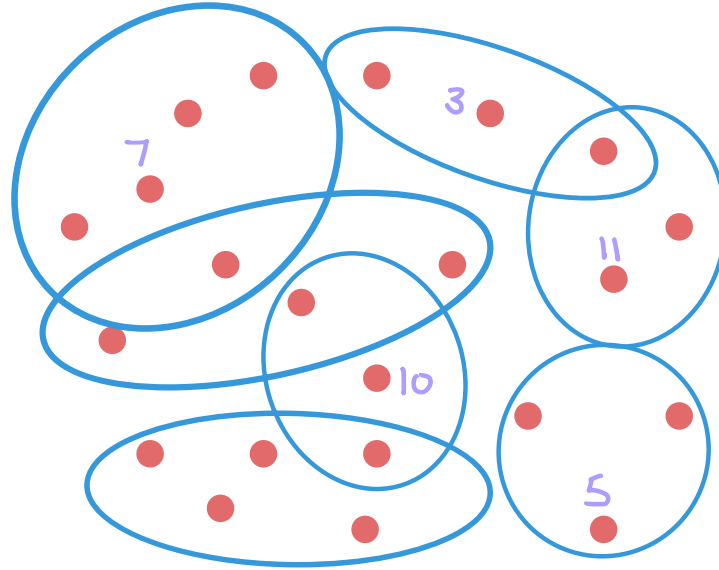
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why?

- linear matroid: $N = X$, I = independent sets of vectors

- quotients of \uparrow = quotient subspaces

Weighted coverage



$f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ (normalized
monotone
submodular)

weights $w: N \rightarrow \mathbb{R}_{> 0}$

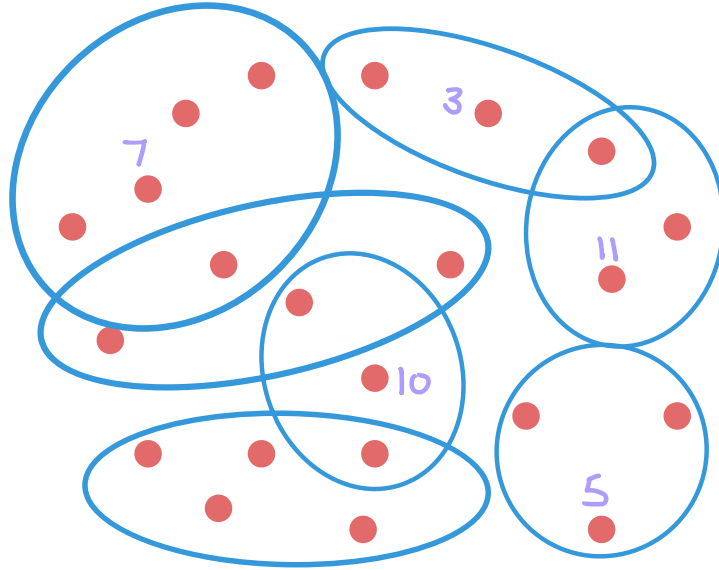
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Theorem: \tilde{w} s.t.

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Weighted coverage

- n elements \mathcal{N}
- m sets $F \subseteq 2^{\mathcal{N}}$
- (elem. weights) $w: \mathcal{N} \rightarrow \mathbb{R}_{\geq 0}$



$f: 2^{\mathcal{N}} \rightarrow \mathbb{R}_{\geq 0}$ (normalized, monotone, submodular)

weights $w: \mathcal{N} \rightarrow \mathbb{R}_{\geq 0}$

let $r = f(\mathcal{N})$.

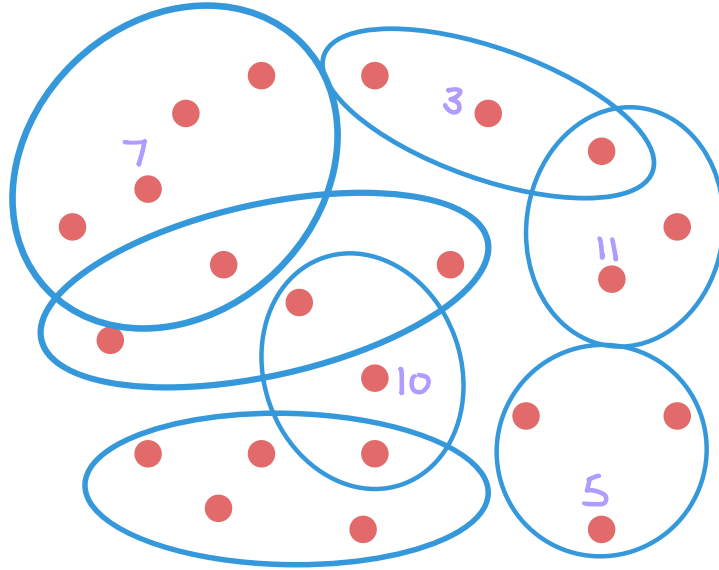
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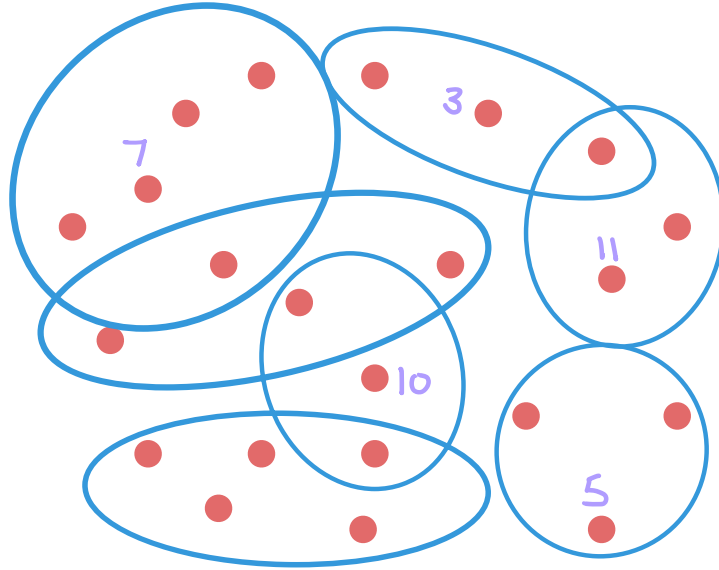
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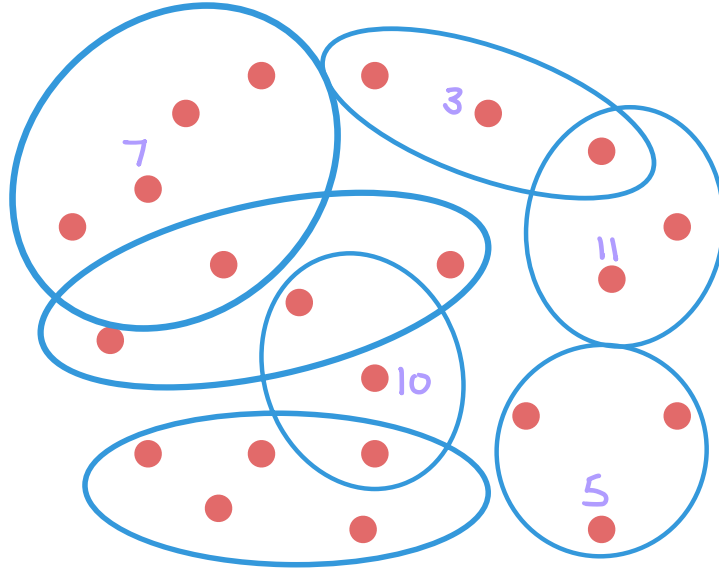
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Theorem

- $\tilde{\mathcal{N}} \subseteq \mathcal{N}$, $|\tilde{\mathcal{N}}| \leq O(m \log(n)/\epsilon^2)$

Weighted coverage

- n elements \mathcal{N}
- m sets $F \subseteq 2^{\mathcal{N}}$
- (elem. weights) $w: \mathcal{N} \rightarrow \mathbb{R}_{\geq 0}$



$f: 2^{\mathcal{N}} \rightarrow \mathbb{R}_{\geq 0}$ (normalized monotone submodular)

weights $w: \mathcal{N} \rightarrow \mathbb{R}_{\geq 0}$

let $r = f(\mathcal{N})$.

Theorem: \tilde{w} s.t.

- $|\text{support}(\tilde{w})| = O(r \log(n)/\epsilon^2)$
- $(1+\epsilon)$ -APX all quotients

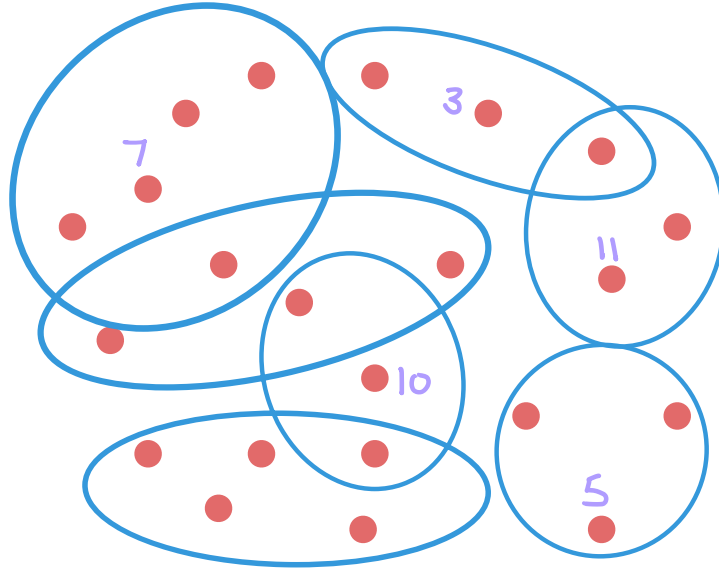
Theorem

- $\tilde{\mathcal{N}} \subseteq \mathcal{N}$, $|\tilde{\mathcal{N}}| \leq O(m \log(n)/\epsilon^2)$
- for all $S_1, \dots, S_k \in F$,

$$(1-\epsilon) w(S_1 \cup \dots \cup S_k) \leq \tilde{w}((S_1 \cup \dots \cup S_k) \cap \tilde{\mathcal{N}}) \leq (1+\epsilon) w(S_1 \cup \dots \cup S_k)$$

Weighted coverage

- n elements \mathcal{N}
- m sets $F \subseteq 2^{\mathcal{N}}$
- (elem. weights) $w: \mathcal{N} \rightarrow \mathbb{R}_{\geq 0}$



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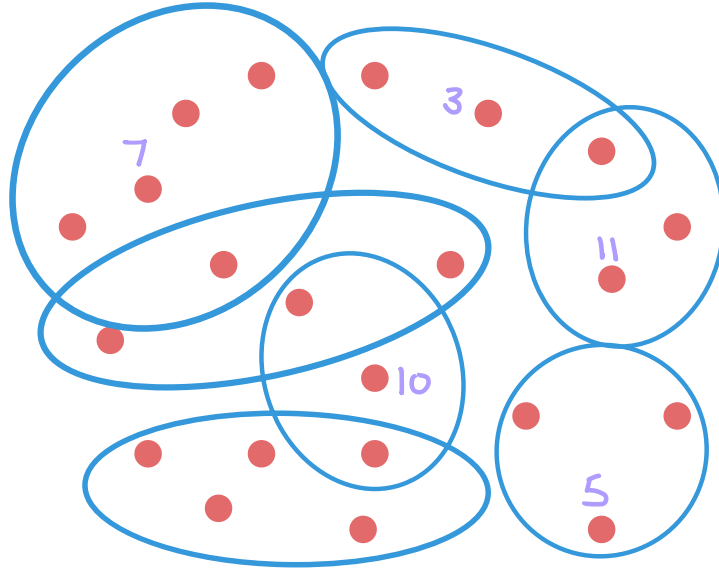
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why?

Weighted coverage

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why?

hitting set fn: define $f: 2^{\mathcal{N}} \rightarrow \mathbb{R}_{\geq 0}$ by

$$f(X) = |\{S \in F : S \cap X \neq \emptyset\}|$$

Quotients of f = unions of F

high-level ideas?

relation to
Benczúr-Karger?

how to do it fast?

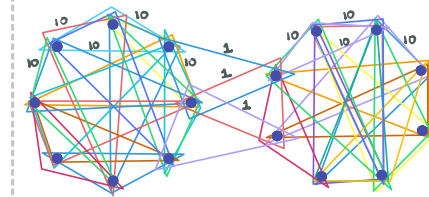
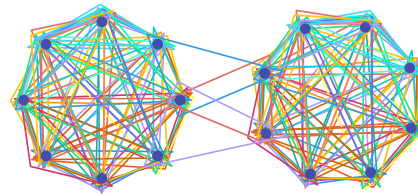
additional constraint:
be concrete
(no more "submodular f")

Focus on graph cuts,
graphic matroid

Submodular quotient sparsification

Input: $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ (normalized
monotone
submodular)
weights $w: N \rightarrow \mathbb{R}_{> 0}$

Goal: $\tilde{w}: N \rightarrow \mathbb{R}_{> 0}$



Theorem

let $r = f(N)$.

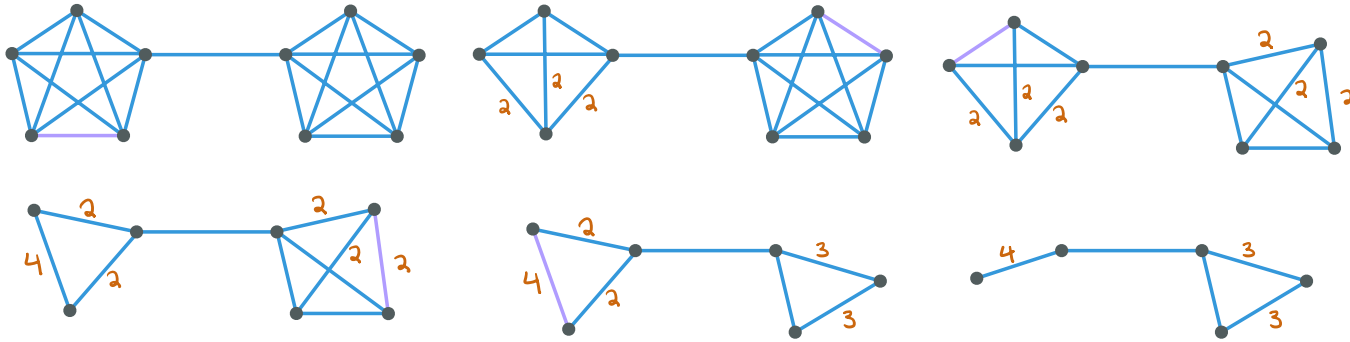
- $|\text{support}(\tilde{w})| = O(r \log(n)/\epsilon^2)$
- $(1+\epsilon)$ -APX • $(1-\epsilon)w(Q) \leq \tilde{w}(Q) \leq (1+\epsilon)w(Q)$
all quotients

(w/ high prob., rand. poly time, w/ oracle access to f)

Let $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ be:

- monotone: $S \subseteq T \Rightarrow f(S) \leq f(T)$
- submodular: if $S \subseteq T$, $e \in N$,
 $f(e|T) \leq f(e|S)$ "decreasing
marginal
returns"
 $f(T) - f(S) \geq f(S \cup e) - f(S)$
- "normalized": for $T \subseteq N$, $e \in N$,
 $f(e|T) = 0$ or $f(e|T) \geq 1$ (including S)
- $\text{span}_f(S) = \{e \in N: f(e|S) = 0\}$
- S closed if $S = \text{span}_f(S)$
- Q quotient if $\bar{Q} = N \setminus Q$ closed
i.e. $Q = N \setminus \text{span}(S)$ for some S
- "rank of f " = $f(N)$

Karger's random contraction alg.



Abstract This paper presents a new algorithm for finding global min-cuts in weighted, undirected graphs. One of the strengths of the algorithm is its extreme simplicity. This randomized algorithm can be implemented as a strongly polynomial sequential algorithm with running time $\tilde{O}(mn^2)$, even if space is restricted to $O(n)$, or can be parallelized as an \mathcal{RNC} algorithm which runs in time $O(\log^2 n)$ on a CRCW PRAM with $mn^2 \log n$ processors. In addition to yielding the best known processor bounds on unweighted graphs, this algorithm provides the first proof that the min-cut problem for weighted undirected graphs is in \mathcal{RNC} . The algorithm does more than find a single min-cut; it finds all of them. The algorithm also yields numerous results on network reliability, enumeration of cuts, multi-way cuts, and approximate min-cuts.

Returns fixed min-cut w/ prob $\Omega(1/n^2)$

$\Rightarrow O(n^2)$ min-cuts!

more generally, $\# d\text{-APX cuts} \leq n^{O(d)}$

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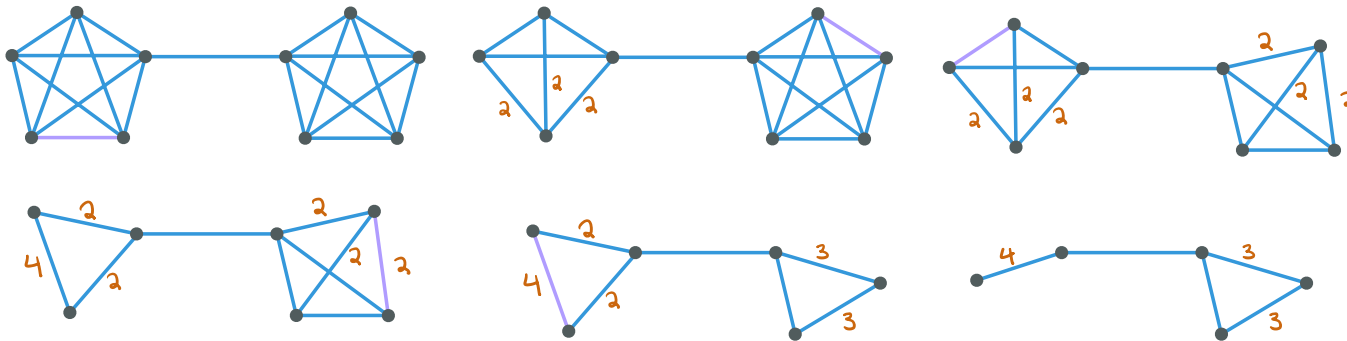
Using Randomized Sparsification to Approximate Minimum Cuts

David R. Karger*
Department of Computer Science
Stanford University
karger@cs.stanford.edu

October 29, 1993

We introduce the concept of randomized sparsification of a weighted, undirected graph. Randomized sparsification yields a sparse unweighted graph which closely approximates the minimum cut structure of the original graph. As a consequence, we show that a cut of weight within a $(1 + \epsilon)$ multiplicative factor of the minimum cut in a graph can be found in $O(m + n(\log^3 n)/\epsilon^4)$ time; thus any constant factor approximation can be achieved in $\tilde{O}(m)$ time. Similarly, we show that a cut within a multiplicative factor of α of the minimum can be found in \mathcal{RNC} using $m + n^{2/\alpha}$ processors. We also investigate a parametric version of our randomized sparsification approach. Using it, we show that for a graph undergoing a series of edge insertions and deletions, an $O(\sqrt{1 + 2/\epsilon})$ -approximation to the minimum cut value can be maintained at a cost of $\tilde{O}(n^{\epsilon+1/2})$ time per insertion or deletion. If only insertions are allowed, the approximation can be maintained at a cost of $\tilde{O}(n^\epsilon)$ time per insertion.

Karger's random contraction alg.



Returns fixed min-cut w/ prob $\Omega(1/n^2)$
 $\Rightarrow O(n^2)$ min-cuts!

more generally, # d-APX cuts $\leq n^{O(d)}$

\uparrow
 \Rightarrow we can union bound over
 APX-min-cuts

\Rightarrow weaker uniform sparsification
 that $(1+\epsilon)$ -APX the min-cut

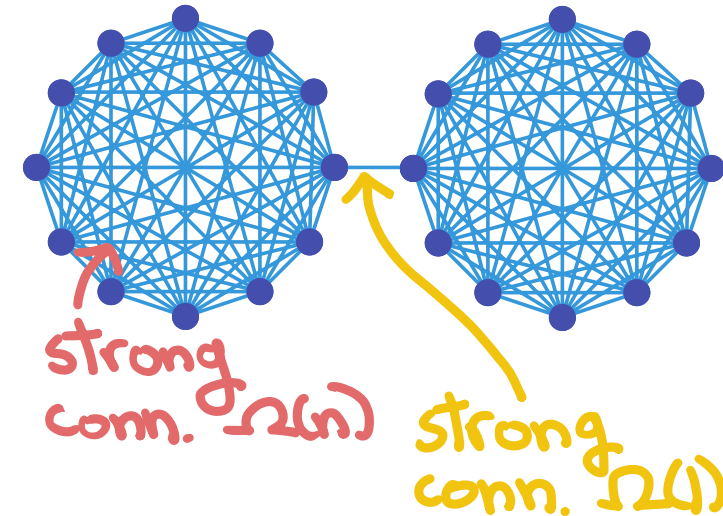
RANDOMIZED APPROXIMATION SCHEMES FOR CUTS AND FLOWS IN CAPACITATED GRAPHS*

ANDRÁS A. BENCZÚR[†] AND DAVID R. KARGER[‡]

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Benczúr, Karger 2002
(nonuniformly)
samples edges by "strong connectivity"
 $e = \{u, v\}$ has strong connectivity λ if
it is contained in a subgraph w/
min-cut λ



Benczúr, Karger 2002

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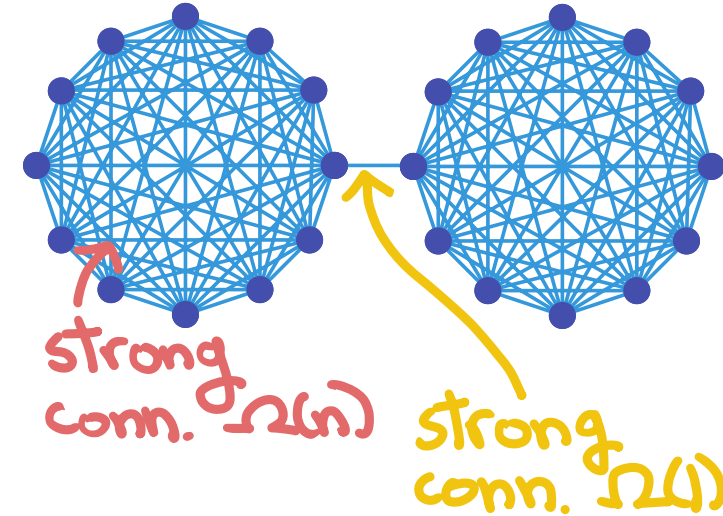
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let $k_e = \text{strong conn. } e$, $p_e = \Omega\left(\frac{w_e}{k_e} \frac{\log n}{\epsilon^2}\right)$

$$\sum_{e \in E} \frac{w_e}{k_e} = O(n)$$

• sampling each $e \in E$ w/ prob $p_e \Rightarrow (1 + \epsilon)$ -APX all cuts

Benczúr, Karger 2002

(nonuniformly)

samples edges by "strong connectivity"

$e = \{u, v\}$ has strong connectivity λ if it is contained in a subgraph w/ min-cut λ

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• sampling each $e \in E$ w/ prob $p_e \Rightarrow (1 \pm \epsilon)$ -APX all cuts

to compute approximations $\tilde{k}_e \approx k_e$

(w/ $\sum 1/\tilde{k}_e = O(\sum 1/k_e)$)

• Nagamochi-Ibaraki greedy tree packing

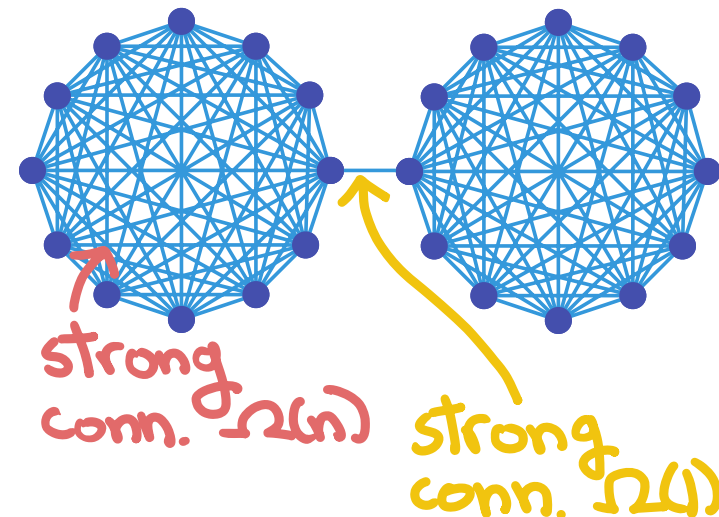
(breaks graph into components, recurse)

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Karger '93

$n^{O(d)}$ d -APX min-cuts

uniform sample preserves
min-cut (approximately)

Benczúr, Karger '02

sample inversely proportional
to "strong connectivity"

approx. decompose G
along greedy tree packings
à la Nagamochi-Ibaraki

Chapter 3

Global Min-cuts in \mathcal{RNC} , and Other Ramifications of a Simple Min-Cut Algorithm*

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Tree packing & covering

(connected)

Graph $G=(V,E)$, $w: E \rightarrow \mathbb{R}_{\geq 0}$, \mathcal{T} = spanning trees

Max tree packing

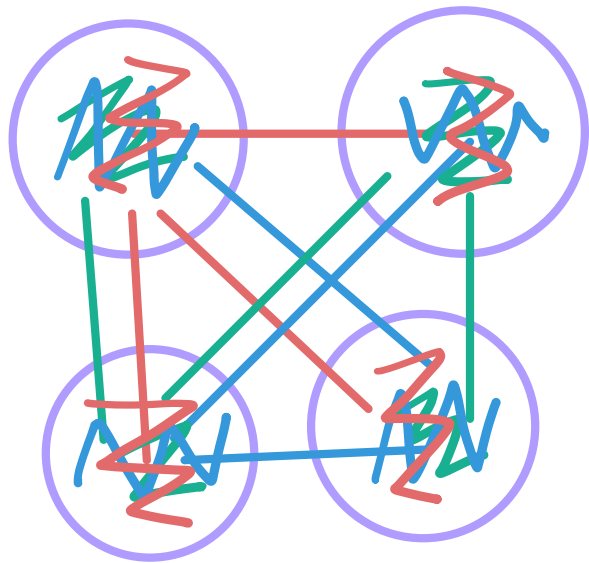
$$\max \sum_{\mathcal{T}} x_{\mathcal{T}} \text{ over } x \in \mathbb{R}_{\geq 0}^{\mathcal{T}}$$

$$\sum_{\mathcal{T}: e \in \mathcal{T}} x_{\mathcal{T}} \leq w_e \quad \forall e \in E$$

Min ratio k-cut

$$\min \frac{w(\partial(S_1, \dots, S_k))}{k-1}$$

over all partitions S_1, \dots, S_k of V (any k)



$$\text{weak duality: } \sum_{\mathcal{T}} x_{\mathcal{T}} \leq \frac{w(\partial(S_1, \dots, S_k))}{k-1}$$

(each tree has $\geq k-1$ edges from cut)

Tutte, Nash-Williams '61:

$$\max \sum_{\mathcal{T}} x_{\mathcal{T}} = \min \frac{w(\partial(S_1, \dots, S_k))}{k-1}$$

"network strength"

Matroid base packing & covering
matroid $M=(N, I)$, $w: N \rightarrow \mathbb{R}_{>0}$

(max. ind. sets)
 $B = \text{bases of } M \subseteq 2^N$

(e.g., spanning trees in graphic matroid)

$M=(N, I)$
"groundset"
"independent sets"
1. $\emptyset \in I$
2. $S \subseteq T, T \in I \Rightarrow S \in I$
3. $S, T \in I, |S| < |T| \Rightarrow$
 $e \in T \setminus S$ s.t. $S \cup e \in I$
maximal = maximum
"base" = max ind. set

Max base packing

$$\max \sum_B x_B \text{ w/ } x: B \rightarrow \mathbb{R}_{\geq 0}$$

$$\text{s.t. } \sum_{B: e \in B} x_B \leq w_e \quad \forall e \in N$$

Min ratio quotient

$$\min_S \frac{w(N) - w(S)}{\text{rank}(N) - \text{rank}(S)}$$

Weak duality: $\sum_B x_B \leq \frac{w(N) - w(S)}{\text{rank}(N) - \text{rank}(S)}$

[each base uses]
 $\text{rank}(N) - \text{rank}(S)$
weight of $N \setminus S$]

Edmonds '65: $\max \sum_B x_B = \min_S \frac{w(N) - w(S)}{\text{rank}(N) - \text{rank}(S)}$

↑
"matroid/submodular strength"

Abstract

Random sampling is a powerful tool for gathering information about a group by considering only a small part of it. We discuss some broadly applicable paradigms for using random sampling in combinatorial optimization, and demonstrate the effectiveness of these paradigms for two optimization problems on matroids: finding an optimum matroid basis and packing disjoint matroid bases. Applications of these ideas to the graphic matroid led to fast algorithms for minimum spanning trees and minimum cuts.

An optimum matroid basis is typically found by a greedy algorithm that grows an independent set into an optimum basis one element at a time. This continuous change in the independent set can make it hard to perform the independence tests needed by the greedy algorithm. We simplify matters by using sampling to reduce the problem of finding an optimum matroid basis to the problem of verifying that a given fixed basis is optimum, showing that the two problems can be solved in roughly the same time.

Another application of sampling is to packing matroid bases, also known as matroid partitioning. Sampling reduces the number of bases that must be packed. We combine sampling with a greedy packing strategy that reduces the size of the matroid. Together, these techniques give accelerated packing algorithms. We give particular attention to the problem of packing spanning trees in graphs, which has applications in network reliability analysis. Our results can be seen as generalizing certain results from random graph theory. The techniques have also been effective for other packing problems.

$$M = (\overset{\text{"groundset"}}{N}, \overset{\text{"independent sets"}}{I})$$

1. $\emptyset \in I$
2. $S \subseteq T, T \in I \Rightarrow S \in I$
3. $S, T \in I, |S| < |T| \Rightarrow$
 $e \in T \setminus S \text{ s.t. } S + e \in I$
maximal = maximum
"base" = max ind. set
 $\text{span}(S) = \{e \in N: \exists (S+e) = S(S)\}$
 S is "closed" if $S = \text{span}(S)$ (including S)
 Q quotient $\Leftrightarrow \bar{Q}$ closed
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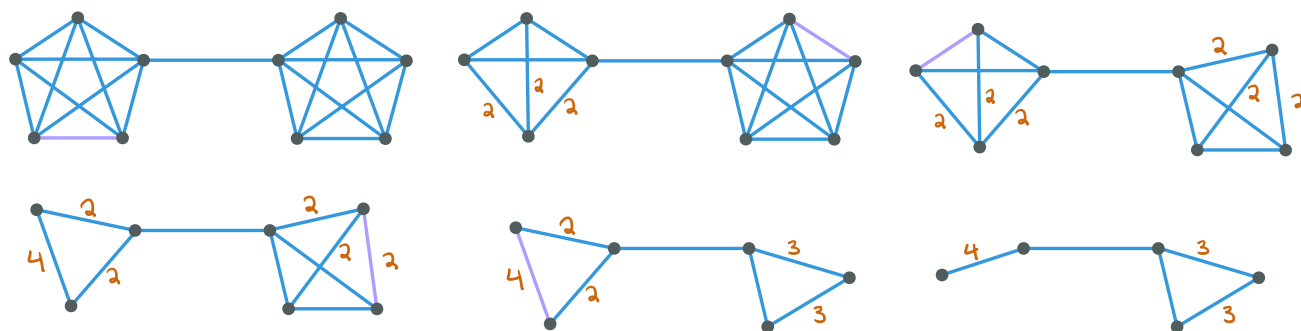
Max base packing

$$\begin{aligned} \max \sum_B x_B \text{ w/ } x: B \rightarrow \mathbb{R}_{\geq 0} \\ \text{s.t. } \sum_{B: e \in B} x_B \leq w_e \quad \forall e \in N \end{aligned}$$

Min ratio quotient

$$\min_S \frac{w(N) - w(S)}{\text{rank}(N) - \text{rank}(S)}$$

Karger '93, '97



analyzed random contractions in ^(unweighted) matroids

$\Rightarrow (rn)^{O(1)}$ min ratio quotients
(k-cuts)

$(rn)^{O(d)}$ d -APX min ratio quotients

\Rightarrow uniform sampling (approximately)
preserves min ratio quotient

Karger '93

Chapter 3
Global Min-cuts in RVC , and Other Ramifications
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David R. Karger[†]

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$n^{O(d)}$ d -APX min-cuts

uniform sample preserves
min-cut (approximately)

Karger 93, 97

In unweighted matroids:

$(rn)^{O(d)}$ d -APX min quotients

uniform sample preserves
min quotient (approximately)

Random Sampling and Greedy Sparsification for Matroid
Optimization Problems.

David R. Karger*

MIT

Laboratory for Computer Science

karger@cs.mit.edu

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Benczúr, Karger '02

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approx. decompose G
along greedy tree packings
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Karger '93

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Abstract This paper presents a new algorithm for finding global min-cuts in weighted, undirected graphs. One of the strengths of the algorithm is its extreme simplicity. This randomized algorithm can be implemented as a strongly polynomial sequential algorithm with running time $O(m^2)$, even if space is restricted to $O(n)$, or can be parallelized as an RVC algorithm which runs in time $O(\log^2 n)$ on a CRCW PRAM with $m \log n$ processors. In addition to yielding the best known processor bounds on unweighted graphs, this algorithm provides the first proof that the min-cut problem for weighted undirected graphs is in RVC . The algorithm does more than find a single min-cut; it finds all of them. The algorithm also yields numerous results on network reliability, enumeration of cuts, multi-way cuts, and approximate min-cuts.

$n^{O(d)}$ d -APX min-cuts

preserve min-cut

min-cut (approximately)

Karger 93, 97

Random Sampling and Greedy Algorithms for Matroid Optimization Problems.

David R. Karger*

MIT
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Abstract
Random sampling is a powerful tool for gathering information about a group by considering only a small part of it. We discuss some broadly applicable paradigms for using random sampling in combinatorial optimization, and demonstrate the effectiveness of these paradigms for two optimization problems on matroids: finding an optimum matroid basis and packing disjoint optimum bases. Applications of these ideas to the graphic matroid lead to fast algorithms for minimum spanning trees and minimum cuts.
An optimum matroid basis is typically found by a greedy algorithm that grows an independent set into an optimum basis one element at a time. The continuous change in the independent set can make it hard to perform the independence tests needed by the greedy algorithm. We employ random tie-breaking sampling to reduce the problem of finding an optimum matroid basis to the problem of sampling that a given forest basis is optimum, showing that the two problems can be solved to roughly the same time.
Another application of sampling to packing matroid bases, also known as matroid partitioning. Sampling reduces the number of bases that must be packed. We combine sampling with a greedy packing strategy that reduces the size of the matroid. Together, these techniques give accelerated packing algorithms. We give particular attention to the problem of packing spanning trees in graphs, which has applications in network reliability analysis. Our results can be seen as generalizing certain results from random graph theory. The techniques have also been effective for other packing problems.

$(rn)^{O(d)}$ d -APX min quotients

preserve min-quotient

min-quotient (approximately)

Benczúr, Karger '02

RANDOMIZED APPROXIMATION SCHEMES FOR CUTS AND FLOWS IN CAPACITATED GRAPHS*

ANDRÁS A. BENCZÚR¹ AND DAVID R. KARGER²

David Karger wishes to dedicate this work to the memory of Rajeev Motwani. His compelling teaching and supportive advising inspired and enabled the line of research [17, 24, 18, 21] that led to the results published here.

Abstract. We describe random sampling techniques for approximately solving problems that involve cuts and flows in graphs. We give a non-linear-time randomized combinatorial construction that transforms any graph on n vertices into an $O(n \log n)$ -edge graph on the same vertices whose cuts have approximately the same value as the original graph's. In this new graph, for example, we can run the $O(m^{3/2})$ -time maximum flow algorithm of Goldberg and Rao to find an ϵ -minimum cut in $O(n^{3/2})$ time. This corresponds to a $(1 + \epsilon)$ -times minimum ϵ -cut in the original graph. A related approach leads to a randomized divide-and-conquer algorithm producing an approximately maximum flow in $O(n\sqrt{n})$ time. Our algorithm can also be used to improve the running time of sparse cut approximation algorithms from $O(m)$ to $O(n^2)$ and to accelerate several other recent cut and flow algorithms. Our algorithms are based on a general theorem analyzing the concentration of random graphs' cut values near their expectations. Our work draws only on elementary probability and graph theory.

sample inversely proportional

with

preserve all cuts

along greedy tree packings

à la Nagamochi-Ibaraki

"Strength decompositions" of $\mathcal{M}=(\mathcal{N},\mathcal{I})$

$$\mathcal{N} = S_0 \supseteq S_1 \supseteq \dots \supseteq S_i \supseteq S_{i+1} \supseteq \dots \supseteq S_{k-1} \supseteq S_k = \emptyset$$

"Strength decompositions" of $M=(N, I)$

$$N = S_0 \supseteq S_1 \supseteq \dots \supseteq S_i \supseteq S_{i+1} \supseteq \dots \supseteq S_{k-1} \supseteq S_k = \emptyset$$

(a)
$$S_{i+1} = \operatorname{argmin}_{T \subseteq S_i} \frac{w(S_i) - w(T)}{\operatorname{rank}(S_i) - \operatorname{rank}(T)}$$

submodular min.
 $\min_T \lambda^* \operatorname{rank}(T) - w(T)$
 $w / \lambda^* = \text{OPT ratio}$

"Strength decompositions" of $M=(N, I)$

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 $\min_T \lambda^* \operatorname{rank}(T) - w(T)$
 $w / \lambda^* = \text{OPT ratio}$

(monotonicity)

(b)
$$\frac{w(S_i) - w(S_{i+1})}{\operatorname{rank}(S_i) - \operatorname{rank}(S_{i+1})} \leq \frac{w(S_{i+1}) - w(S_{i+2})}{\operatorname{rank}(S_{i+1}) - \operatorname{rank}(S_{i+2})}$$

" α -APX Strength decompositions" of $M=(N, I)$

$$N = S_0 \supseteq S_1 \supseteq \dots \supseteq S_i \supseteq S_{i+1} \supseteq \dots \supseteq S_{k-1} \supseteq S_k = \emptyset$$

$$(a) \quad \frac{w(S_i) - w(S_{i+1})}{\text{rank}(S_i) - \text{rank}(S_{i+1})} \leq \alpha \left[\min_{T \subseteq S_i} \frac{w(S_i) - w(T)}{\text{rank}(S_i) - \text{rank}(T)} \right]$$

e.g. $O(1)$ -APX tree packing & dual

(monotonicity)

$$(b) \quad \frac{w(S_i) - w(S_{i+1})}{\text{rank}(S_i) - \text{rank}(S_{i+1})} \leq \frac{w(S_{i+1}) - w(S_{i+2})}{\text{rank}(S_{i+1}) - \text{rank}(S_{i+2})}$$

Sparsification algo

1. compute $O(1)$ -APX strength decomp
 $(S_0 = \mathcal{N}) \supseteq S_1 \supseteq \dots \supseteq S_k$

2. for each element $e \in \mathcal{N}$

• suppose $e \in S_{i-1} \setminus S_i$

• let $T_e = \Omega\left(\frac{\epsilon^2}{\ln(nr)}\right) \cdot \frac{w(S_{i-1}) - w(S_i)}{\text{rank}(S_{i-1}) - \text{rank}(S_i)}$

ratio of quotient
containing e

• set $\tilde{w}(e) = \begin{cases} \left\lceil \frac{w(e)}{T_e} \right\rceil T_e & \text{w/ prob } p_e = \frac{w_e}{T_e} - \left\lfloor \frac{w_e}{T_e} \right\rfloor \\ \left\lfloor \frac{w(e)}{T_e} \right\rfloor T_e & \text{w/ prob } 1 - p_e \end{cases}$

" α -APX Strength decompositions" of $M = (\mathcal{N}, \mathcal{I})$

$$\mathcal{N} = S_0 \supseteq S_1 \supseteq \dots \supseteq S_i \supseteq S_{i+1} \supseteq \dots \supseteq S_{k-1} \supseteq S_k = \emptyset$$

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Karger '93

Chapter 3
Global Min-cuts in \mathcal{R}/\mathcal{V} , and Other Ramifications
of a Simple Min-Cut Algorithm*

David R. Karger*

Abstract This paper presents a new algorithm for finding global min-cuts in weighted, undirected graphs. One of the strengths of the algorithm is its extreme simplicity. This randomized algorithm can be implemented as a strongly polynomial sequential algorithm with running time $O(mn^2)$, even if space is restricted to $O(n)$, or can be parallelized as an \mathcal{R}/\mathcal{V} algorithm which runs in time $O(\log^2 n)$ on a CREW PRAM with $m \log n$ processors. In addition to yielding the best known processor bounds on unweighted graphs, this algorithm provides the first proof that the min-cut problem for weighted undirected graphs is in \mathcal{R}/\mathcal{V} . The algorithm does more than find a single min-cut; it finds all of them. The algorithm also yields numerous results on network reliability, enumeration of cuts, multi-way cuts, and approximate min-cuts.

$n^{O(d)}$ d -APX min-cuts

uniform sample preserves
min-cut (approximately)

Karger 93, 97

In unweighted matroids:

$(rn)^{O(d)}$ d -APX min quotients

uniform sample preserves
min quotient (approximately)

(We extend to quotients of submodular f)

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An optimum matroid basis is typically found by a greedy algorithm that grows an independent set until no further elements can be added. The minimum change in the independence set can be used to test if a set is independent. We give a randomized algorithm for finding an optimum matroid basis. We apply random sampling to test the problem of finding an optimum matroid basis to the problem of finding a tree that is a given forest in a matroid, showing that the two problems can be solved in roughly the same time.

Another application of sampling to packing matroid bases, also known as matroid partitioning. Sampling reduces the number of bases that need be packed. We combine sampling with a greedy packing strategy that reduces the size of the matroid. Together, these techniques give accelerated packing algorithms. We give particular attention to the problem of packing spanning trees in graphs, which has applications in network reliability analysis. Our results can be seen as generalizing certain results from random graph theory. The techniques have also been effective for other packing problems.

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Benczúr, Karger '02

sample inversely proportional
to "strong connectivity"

approx. decompose G
along greedy tree packings
à la Nagamochi-Ibaraki

Sample inversely proportional
to "matroid/submodular strength"
(network strength for graphs)

decompose \mathcal{N} along
 $O(1)$ -APX min ratio quotients
(APX-tree packings for graphs)

Karger '93

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of a Simple Min-Cut Algorithm*

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$n^{O(d)}$ d -APX min quotients

ur preserve min-cut

min-cut (approximately)

Karger 93, 97

In unweighted matroids:

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min quotient (approximately)

(We extend to quotients of submodular f)

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Benczúr, Karger '02

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sample inversely proportional

preserve all cuts

approx. compute

along greedy tree packings

à la Nagamochi-Ibaraki

Sample inversely proportional to "matroid/submodular strength"

preserve all quotients

decompose r along

$O(1)$ -APX min ratio quotients

(APX-tree packings for graphs)

high-level ideas?

relation to
Benczúr-Karger?

how to do it fast?

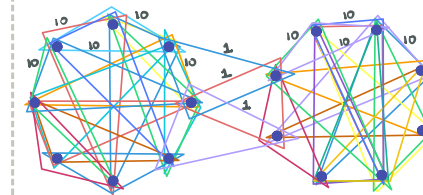
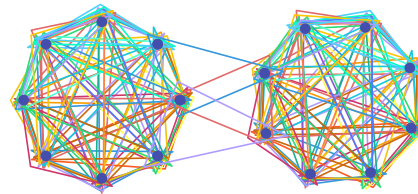
additional constraint:
be concrete
(no more "submodular f")

Focus on graph cuts,
graphic matroid

Submodular quotient sparsification

Input: $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ (normalized
monotone
submodular)
weights $w: N \rightarrow \mathbb{R}_{> 0}$

Goal: $\tilde{w}: N \rightarrow \mathbb{R}_{> 0}$



Theorem

let $r = f(N)$.

- $|\text{support}(\tilde{w})| = O(r \log(n)/\epsilon^2)$
- $(1+\epsilon)$ -APX • $(1-\epsilon)w(Q) \leq \tilde{w}(Q) \leq (1+\epsilon)w(Q)$
all quotients

(w/ high prob., rand. poly time, w/ oracle access to f)

Let $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ be:

- monotone: $S \subseteq T \Rightarrow f(S) \leq f(T)$
- submodular: if $S \subseteq T$, $e \in N$,
 $f(e|T) \leq f(e|S)$ "decreasing marginal returns"
 $f(T \cup \{e\}) - f(T) \leq f(S \cup \{e\}) - f(S)$
- "normalized": for $T \subseteq N$, $e \in N$,
 $f(e|T) = 0$ or $f(e|T) \geq 1$ (including S)
- $\text{span}_f(S) = \{e \in N: f(e|S) = 0\}$
- S closed if $S = \text{span}_f(S)$
- Q quotient if $\bar{Q} = N \setminus Q$ closed
i.e. $Q = N \setminus \text{span}(S)$ for some S
- "rank of f " = $f(N)$

Tree packing & covering

(connected)

Graph $G=(V,E)$, $w: E \rightarrow \mathbb{R}_{\geq 0}$, \mathcal{T} = spanning trees

Max tree packing

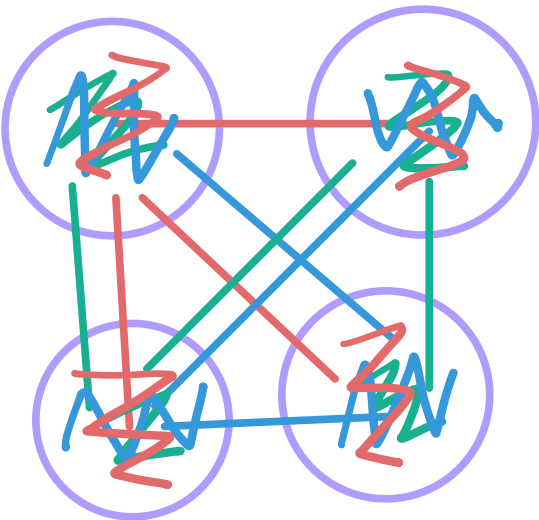
$$\max \sum_{\mathcal{T}} x_{\mathcal{T}} \text{ over } x \in \mathbb{R}_{\geq 0}^{\mathcal{T}}$$

$$\sum_{\mathcal{T}: e \in \mathcal{T}} x_{\mathcal{T}} \leq w_e \quad \forall e \in E$$

Min ratio k-cut

$$\min \frac{w(\partial(S_1, \dots, S_k))}{k-1}$$

over all partitions S_1, \dots, S_k of V (any k)



$$\text{weak duality: } \sum_{\mathcal{T}} x_{\mathcal{T}} \leq \frac{w(\partial(S_1, \dots, S_k))}{k-1}$$

(each tree has $\geq k-1$ edges from cut)

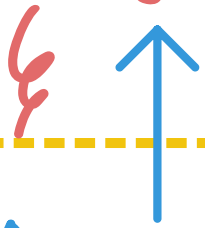
Tutte, Nash-Williams '61:

$$\max \sum_{\mathcal{T}} x_{\mathcal{T}} = \min \frac{w(\partial(S_1, \dots, S_k))}{k-1}$$

"network strength"

Sparsification algo

1. compute $O(1)$ -APX strength decomp
 $(S_0 = N) \supseteq S_1 \supseteq \dots \supseteq S_k$



Approximate tree packings &
 min ratio k -cut (via the dual)

• $\tilde{O}(m)$ time

Worst case:

each k -cut removes 1 vertex

$\Rightarrow \tilde{O}(m) \times n = \tilde{O}(mn)$ time

" α -APX Strength decompositions" of $M=(N, I)$

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(monotonicity)

$$(b) \frac{w(S_i) - w(S_{i+1})}{\text{rank}(S_i) - \text{rank}(S_{i+1})} \leq \frac{w(S_{i+1}) - w(S_{i+2})}{\text{rank}(S_{i+1}) - \text{rank}(S_{i+2})}$$

Tree packing & covering

(connected)

Graph $G=(V, E)$, $w: E \rightarrow \mathbb{R}_{\geq 0}$, $T = \text{spanning trees}$

Max tree packing

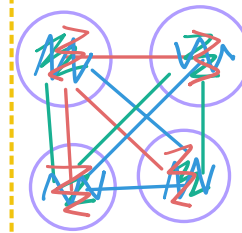
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$$\min \frac{w(S_1, \dots, S_k)}{k-1}$$

(any k)
 over all partitions S_1, \dots, S_k of V



$$\text{weak duality: } \sum_T x_T \leq \frac{w(S_1, \dots, S_k)}{k-1}$$

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Tutte, Nash-Williams '61:

$$\max \sum_T x_T = \min \frac{w(S_1, \dots, S_k)}{k-1}$$

"network strength"

Suppose $\lambda \leq$ network strength
goal: either

- remove k -cut w/ ratio $\leq 4\lambda$

- pack 2λ spanning forests

(then update $\lambda \leftarrow 2\lambda$)

Tree packing & covering

(connected)
Graph $G=(V,E)$, $w: E \rightarrow \mathbb{R}_{\geq 0}$, \mathcal{T} = spanning trees

Max tree packing

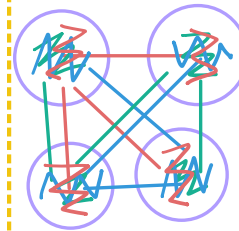
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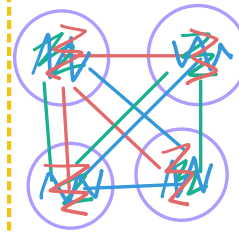
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"network strength"

1. uniform sparsification relative to λ
 \Rightarrow integer capacities, strength $\tilde{\lambda} = O(\log n)$

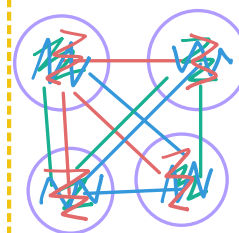
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Max tree packing
 $\max \sum_{T \in \mathcal{T}} x_T$ over $x \in \mathbb{R}_{\geq 0}^{\mathcal{T}}$
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Min ratio k -cut
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"network strength"

1. uniform sparsification relative to λ
 \Rightarrow integer capacities, strength $\tilde{\lambda} = O(\log n)$

2. try to pack $2\tilde{\lambda}$ trees approx. w/ push-relabel

a. if succeed, done

b. else find k -cut w/ ratio $4\tilde{\lambda}$

Key point: when \uparrow , we can keep
push-relabel config of remaining
edges and continue. $\tilde{O}(m)$ total.

Simple push-relabel algorithms
for matroids and submodular flows

András Frank and Zoltán Miklós*

Abstract

We derive simple push-relabel algorithms for the matroid partitioning, matroid membership, and submodular flow feasibility problems. It turns out that, in order to have a strongly polynomial algorithm, the lexicographic rule used in all previous algorithms for the two latter problems can be avoided. Its proper role is that it helps speeding up the algorithm in the last problem.

Random thoughts

"spectral" version?

how to model k-cuts?

Submodular sparsification in the wild?

Seems pretty general

Applications of fast strength decompositions?

Nearly linear time in graphs + hypergraphs.

Sparsification algo

1. compute $O(1)$ -APX strength
decomp $(S_0 = N) \geq S_1 \geq \dots \geq S_k = \emptyset$

2. for each element $e \in N$

• suppose $e \in S_{i-1} \setminus S_i$

• let $T_e = \Omega\left(\frac{\epsilon^2}{\ln(nr)}\right)$

randomly
round to T_e

• set $\tilde{w}(e) = \begin{cases} \left\lceil \frac{w(e)}{T_e} \right\rceil T_e & \text{w/ prob } p_e = \frac{w_e}{T_e} - \left\lfloor \frac{w_e}{T_e} \right\rfloor \\ \left\lfloor \frac{w(e)}{T_e} \right\rfloor T_e & \text{w/ prob } 1 - p_e \end{cases}$

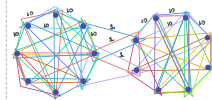
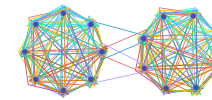
APX strength decomposition of $M = (N, E)$
 $M: S_0 \geq S_1 \geq S_2 \geq S_3 \geq S_4 \geq S_5 \geq S_6 = \emptyset$
(a) $\frac{w(S_0) - w(S_1)}{\text{rank}(S_0) - \text{rank}(S_1)} \leq \frac{w(S_1) - w(S_2)}{\text{rank}(S_1) - \text{rank}(S_2)} \leq \dots \leq \frac{w(S_{k-1}) - w(S_k)}{\text{rank}(S_{k-1}) - \text{rank}(S_k)}$
(b) $\frac{w(S_0) - w(S_1)}{\text{rank}(S_0) - \text{rank}(S_1)} \leq \frac{w(S_1) - w(S_2)}{\text{rank}(S_1) - \text{rank}(S_2)} \leq \dots \leq \frac{w(S_{k-1}) - w(S_k)}{\text{rank}(S_{k-1}) - \text{rank}(S_k)}$

ratio of quotient
contributing e

Submodular quotient sparsification

Input: $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ (normalized monotone submodular)
weights $w: N \rightarrow \mathbb{R}_{\geq 0}$

Goal: $\tilde{w}: N \rightarrow \mathbb{R}_{\geq 0}$



Theorem

let $r = f(N)$.

• $|\text{support}(\tilde{w})| = O(r \log(n)/\epsilon^2)$

• $(1-\epsilon)$ -APX • $(1-\epsilon)w(Q) \leq \tilde{w}(Q) \leq (1+\epsilon)w(Q)$
all quotients •

(w/ high prob, rand. poly time, w/ oracle access to f)

st. (a) $\text{support}(\tilde{w})$ small
(b) all quotients have similar weight as w w/ w .

Let $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ be:
• monotone: $S \subseteq T \Rightarrow f(S) \leq f(T)$
• submodular: if $S \subseteq T, e \in N \setminus T$,
 $f(S \cup \{e\}) - f(S) \geq f(T \cup \{e\}) - f(T)$
• normalized: for $T \subseteq N, e \in N$,
 $f(\{e\}) = 0$ or $f(\{e\}) = 1$
• span(S) = $\{e \in N : f(e) > 0\}$
• S closed if $\text{span}(S) \subseteq S$
• Q quotient is $\tilde{S} = N \setminus Q$ closed
i.e. $Q = N \setminus \text{span}(S)$ for some S
• rank of $f = f(N)$

Karger '93

$n^{O(d)}$ d-APX min-cuts

uniform sample preserves
min-cut (approximately)

Karger 93, 97

In unweighted matroids:

$(rn)^{O(d)}$ d-APX min quotients

uniform sample preserves
min quotient (approximately)

(We extend to quotients of submodular f)

Benczúr, Karger '02

sample inversely proportional
to "strong connectivity"

approx. decompose G
along greedy tree packings
à la Nagamochi-Ibaraki

Sample inversely proportional
to "matroid/submodular strength"
(network strength for graphs)

decompose N along
 $O(1)$ -APX min ratio quotients
(APX: tree packings for graphs)

Random thoughts

"spectral" version?

how to model k-cuts?

Submodular sparsification in the wild?

Seems pretty general

Applications of fast strength decompositions?

Nearly linear time in graphs + hypergraphs.

Thanks!

Sparsification algo

1. compute $O(1)$ -APX strength
decomp $(S_0 = N) \geq S_1 \geq \dots \geq S_k = \emptyset$

2. for each element $e \in N$

• suppose $e \in S_{i-1} \setminus S_i$

• let $T_e = \Omega\left(\frac{\epsilon^2}{\ln(nr)}\right)$

randomly
round to T_e

• set $\tilde{w}(e) = \begin{cases} \left\lceil \frac{w(e)}{T_e} \right\rceil T_e & \text{w/ prob } p_e = \frac{w_e}{T_e} - \left\lfloor \frac{w_e}{T_e} \right\rfloor \\ \left\lfloor \frac{w(e)}{T_e} \right\rfloor T_e & \text{w/ prob } 1 - p_e \end{cases}$

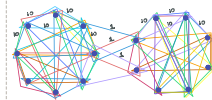
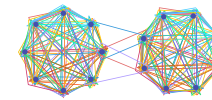
APX strength decomposition of $M(N, E)$
 $M: S_1 \geq S_2 \geq \dots \geq S_k = \emptyset$
(a) $\frac{w(S_{i-1}) - w(S_i)}{\text{rank}(S_{i-1}) - \text{rank}(S_i)} \leq \frac{w(S_i) - w(S_{i+1})}{\text{rank}(S_i) - \text{rank}(S_{i+1})}$
(b) $\frac{w(S_{i-1}) - w(S_i)}{\text{rank}(S_{i-1}) - \text{rank}(S_i)} \leq \frac{w(S_i) - w(S_{i+1})}{\text{rank}(S_i) - \text{rank}(S_{i+1})}$

ratio of quotient
contributing e

Submodular quotient sparsification

Input: $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ (normalized monotone submodular)
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Goal: $\tilde{w}: N \rightarrow \mathbb{R}_{\geq 0}$



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all quotients

(w/ high prob, rand. poly time, w/ oracle access to f)

st. (a) $\text{support}(\tilde{w})$ small
(b) all quotients have similar weight as w/w .

Let $f: 2^N \rightarrow \mathbb{R}_{\geq 0}$ be:
• monotone: $S \subseteq T \Rightarrow f(S) \leq f(T)$
• submodular: $f(S \cup T) + f(S \cap T) \leq f(S) + f(T)$
• normalized: $f(N) = 1$
• $f(\emptyset) = 0$ or $f(\{1\}) = 1$
• $\text{span}(S) = f(S) - f(S \setminus \{e\})$
• Q quotient is $\tilde{w}(Q) = \sum_{e \in Q} \tilde{w}(e)$
• $\text{rank of } \tilde{w} = f(N)$

Karger '93

$n^{O(k)}$ d-APX min-cuts

uniform sample preserves
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Benczúr, Karger '02

sample inversely proportional
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along greedy tree packings
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Sample inversely proportional
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(network strength for graphs)

decompose N along
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Chapter 3

Global Min-cuts in \mathcal{RNC} , and Other Ramifications of a Simple Min-Cut Algorithm*

David R. Karger[†]

Abstract This paper presents a new algorithm for finding global min-cuts in weighted, undirected graphs. One of the strengths of the algorithm is its extreme simplicity. This randomized algorithm can be implemented as a strongly polynomial sequential algorithm with running time $O(mn^2)$, even if space is restricted to $O(n)$, or can be parallelized as an \mathcal{RNC} algorithm which runs in time $O(\log^2 n)$ on a CRCW PRAM with $mn^2 \log n$ processors. In addition to yielding the best known processor bounds on unweighted graphs, this algorithm provides the first proof that the min-cut problem for weighted undirected graphs is in \mathcal{RNC} . The algorithm does more than find a single min-cut; it finds all of them. The algorithm also yields numerous results on network reliability, enumeration of cuts, multi-way cuts, and approximate min-cuts.

1 Introduction

This paper studies the min-cut problem. Given a graph with n vertices and m (possibly weighted) edges, we wish to partition the vertices into two non-empty sets S and T so as to minimize the number of edges crossing from S to T (if the graph is weighted, we wish to minimize the total weight of crossing edges). Throughout this paper, the graph is assumed to be connected, since otherwise the problem is trivial. The problem actually comes in two flavors: in the s - t min-cut problem, we require that the two specific vertices s and t be on opposite sides of the cut; in what will be called the min-cut problem, or for emphasis the global min-cut problem, there is no such restriction.

1.1 Previous Work. The oldest known way to compute min-cuts is to use their well known duality with max-flows [FF56, FF62]. Computation of an s - t max-flow allows the immediate determination of an s - t min-

cut. The best presently known sequential time bound for max-flow is $O(mn \log(n^2/m))$, found by Goldberg and Tarjan [GT88]. Global min-cuts can be computed by minimizing over s - t max-flows; Hao and Orlin [HO92] show how the max-flow computations can be pipelined so that together they take no more time than a single max-flow computation; thus the global min-cut problem can be solved in the same $\tilde{O}(mn)$ running time.¹

Recently, progress has been made in special cases of the min-cut problem. On unweighted graphs, the min-cut problem is often known as the edge-connectivity problem. Gabow [Gab91] shows how to find the edge-connectivity c of a graph in time $O(cn \log(n^2/m))$. On weighted, undirected graphs, the algorithm of Nagamochi and Ibaraki [NI92] computes the min-cut in time $O(mn + n^2 \log n)$. These algorithms make no use of max-flow computations.

Work has also been done on parallel solutions to the min-cut problem. Goldschlager, Shaw, and Staples [GSS82] showed that the s - t min-cut problem on weighted directed graphs is P -complete. This is also true for the global min-cut problem (see section 4.2). In the special case of unweighted directed or undirected graphs, the matching algorithm of Karp, Upfal and Wigderson [KUW86], together with a reduction described by Mulmeley, Vazirani and Vazirani [MVV87], can be used to find s - t max-flows and min-cuts in $O(\log^2 n)$ time using $mn^{3.5}$ processors. An alternative approach of Galil and Pan [GP88] uses $n^2 M(n)$ processors, where $M(n)$ is the processor cost for multiplying two matrices (presently about $n^{2.37}$). In undirected graphs, fixing a vertex s and finding s - t min-cuts for all vertices t identifies a min-cut; this requires performing n min-cut computations in parallel at a total cost of $mn^{4.5}$ or $n^2 M(n)$ processors. Either algorithm can be extended to weighted graphs by treating an edge of weight w as a collection of w unweighted edges. How-

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¹ The notation $O(f)$ denotes $O(f \text{ polylog } f)$

Using Randomized Sparsification to Approximate Minimum Cuts

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October 29, 1993

Abstract

We introduce the concept of randomized sparsification of a weighted, undirected graph. Randomized sparsification yields a sparse unweighted graph which closely approximates the minimum cut structure of the original graph. As a consequence, we show that a cut of weight within a $(1 + \epsilon)$ multiplicative factor of the minimum cut in a graph can be found in $O(m + n(\log^3 n)/\epsilon^4)$ time; thus any constant factor approximation can be achieved in $\tilde{O}(m)$ time. Similarly, we show that a cut within a multiplicative factor of α of the minimum can be found in \mathcal{RNC} using $m + n^{2/\alpha}$ processors. We also investigate a parametric version of our randomized sparsification approach. Using it, we show that for a graph undergoing a series of edge insertions and deletions, an $O(\sqrt{1 + 2/\epsilon})$ -approximation to the minimum cut value can be maintained at a cost of $\tilde{O}(n^{\epsilon+1/2})$ time per insertion or deletion. If only insertions are allowed, the approximation can be maintained at a cost of $\tilde{O}(n^\epsilon)$ time per insertion.

1 Introduction

1.1 Minimum Cuts. This paper studies the min-cut problem. Given a graph with n vertices and m (possibly weighted) edges, we wish to partition the vertices into two non-empty sets so as to minimize the number or total weight of edges crossing between them. Throughout this paper, the graph is assumed to be connected because otherwise the problem is trivial. We also require that all edge weights be non-negative, because otherwise the problem is \mathcal{NP} -complete by a trivial transformation from the maximum-cut problem [GJ79, page 210]. The problem actually has two variants: in the *s-t min-cut problem* we require that two specified vertices s and t be on opposite sides of the cut; in what we call the *min-cut problem* there is no such restriction.

Particularly on unweighted graphs, solving the min-cut problem is sometimes referred to as finding the *connectivity* of a graph; that is, determining the minimum number of edges (or minimum total edge weight) that must be removed to disconnect the graph.

Throughout this paper, we will focus attention on an n vertex, m edge graph with minimum cut value c . The fastest presently known algorithm for finding minimum cuts in weighted undirected graphs is the Recursive Contraction Algorithm (RCA) of Karger and Stein [KS93]; it runs in $O(n^2 \log^3 n)$ time. An algorithm by Gabow [Gab91] finds the minimum cut in an unweighted graph in time $O(m + c^2 n \log(n/c))$, where c is the value of the minimum cut. It is thus faster than the RCA on unweighted graphs with small minimum cuts ($c < \sqrt{n}$).

1.2 New Results. This paper studies algorithms for approximating the minimum cut. To this end, we make the following definition:

DEFINITION 1.1. *An α -approximation to the minimum cut, or more concisely an α -minimal cut, is a cut whose weight is within a multiplicative factor of α of the minimum cut. An α -approximation algorithm is one which finds an α -minimal cut in every input graph.*

In this paper, we give a collection of minimum cut approximation algorithms. They are based on a randomized algorithm for taking a weighted undirected graph and constructing a sparse unweighted graph, or *skeleton*, which closely approximates the minimum cut information of the original graph. Finding a minimum cut in the skeleton gives information about the minimum cut in the original graph. Because the skeleton is sparse and unweighted, fast specialized minimum cut algorithms (such as Gabow's) can be applied.

We use graph skeletons in a new sequential approximation algorithm for minimum cuts. For any $\epsilon > 0$, the algorithm finds a $(1 + \epsilon)$ -minimal cut in $O(m + n(\log^3 n)/\epsilon^4)$; thus, in particular, if ϵ is any constant it finds a $(1 + \epsilon)$ -approximation in $O(m + n \log^3 n)$.

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RANDOMIZED APPROXIMATION SCHEMES FOR CUTS AND FLOWS IN CAPACITATED GRAPHS*

ANDRÁS A. BENCZÚR[†] AND DAVID R. KARGER[‡]

David Karger wishes to dedicate this work to the memory of Rajeev Motwani. His compelling teaching and supportive advising inspired and enabled the line of research [17, 24, 18, 21] that led to the results published here.

Abstract. We describe random sampling techniques for approximately solving problems that involve cuts and flows in graphs. We give a near-linear-time randomized combinatorial construction that transforms any graph on n vertices into an $O(n \log n)$ -edge graph on the same vertices whose cuts have approximately the same value as the original graph's. In this new graph, for example, we can run the $\tilde{O}(m^{3/2})$ -time maximum flow algorithm of Goldberg and Rao to find an s - t minimum cut in $\tilde{O}(n^{3/2})$ time. This corresponds to a $(1 + \epsilon)$ -times minimum s - t cut in the original graph. A related approach leads to a randomized divide-and-conquer algorithm producing an approximately maximum flow in $\tilde{O}(m\sqrt{n})$ time. Our algorithm can also be used to improve the running time of sparsest cut approximation algorithms from $\tilde{O}(mn)$ to $\tilde{O}(n^2)$ and to accelerate several other recent cut and flow algorithms. Our algorithms are based on a general theorem analyzing the concentration of random graphs' cut values near their expectations. Our work draws only on elementary probability and graph theory.

Key words. minimum cut, maximum flow random graph, random sampling, connectivity, cut enumeration, network reliability

AMS subject classifications. 05C21, 05C40, 05C80, 68W25, 68W40, 68Q25, 05C85

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1. Introduction. This paper gives results on random sampling methods for reducing the number of edges in any undirected graph while approximately preserving the values of its cuts and consequently its flows. It then demonstrates how these techniques can be used in faster algorithms to approximate the values of minimum cuts and maximum flows in such graphs. We give an $\tilde{O}(m)$ -time¹ *compression* algorithm to reduce the number of edges in any n -vertex graph to $O(n \log n)$ with only a small perturbation in cut values and then use that compression method to find approximate minimum cuts in $\tilde{O}(n^2)$ time and approximate maximum flows in $\tilde{O}(m\sqrt{n})$ time.

1.1. Background. Previous work [19, 18, 22] has shown that random sampling is an effective tool for problems involving cuts in graphs. A *cut* is a partition of a graph's vertices into two groups; its *value* is the number, or in weighted graphs the total weight, of edges with one endpoint on each side of the cut. Many problems depend only on cut values. The maximum flow that can be routed from s to t is the minimum value of any cut separating s and t [10]. A minimum bisection is the smallest cut that splits the graph into two equal-sized pieces. The *connectivity* or *minimum*

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¹The notation $\tilde{O}(f)$ denotes $O(f \text{ polylog } n)$, where n is the input problem size.

Random Sampling and Greedy Sparsification for Matroid Optimization Problems.

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Abstract

Random sampling is a powerful tool for gathering information about a group by considering only a small part of it. We discuss some broadly applicable paradigms for using random sampling in combinatorial optimization, and demonstrate the effectiveness of these paradigms for two optimization problems on matroids: finding an optimum matroid basis and packing disjoint matroid bases. Applications of these ideas to the graphic matroid led to fast algorithms for minimum spanning trees and minimum cuts.

An optimum matroid basis is typically found by a *greedy algorithm* that grows an independent set into an the optimum basis one element at a time. This continuous change in the independent set can make it hard to perform the independence tests needed by the greedy algorithm. We simplify matters by using sampling to reduce the problem of finding an optimum matroid basis to the problem of verifying that a given *fixed* basis is optimum, showing that the two problems can be solved in roughly the same time.

Another application of sampling is to packing matroid bases, also known as matroid partitioning. Sampling reduces the number of bases that must be packed. We combine sampling with a greedy packing strategy that reduces the size of the matroid. Together, these techniques give accelerated packing algorithms. We give particular attention to the problem of packing spanning trees in graphs, which has applications in network reliability analysis. Our results can be seen as generalizing certain results from random graph theory. The techniques have also been effective for other packing problems.

1 Introduction

Arguably the central concept of statistics is that of a representative sample. It is often possible to gather a great deal of information about a large population by examining a small sample randomly drawn from it. This has obvious advantages in reducing the investigator's work, both in gathering and in analyzing the data.

We apply the concept of a representative sample to combinatorial optimization. Given an optimization problem, it may be possible to generate a small representative subproblem by random sampling. Intuitively, such a subproblem may form a microcosm of the larger problem. In particular, an optimum solution to the subproblem may be a nearly optimum solution to the problem as a whole. In some situations, such an approximation might be sufficient. In other situations, it may be relatively easy to improve this good solution to a truly optimum solution.

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Simple push-relabel algorithms for matroids and submodular flows

András Frank and Zoltán Miklós*

Abstract

We derive simple push-relabel algorithms for the matroid partitioning, matroid membership, and submodular flow feasibility problems. It turns out that, in order to have a strongly polynomial algorithm, the lexicographic rule used in all previous algorithms for the two latter problems can be avoided. Its proper role is that it helps speeding up the algorithm in the last problem.

1 Introduction

Push-relabel algorithms (see, for example, the first one of Goldberg and Tarjan, [16]), unlike augmenting path type algorithms, use only small, local steps. In order to make progress, in selecting the current element where the next local step is to be performed, they use a control parameter $\Theta : S \rightarrow \{0, 1, 2, \dots\}$ called a **level** (or distance) **function**. Here S can be the node-set of a directed graph or the ground-set of a matroid. In the present work the range of the level functions is $\{0, 1, 2, \dots, n\}$ where $n = |S|$ while the original algorithm of Goldberg and Tarjan for maximum flows must have allowed $\{0, 1, 2, \dots, 2n - 1\}$ for the range of Θ .

The goal of the present paper is to develop simple push-relabel algorithms in submodular optimization. We exhibit versions for matroid partition, for membership in a matroid polytope, and for submodular flow feasibility. All the previous algorithms relied on a selection rule based on a consistent ordering of the elements. This rule can be considered as a counterpart of the lexicographic rule of Schönsleben [19] applied to augmenting path type algorithms. The new push-relabel algorithms do not use the consistency rule and the proof of strong polynomiality becomes much simpler. The true role of the consistency rule is that, though not needed for strong polynomiality, it improves the complexity of the algorithm by one order of magnitude.

For a given level function Θ , the sets $L_i = \{v : \Theta(v) = i\}$ ($i = 0, \dots, n$) are called the **level sets** of Θ . For an element s with $\Theta(s) = j$, we say that the level of s is j or that s is in L_j . For a subset $X \subseteq S$, let $\Theta_{\min}(X) := \min\{\Theta(v) : v \in X\}$. One of the local steps during the algorithm is **lifting** an element s of S with $\Theta(s) \leq n - 1$

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